

# Geometry

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## 1 Topological surfaces

### Definition 1.1 (Locally Euclidean)

A topological space  $X$  is locally Euclidean if every  $p \in X$  has an open neighbourhood  $U$  of  $p$  homeomorphic to an open disc in  $\mathbb{R}^2$ .

**Remark 1.2.** Every open disc in  $\mathbb{R}^2$  is homeomorphic to  $\mathbb{R}^2$ .

### Definition 1.3 (Topological surface)

A topological surface  $\Sigma$  is a locally Euclidean, second countable, Hausdorff topological space.

**Definition 1.4 (Graph)**

Suppose  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$  continuous. Define the graph of  $f$  to be

$$\Gamma_f = \{(x, f(x)) \in \mathbb{R}^n \times \mathbb{R}^m : x \in \mathbb{R}^n\} \subseteq \mathbb{R}^{n+m}$$

**Proposition 1.5.** Suppose  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$  continuous. Then  $\Gamma_f$  is a topological surface.

*Proof.* Since it is a subspace of  $\mathbb{R}^3$ , which is Hausdorff and second countable, it must in fact be second countable. Suffices to show that every point has a neighbourhood homeomorphic to an open disc. Let the neighbourhood be all of  $\Gamma_f$ , and note that

$$\pi(x, y, z) = (x, y) \quad \text{and} \quad \sigma(x, y) = (x, y, f(x, y))$$

are both continuous, and inverses to each other. So  $\Gamma_f$  is homeomorphic to  $\mathbb{R}^2$ .  $\square$

**Definition 1.6 (Unit sphere)**

The unit sphere in  $\mathbb{R}^{n+1}$  is

$$S^n = \{x \in \mathbb{R}^{n+1} : \|x\| = 1\}$$

**Proposition 1.7.**  $S^2$  is a topological surface.

*Proof.* Since  $S^2 \subseteq \mathbb{R}^3$ , it must be Hausdorff and second countable. Consider stereographic projection from the north pole  $N$ , that is,

$$\pi(x, y, z) = \left( \frac{x}{1-z}, \frac{y}{1-z} \right)$$

This defines a homeomorphism  $S^2 \setminus N \cong_{\text{h}} \mathbb{R}^2$ . By considering the stereographic projection from a different point, we see that  $S^2$  is a topological surface.  $\square$

**Definition 1.8 (Real projective plane)**

Let  $a(x) = -x$ ,  $a \in \text{Homeo}(S^2)$ . Then we define the real projective plane to be

$$\mathbb{RP}^2 = S^2 / \langle a \rangle$$

**Lemma 1.9.** As a set, we have a bijection between lines in  $\mathbb{R}^3$  through the origin and  $\mathbb{RP}^2$ .

*Proof.* Consider a line in  $\mathbb{R}^3$  through the origin. It must intersect  $S^2$  at antipodal points  $x$  and  $-x$ , which corresponds to the equivalence class of  $x$ . Conversely, given an equivalence class, the line through  $x$  and  $-x$  goes through the origin.  $\square$

**Lemma 1.10.**  $\mathbb{RP}^2$  is a topological surface.

**Definition 1.11 (Torus)**

Consider  $S^1 = \{z \in \mathbb{C} : |z| = 1\}$ . Then define the torus to be

$$T^2 = S^1 \times S^1 \subseteq \mathbb{C} \times \mathbb{C}$$

**Lemma 1.12.**  $T^2$  is a topological surface.

**Definition 1.13 (Connect sum)**

Suppose  $\Sigma_1$  and  $\Sigma_2$  are topological surfaces,  $D_1 \subseteq \Sigma_1$ ,  $D_2 \subseteq \Sigma_2$  open discs, then we define  $\Sigma_1 \# \Sigma_2$  to the surface obtained by gluing  $\Sigma_1 \setminus D_1$  and  $\Sigma_2 \setminus D_2$  by identifying  $\partial D_1$  and  $\partial D_2$ .

**Lemma 1.14.** If  $\Sigma_1$  and  $\Sigma_2$  are topological surfaces, so is  $\Sigma_1 \# \Sigma_2$ .

## 1.1 Subdivisions

**Definition 1.15 (Subdivision)**

A subdivision of a compact topological surface  $\Sigma$  is  $(V, E, F)$ , where  $V \subseteq \Sigma$  is a finite set of vertices,  $E = \{e_i : [0, 1] \rightarrow \Sigma\}$  a finite set of edges, and  $F$  a set of faces, satisfying the following conditions:

- (i) Each  $e_i$  is an injection on  $(0, 1)$  (edges do not self intersect).
- (ii)  $e_i^{-1}V = \{0, 1\}$  (edges only meets vertices at end points).
- (iii)  $e_i[0, 1] \cap e_j[0, 1] \subseteq V$  (edges only meet at vertices).
- (iv)  $F$  is the set of connected components of

$$\Sigma \setminus \left( V \cup \left( \bigcup_i e_i[0, 1] \right) \right)$$

and each  $f \in F$  is homeomorphic to an open disc on  $R^2$ .

**Proposition 1.16.** For a face  $f \in F$ ,  $\partial f \subseteq V \cup E$ .

**Definition 1.17 (Triangulation)**

A subdivision of  $\Sigma$  is a triangulation if every closed face has three edges, and for any two faces, they are either disjoint or meet at exactly one edge or vertex.

**Definition 1.18 (Euler characteristic)**

The Euler characteristic of a topological surface is

$$\chi(\Sigma) = \#V - \#E + \#F$$

**Theorem 1.19.** Every compact topological surface has a subdivision (equivalently, a triangulation).

**Theorem 1.20.** Euler characteristic is independent of the choice of subdivision.

**Proposition 1.21.** If  $\Sigma_1, \Sigma_2$  compact topological surfaces, then

$$\chi(\Sigma_1 \# \Sigma_2) = \chi(\Sigma_1) + \chi(\Sigma_2) - 2$$

**Definition 1.22** ( *$g$ -holed torus*)

For  $g \geq 1$ , the  $g$ -holed torus  $\Sigma_g$  is the connect sum of  $g$  copies of  $T^2$ .  $g$  is called the genus of  $\Sigma_g$ .

**Proposition 1.23.**  $\chi(\Sigma_g) = 2 - 2g$ .

## 1.2 Charts

**Definition 1.24** (Chart)

Suppose  $U \underset{\text{open}}{\subseteq} \Sigma$ ,  $V \underset{\text{open}}{\subseteq} \mathbb{R}^2$ ,  $\phi : U \xrightarrow{\cong} V$ . Then  $(U, \phi)$  is a chart for  $\Sigma$  at  $p \in U$ .

**Definition 1.25** (Atlas)

A collection of charts  $(U_i, \phi_i)_{i \in I}$  is called an atlas if

$$\bigcup_{i \in I} U_i = \Sigma$$

**Definition 1.26** (Local parametrisation)

Suppose  $(U, \phi)$  is a chart. Define  $\sigma = \phi^{-1} : V \xrightarrow{\cong} U$ . Then  $\sigma$  is called a local parametrisation of  $\Sigma$ .

**Definition 1.27** (Transition map)

For  $(U_1, \phi_1), (U_2, \phi_2)$  charts, the transition map from  $\phi_1$  to  $\phi_2$  is

$$\phi_2 \circ \phi_1^{-1} : \phi_1(U_1 \cap U_2) \xrightarrow{\cong} \phi_2(U_1 \cap U_2)$$

## 2 Abstract smooth surfaces

**Definition 2.1** (Smooth)

For  $V \underset{\text{open}}{\subseteq} \mathbb{R}^m$  and  $W \underset{\text{open}}{\subseteq} \mathbb{R}^n$ ,  $f : V \rightarrow W$  is smooth if it is infinitely differentiable.

### Definition 2.2 (Smooth at)

For  $Z \subseteq \mathbb{R}^m$ , we say that  $f : Z \rightarrow \mathbb{R}^n$  continuous is smooth at  $p \in Z$  if there exists an open ball  $B, p \in B$ , and  $F : B \rightarrow \mathbb{R}^n$  smooth such that  $F|_{B \cap Z} = f|_{B \cap Z}$ .

### Definition 2.3 (Diffeomorphism)

For  $V \underset{\text{open}}{\subseteq} \mathbb{R}^n$  and  $W \underset{\text{open}}{\subseteq} \mathbb{R}^n$ , a homeomorphism  $f : V \xrightarrow{\cong} W$  is a diffeomorphism if  $f$  and  $f^{-1}$  are smooth.

### Definition 2.4 (Abstract smooth surface)

A topological surface  $\Sigma$  is an abstract smooth surface if it has an atlas  $(U_i, \phi_i)_{i \in I}$ , such that all the transition maps

$$\phi_2 \circ \phi_1^{-1} : \phi_1(U_1 \cap U_2) \xrightarrow{\cong} \phi_2(U_1 \cap U_2)$$

are diffeomorphisms.

**Proposition 2.5.**  $S^2$  with charts given by stereographic projection is an abstract smooth surface.

**Proposition 2.6.**  $T^2$  is an abstract smooth surface with parametrisation given by  $(s, t) \mapsto (e^{2\pi is}, e^{2\pi it})$ .

### Definition 2.7 (Smooth map to Euclidean space)

Let  $\Sigma$  be an abstract smooth surface,  $f : \Sigma \rightarrow \mathbb{R}^n$  continuous, then we say that  $f$  is smooth at  $p \in \Sigma$  if for all charts  $(U, \phi)$  such that  $p \in U$ , we have that

$$f \circ \phi^{-1} : \phi(U) \rightarrow \mathbb{R}^n$$

is smooth at  $\phi(p) \in \phi(U) \underset{\text{open}}{\subseteq} \mathbb{R}^2$ .

### Definition 2.8 (Smooth map between abstract smooth surfaces)

Let  $\Sigma_1, \Sigma_2$  be abstract smooth surfaces,  $f : \Sigma_1 \rightarrow \Sigma_2$  is smooth at  $p \in \Sigma_1$  if for all charts  $(U, \phi)$  of  $p$  and  $(V, \psi)$  of  $f(p)$ , we have that

$$\psi \circ f \circ \phi^{-1} : \phi(U) \rightarrow \psi(V)$$

is smooth at  $\phi(p) \in \phi(U) \underset{\text{open}}{\subseteq} \mathbb{R}^2$ .

### Definition 2.9 (Diffeomorphism)

A diffeomorphism  $f : \Sigma_1 \xrightarrow{\cong} \Sigma_2$  between abstract smooth surfaces is a smooth homeomorphism with smooth inverse.

**Definition 2.10** (Pullback atlas)

Suppose  $\Sigma_1, \Sigma_2$  topological surfaces,  $\Sigma_2$  is an abstract smooth surface with atlas  $(U_i, \phi_i)_{i \in I}$ ,  $f : \Sigma_1 \xrightarrow{\cong} \Sigma_2$ . Then

$$(f^{-1}U_i, \phi_i \circ f|_{f^{-1}U_i})_{i \in I}$$

defines an atlas on  $\Sigma_1$  which makes it an abstract smooth surface, with the same transition maps.

### 3 Embedded surfaces

**Definition 3.1** (Smooth surface in  $\mathbb{R}^3$ )

A smooth surface in  $\mathbb{R}^3$  is a subspace  $\Sigma \subseteq \mathbb{R}^3$  such that for all  $p \in \Sigma$ , there exists  $U \subseteq_{\text{open}} \Sigma$ ,  $p \in U$  such that  $U$  is diffeomorphic to an open subset of  $\mathbb{R}^2$ .

**Theorem 3.2** (Implicit function theorem). Suppose  $p = (x_0, y_0) \in U \subseteq_{\text{open}} \mathbb{R}^k \times \mathbb{R}^l$ ,  $f : U \rightarrow \mathbb{R}^l$  has  $f(p) = 0$ , and  $(\partial f / \partial y_j)_{j=1}^l$  an isomorphism at  $p$ . Then we have  $x_0 \in V \subseteq_{\text{open}} \mathbb{R}^k$ ,  $g : V \rightarrow \mathbb{R}^l$   $C^1$  with  $g(x_0) = y_0$ , such that for any  $(x, y) \in U \cap (V \times \mathbb{R}^l)$ ,  $f(x, y) = 0$  if and only if  $g(x) = y$ .

*Proof.* Define  $F : U \rightarrow \mathbb{R}^k \times \mathbb{R}^l$  by  $F(x, y) = (x, f(x, y))$ . Then

$$DF = \begin{pmatrix} I & 0 \\ 0 & \left( \frac{\partial f}{\partial y_j} \right)_j \end{pmatrix}$$

which means that it is an isomorphism. The inverse function theorem says that  $F$  is locally invertible, near  $F(x_0, y_0) = (x_0, 0)$ . Say we have  $V \subseteq_{\text{open}} \mathbb{R}^k$  and  $W \subseteq_{\text{open}} \mathbb{R}^l$  such that  $(x_0, 0) \in V \times W$ , and  $G : V \times W \rightarrow U \subseteq \mathbb{R}^k \times \mathbb{R}^l$  be the  $C^1$  inverse, with  $F \circ G = \text{id}_{V \times W}$ . Suppose  $G(x, y) = (\phi(x, y), \psi(x, y))$ . Then

$$F(G(x, y)) = F(\phi(x, y), \psi(x, y)) = (\phi(x, y), f(\phi(x, y), \psi(x, y))) = (x, y)$$

Which means that  $\phi(x, y) = x$ , and  $G(x, y) = (x, \psi(x, y))$ , with  $f(x, \psi(x, y)) = y$  when  $(x, y) \in V \times W$ . Thus  $f(x, y) = 0$  if and only if  $y = \psi(x, 0)$ . Define  $g : V \rightarrow \mathbb{R}^l$  by  $x \mapsto \psi(x, 0)$ . □

**Definition 3.3** (Allowable parametrisation)

Let  $\Sigma \subseteq \mathbb{R}^3$ ,  $V \subseteq_{\text{open}} \mathbb{R}^2$ ,  $U \subseteq_{\text{open}} \Sigma$ ,  $\sigma : V \rightarrow U$  is an allowable parametrisation of  $\Sigma$  at  $p \in U$  if  $\sigma$  is a homeomorphism, and  $\text{rank}(D\sigma(x)) = 2$  for all  $x \in V$ .

**Theorem 3.4.** For  $\Sigma \subseteq \mathbb{R}^3$ , the following are equivalent.

- (i)  $\Sigma$  is a smooth surface.
- (ii)  $\Sigma$  is locally the graph of a smooth function over one of the  $x$ - $y$ ,  $x$ - $z$ ,  $y$ - $z$  planes.
- (iii)  $\Sigma$  is locally the zero set of a smooth function with nonzero derivative.
- (iv)  $\Sigma$  is locally the image of an allowable parametrisation.

*Proof.* We will first show that (ii) implies all of the others. Suppose (ii) holds, and locally,  $\Sigma = \{(x, y, g(x, y)) : (x, y) \in V\}$ , then  $\pi_{XY}$  gives a chart. So (i) holds. Similarly,  $\Sigma$  is the zero locus of  $z - g(x, y) = 0$ , which has nonzero derivative, so (iii) holds.

$$\sigma(x, y) = (x, y, g(x, y))$$

defines an allowable parametrisation, and (iv) holds.

Now suppose (i) holds. By the definition, each chart defines a diffeomorphism to an open subset of  $\mathbb{R}^2$ , so the inverse defines an allowable parametrisation, and (iv) holds.

Suppose (iii) holds. Without loss of generality, suppose  $D_z f(x_0, y_0, z_0) \neq 0$ . The implicit function theorem gives us a neighbourhood  $V \subseteq_{\text{open}} \mathbb{R}^2$ , with  $(x_0, y_0) \in V$ ,  $g : V \rightarrow \mathbb{R}$  smooth,  $g(x_0, y_0) = z_0$  and locally,  $\Sigma$  is the graph of  $g$ . So (ii) holds.

Suppose (iv) holds, and we have an allowable parametrisation. Say

$$D\sigma = \begin{pmatrix} \frac{\partial \sigma_1}{\partial x} & \frac{\partial \sigma_2}{\partial x} \\ \frac{\partial \sigma_1}{\partial y} & \frac{\partial \sigma_2}{\partial y} \\ \frac{\partial \sigma_1}{\partial z} & \frac{\partial \sigma_2}{\partial z} \end{pmatrix}$$

As this has rank 2, without loss of generality the first two rows form an invertible matrix. Consider  $\pi_{XY} \circ \sigma : V \rightarrow \mathbb{R}^2$ . The inverse function theorem says this is locally invertible, so  $\Sigma$  is locally given by a graph. So (ii) holds.  $\square$

### Definition 3.5 (Surface of revolution)

Suppose  $\gamma = (f, 0, g) : [a, b] \rightarrow \mathbb{R}^3$ , with  $\gamma$  injective,  $\gamma' \neq 0$  and  $f > 0$ . The surface of revolution has (local) parametrisation

$$\sigma(u, v) = \begin{pmatrix} f(u) \cos(v) \\ f(u) \sin(v) \\ g(u) \end{pmatrix}$$

where  $\sigma : (a, b) \times (\theta, \theta + 2\pi) \rightarrow \mathbb{R}^3$ .

## 4 Orientability

### Definition 4.1 (Orientation preserving linear map)

A linear map  $T : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is orientation preserving if  $\det(T) > 0$ . The subgroup of orientation preserving linear maps is denoted by  $GL_n^+(\mathbb{R})$ .

### Definition 4.2 (Orientation preserving)

Let  $V, W \subseteq_{\text{open}} \mathbb{R}^2$ , then  $f : V \rightarrow W$  is orientation preserving if  $Df(x) \in GL_2^+(\mathbb{R})$  for all  $x \in V$ .

### Definition 4.3 (Orientable surface)

An abstract smooth surface  $\Sigma$  is orientable if there exists an atlas  $(U_i, \phi_i)_{i \in I}$  such that the transition maps are orientation preserving diffeomorphisms. A choice of such an atlas is called an orientation.

**Proposition 4.4.** Orientability is a homeomorphism (and thus diffeomorphism) invariant.

*Proof.* Suppose  $\Sigma_2$  orientable,  $f : \Sigma_1 \xrightarrow{\cong} \Sigma_2$ . Then by passing to the pullback atlas, we have an orientable atlas for  $\Sigma_1$ , as the transition maps are the same.  $\square$

**Proposition 4.5.** An abstract smooth surface is orientable if and only if it does not contain a subspace homeomorphic to the Möbius band.

## 4.1 Embedded surfaces

### Definition 4.6 (Tangent plane)

Let  $\Sigma$  be a smooth surface in  $\mathbb{R}^3$ , and  $p \in \Sigma$ . Fix an allowable parametrisation  $\sigma$  near  $p$  with  $\sigma(0) = 0$ . Define the tangent plane

$$T_p\Sigma = \text{Im}(D\sigma(0))$$

and the affine tangent plane  $T_p\Sigma + p$ .

**Lemma 4.7.**  $T_p\Sigma$  is well defined. That is, it is independent of the choice of  $\Sigma$ .

*Proof.* Suppose  $\sigma$  and  $\tilde{\sigma}$  are choices of parametrisation at  $p$ . Then  $\sigma^{-1} \circ \tilde{\sigma}$  is a diffeomorphism, so  $D(\sigma^{-1} \circ \tilde{\sigma})(0)$  is an isomorphism  $\text{Im}(D\tilde{\sigma}(0)) \rightarrow \text{Im}(D\sigma(0))$ .  $\square$

### Proposition 4.8.

$$T_p\Sigma = \text{span}\{\gamma'(0) : \gamma \text{ smooth paths in } \Sigma \text{ with } \gamma(0) = p\}$$

### Definition 4.9 (Normal)

Suppose  $\Sigma$  is a smooth surface in  $\mathbb{R}^3$ ,  $p \in \Sigma$ . Then the normal direction at  $p$  is  $(T_p\Sigma)^\perp$ .

### Definition 4.10 (Two sided)

A smooth surface in  $\mathbb{R}^3$  is two sided if it admits a continuous choice of unit normal vector.

**Proposition 4.11.** A smooth surface in  $\mathbb{R}^3$  is orientable if and only if it is two sided.

*Proof.* First suppose  $\Sigma$  is orientable. We will show that we can define a continuous positive normal in one  $e$ , which agrees on the intersections.

Suppose  $\sigma : V \rightarrow U$  is an allowable parametrisation with  $\sigma(0) = p$ . Define the positive normal at  $p$  to be

$$n_\sigma(p) = \frac{\sigma_u \times \sigma_v}{\|\sigma_u \times \sigma_v\|}$$

Then the bases  $\sigma_u, \sigma_v, n_\sigma(p)$  are related to the standard basis by a change of basis matrix with positive determinant. Suppose  $\tilde{\sigma}$  is another parametrisation, with  $\tilde{\sigma}(0) = p$ . Since  $\Sigma$  is orientable, we have an orientation preserving transition map  $\phi$  such that  $\sigma = \tilde{\sigma} \circ \phi$ . This means that

$$\sigma_u \times \sigma_v = \det(D\phi(0))\tilde{\sigma}_u \times \tilde{\sigma}_v \tag{*}$$

and as  $\det(D\phi(0)) > 0$ ,  $n_\sigma(p) = n_{\tilde{\sigma}}(p)$ . So this defines a continuous choice of unit normal vector for  $\Sigma$ .

Conversely, suppose  $\Sigma$  is two sided, and we have a global continuous choice of normal. Consider the subatlases where at each point  $p$ , we only have parametrisations  $\sigma$  where  $\sigma_u, \sigma_v, n(p)$  is a positively oriented basis. Then this defines an orientation, by (\*).  $\square$



**Lemma 4.12.** Suppose  $\Sigma$  is a smooth surface in  $\mathbb{R}^3$ ,  $f : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  smooth such that  $f(\Sigma) = \Sigma$ . Then for  $p \in \Sigma$ , we have that

$$DA(p) : \mathbb{R}^3 \rightarrow \mathbb{R}^3$$

sends  $T_p(\Sigma)$  to  $T_{A(p)}(\Sigma)$ .

*Proof.* By chain rule. □

## 5 Geometry

### Definition 5.1 (Length)

Suppose  $\gamma : (a, b) \rightarrow \mathbb{R}^3$  smooth, then we define the length of  $\gamma$  to be

$$\text{Length}(\gamma) = \int_a^b \|\gamma'(t)\| dt$$

**Proposition 5.2.** Length is independent of e.

**Proposition 5.3.** Suppose  $\gamma$  is a  $C^1$  curve with  $\gamma'(t) \neq 0$  for all  $t \in (a, b)$ , then  $\gamma$  can be parametrised by arc length  $s$ , so  $\|\gamma'(s)\| = 1$ .

### 5.1 First fundamental form

#### Definition 5.4 (First fundamental form)

The first fundamental form of  $\Sigma$  in paramtrisation  $\sigma$  is the quadratic form

$$(du \quad dv) \begin{pmatrix} E & F \\ F & G \end{pmatrix} \begin{pmatrix} du \\ dv \end{pmatrix}$$

where  $E = \langle \sigma_u, \sigma_u \rangle$ ,  $F = \langle \sigma_u, \sigma_v \rangle$ ,  $G = \langle \sigma_v, \sigma_v \rangle$ .

**Proposition 5.5.** Suppose  $\gamma(t) = \sigma(u(t), v(t))$ . Then

$$\text{Length}(\gamma) = \int_a^b (E(u')^2 + 2Fu'v' + G(v')^2)^{1/2} dt$$

*Proof.* Chain rule. □

**Proposition 5.6.** The first fundamental form is the Euclidean inner product on  $T_p\Sigma$  given in terms of the basis  $\sigma_u, \sigma_v$ .

#### Definition 5.7 (Isometric)

Suppose  $\Sigma_1, \Sigma_2$  are smooth surfaces in  $\mathbb{R}^3$ , we say that  $f : \Sigma_1 \rightarrow \Sigma_2$  is an isometry if for all curves  $\gamma$ ,

$$\text{Length}(\gamma) = \text{Length}(f \circ \gamma)$$

**Lemma 5.8.** Smooth surfaces  $\Sigma_1, \Sigma_2$  in  $\mathbb{R}^3$  are locally isometric near  $p \in \Sigma_1, q \in \Sigma_2$  if and only if there exists allowable parametrisations

$$\sigma : V \rightarrow U \underset{\text{open}}{\subseteq} \Sigma_1 \quad \text{and} \quad \tilde{\sigma} : V \rightarrow \tilde{U} \underset{\text{open}}{\subseteq} \Sigma_2$$

for which the first fundamental forms are equivalent as functions on  $V$ .

*Proof.* By definition, the first fundamental form determines lengths. So suffices to show that the lengths determine the FFF of a parametrisation.

Given  $\sigma : V \rightarrow U \subseteq \Sigma$ , without loss of generality, suppose  $V = D(0, \delta)$  for some  $\delta > 0$  and  $\sigma_0 = p$ . Consider the curve  $\gamma_\varepsilon : [0, \varepsilon] \rightarrow U$ , given by  $\gamma(t) = \sigma(t, 0)$ . Then

$$\left. \frac{d}{d\varepsilon} (\text{Length}(\gamma_\varepsilon)) \right|_{\varepsilon=0} = \sqrt{E(0, 0)}$$

So lengths determine  $E$  at  $p$ . Similarly  $F$  and  $G$  are determined by curves of the form  $\sigma(t, t)$  and  $\sigma(0, t)$ .  $\square$

**Lemma 5.9.** Suppose  $\sigma, \tilde{\sigma}$  are parametrisations,  $f = \tilde{\sigma}^{-1} \circ \sigma$  the transition map, then

$$\begin{pmatrix} E & F \\ F & G \end{pmatrix} = (Df)^\top \begin{pmatrix} \tilde{E} & \tilde{F} \\ \tilde{F} & \tilde{G} \end{pmatrix} (Df)$$

*Proof.*

$$\begin{pmatrix} E & F \\ F & G \end{pmatrix} = (D\sigma)^\top (D\sigma)$$

and  $\sigma = \tilde{\sigma} \circ f$ , so the result follows by chain rule.  $\square$

**Definition 5.10 (Angle)**

Suppose  $v, w \in T_p \Sigma$ , with  $v = D\sigma(p)(v_0)$  and  $w = D\sigma(p)(w_0)$ . Let  $\theta$  is the angle between  $v$  and  $w$ ,  $\sigma$  is an allowable parametrisation, with first fundamental form (as a bilinear form)  $I(x, y)$ . Then

$$\cos(\theta) = \frac{I(v_0, w_0)}{\sqrt{I(v_0, v_0)} \sqrt{I(w_0, w_0)}}$$

**Lemma 5.11.**  $\sigma$  is conformal, i.e. angle preserving if and only if  $E = G, F = 0$ .

*Proof.* Suppose  $\gamma, \tilde{\gamma}$  curves in  $V, \sigma : V \rightarrow U$  an allowable parametrisation,  $\gamma(0) = \tilde{\gamma}(0) = 0, \sigma(0) = p$ . Then the curves  $\sigma \circ \gamma$  and  $\sigma \circ \tilde{\gamma}$  meet at angle  $\theta$  on  $T_p \Sigma$ , where

$$\cos \theta = \frac{E \dot{u} \dot{u} + F (\dot{u} \dot{v} + \dot{u} \dot{v}) + G \dot{v} \dot{v}}{(E \dot{u}^2 + 2F \dot{u} \dot{v} + G \dot{v}^2)^{1/2} (E \dot{\tilde{u}}^2 + 2F \dot{\tilde{u}} \dot{\tilde{v}} + G \dot{\tilde{v}}^2)^{1/2}}$$

Choosing curves  $\gamma(t) = (t, 0)$  and  $\tilde{\gamma}(t) = (0, t)$  forces  $F = 0$ , and choosing  $\gamma(t) = (t, t)$  and  $\tilde{\gamma}(t) = (t, -t)$  force  $E = G$ .

Converely, the first fundamental form is just a pointwise rescaling og the Euclidean FFF, which preserves angles.  $\square$

### Definition 5.12 (Area)

Let  $\Sigma$  be a smooth surface in  $\mathbb{R}^3$ ,  $\sigma : V \rightarrow U$  an allowable parametrisation. Then define

$$\text{Area}(U) = \int_V (EG - F^2)^{1/2} dA$$

**Proposition 5.13.** Suppose  $\sigma, \tilde{\sigma}$  are allowable parametrisations, with transition map  $\phi = \sigma^{-1} \circ \tilde{\sigma}$ . Then

$$\sqrt{\tilde{E}\tilde{G} - \tilde{F}^2} = |\det(D\phi)|\sqrt{EG - F^2}$$

**Corollary 5.14.** Area is independent of parametrisation.

*Proof.* Above formula and change of variables for area integrals from vector calculus.  $\square$

## 5.2 Second fundamental form

### Definition 5.15 (Second fundamental form)

The second fundamental form of the smooth surface  $\Sigma \subseteq \mathbb{R}^3$ , at the parametrisation  $\sigma$ , is the quadratic form

$$\begin{pmatrix} du & dv \end{pmatrix} \begin{pmatrix} L & M \\ M & N \end{pmatrix} \begin{pmatrix} du \\ dv \end{pmatrix}$$

where  $L = \langle n, \sigma_{uu} \rangle$ ,  $M = \langle n, \sigma_{uv} \rangle$ ,  $N = \langle n, \sigma_{vv} \rangle$ , where  $n$  is the positive unit normal with direction  $\sigma_u \times \sigma_v$ .

**Lemma 5.16.** The second fundamental form is also given by

$$-(Dn)^T(D\sigma) = \begin{pmatrix} -\langle n_u, \sigma_u \rangle & -\langle n_u, \sigma_v \rangle \\ -\langle n_v, \sigma_u \rangle & -\langle n_v, \sigma_v \rangle \end{pmatrix}$$

*Proof.* By definition of  $n$ ,  $\langle n, \sigma_u \rangle = \langle n, \sigma_v \rangle = 0$ . Taking derivatives with respect to  $u$  and  $v$  gives the required result.  $\square$

**Lemma 5.17.** Suppose  $\sigma, \tilde{\sigma}$  allowable parametrisations,  $\phi = \sigma^{-1} \circ \tilde{\sigma}$  the transition map. Then

$$\begin{pmatrix} \tilde{L} & \tilde{M} \\ \tilde{M} & \tilde{N} \end{pmatrix} = \pm (D\phi)^T \begin{pmatrix} L & M \\ M & N \end{pmatrix} (D\phi)$$

where the sign is given by  $\text{sign}(\det(D\phi))$ .

## 5.3 Gaussian curvature

### Definition 5.18 (Gauss map)

Let  $\Sigma \subseteq \mathbb{R}^3$  be a smooth oriented surface, the Gauss map  $n : \Sigma \rightarrow S^2$  sends a point  $p$  to the positive unit normal  $n(p)$  at  $p$ .

**Lemma 5.19.**  $n$  is smooth.

**Proposition 5.20.** Suppose  $I_p$  and  $II_p$  are the bilinear forms representing the first and second fundamental forms respectively. Then

$$II_p(v, w) = I_p(-Dn(p)(v), w)$$

*Proof.* Fix  $v \in T_p\Sigma$ . Suppose we have a smooth curve  $\gamma : (-\varepsilon, \varepsilon) \rightarrow \Sigma$ , with  $\gamma(0) = p$ ,  $\gamma'(0) = v$ . Then  $n \circ \gamma : (-\varepsilon, \varepsilon) \rightarrow S^2$  has  $(n \circ \gamma)(0) = n(p)$ . Furthermore, by the chain rule,

$$Dn(p)(v) = Dn(\gamma(0))(D\gamma(0)) = D(n \circ \gamma)(0) \in T_{n(p)}S^2 = T_p\Sigma$$

Which means that  $Dn(p) \in \text{End}(T_p\Sigma)$ . Regarding  $Dn$  as a matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  with respect to the basis  $\sigma_u, \sigma_v$  (i.e.  $a = \langle Dn_u, \sigma_u \rangle$  etc.), we have that

$$\begin{pmatrix} L & M \\ M & N \end{pmatrix} = - \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} E & F \\ F & G \end{pmatrix}$$

Finally, by noting that  $I_p(-Dn(p)(\sigma_u), \sigma_u) = -(aE + bF) = -L$  etc., we get the required result.  $\square$

**Lemma 5.21.**  $Dn(p) \in T_p\Sigma$  is a self adjoint linear map with respect to the inner product  $I_p$ .

*Proof.* Both the first and second fundamental forms are symmetric.  $\square$

**Definition 5.22 (Gaussian curvature)**

Suppose  $\Sigma$  is a smooth surface in  $\mathbb{R}^3$ , then the Gaussian curvature is the function  $\kappa : \Sigma \rightarrow \mathbb{R}$ , given by

$$\kappa : p \mapsto \det(Dn(p))$$

**Proposition 5.23.** Suppose we have parametrisation  $\sigma$ , with first fundamental form  $I_p = \begin{pmatrix} E & F \\ F & G \end{pmatrix}$  and second fundamental form  $II_p = \begin{pmatrix} L & M \\ M & N \end{pmatrix}$ , then

$$\kappa = \frac{\det(II_p)}{\det(I_p)} = \frac{LN - M^2}{EG - F^2}$$

*Proof.* Write  $S = Dn(p)$  in the basis  $\sigma_u, \sigma_v$ , then we have that  $B = -S^T A$ , so  $S = (-BA^{-1})^T$ . Taking determinants gives the required result.  $\square$

**Definition 5.24 (Flat)**

A surface  $\Sigma \subseteq \mathbb{R}^3$  is flat if  $\kappa \equiv 0$ .

**Definition 5.25 (Elliptic, parabolic, hyperbolic points)**

Let  $\Sigma \subseteq \mathbb{R}^3$  be smooth,  $p \in \Sigma$  is

- Elliptic if  $\kappa(p) > 0$ ,

- Parabolic if  $\kappa(p) = 0$ ,
- Hyperbolic if  $\kappa(p) < 0$ .

**Lemma 5.26.** Suppose  $p \in \Sigma \subseteq \mathbb{R}^3$  elliptic. Then for a sufficiently small neighbourhood of  $p$ ,  $\Sigma$  lies on one side of  $T_p\Sigma + p$ .

*Proof.* Fix a parametrisation  $\sigma$  near  $p$ . Then  $\kappa = \frac{LN - M^2}{EG - F^2}$ , and as  $I_p$  is positive definite,  $EG - F^2 > 0$ , so  $\text{sign}(\kappa) = \text{sign}(LN - M^2)$ .

Now note that

$$\sigma(u + h, v + l) = \sigma(u, v) + (h\sigma_u(u, v) + l\sigma_v(u, v)) + \frac{1}{2} (h^2\sigma_{uu}(u, v) + 2hl\sigma_{uv}(u, v) + l^2\sigma_{vv}(u, v)) + \mathcal{O}(h^3, l^3)$$

and as the linear term  $h\sigma_u(u, v) + l\sigma_v(u, v)$  lies within  $T_p\Sigma$ , the signed perpendicular distance from  $\Sigma$  to the tangent plane  $T_p\Sigma$  is given by  $\langle n, \frac{1}{2} (h^2\sigma_{uu}(u, v) + 2hl\sigma_{uv}(u, v) + l^2\sigma_{vv}(u, v)) \rangle = \frac{1}{2} I_p(h, l)$ .

If  $p$  is elliptic, then within a sufficiently small neighbourhood, by continuity  $\kappa > 0$ . Then the quadratic form  $I_p$  must be positive or negative definite, as both the eigenvalues have the same sign. This means that the signed distance always has the same sign.  $\square$

**Lemma 5.27.** Suppose  $p \in \Sigma \subseteq \mathbb{R}^3$  hyperbolic. Then for a sufficiently small neighbourhood of  $p$ ,  $\Sigma$  lies on both sides of  $T_p\Sigma + p$ .

*Proof.* From the above lemma, the eigenvalues must have different signs, so the signed distance takes both signs, so  $\Sigma$  lies on both sides of the tangent plane.  $\square$

**Proposition 5.28.** Let  $\Sigma \subseteq \mathbb{R}^3$  be a compact smooth surface in  $\mathbb{R}^3$ . Then  $\Sigma$  has an elliptic point.

*Proof.*  $\Sigma$  is closed and bounded, so for  $R$  sufficiently large,  $\Sigma \subseteq \bar{D}(0, R)$ . Suppose  $R$  minimal. Up to rotation/translation, without loss of generality suppose the intersection occurs at  $p = (0, 0, z)$ . Here, we have that

$$T_p\partial D(0, R) = T_p\Sigma$$

and that locally,  $\Sigma$  is the graph of a function  $f(u, v) : V \rightarrow \mathbb{R}^3$ , such that  $f - \sqrt{R^2 - u^2 - v^2} \leq 0$ . Since  $f_u = f_v = 0$  as  $f(0, 0) = p$  is a local maximum, we have from the Taylor series that

$$\frac{1}{2}(f_{uu}u^2 + 2f_{uv}uv + f_{vv}v^2) + \frac{1}{2R}(u^2 + v^2) \leq 0$$

for sufficiently small  $u, v$ . So locally,  $\begin{pmatrix} L & M \\ M & N \end{pmatrix}$  is negative definite and  $\kappa(p) > 0$ .  $\square$

**Theorem 5.29.** Let  $\Sigma$  be a smooth surface in  $\mathbb{R}^3$ ,  $p \in \Sigma$  has  $\kappa(p) \neq 0$ . Let  $U$  be a small neighbourhood of  $p$ , and we have a decreasing sequence  $(A_i)$  of open neighbourhoods of  $p$ , such that for all  $\varepsilon > 0$ , there exists  $l$  such that for all  $i \geq l$ ,  $A_i \subseteq D(p, \varepsilon)$ . Then

$$|\kappa(p)| = \lim_{\varepsilon \rightarrow 0} \frac{\text{Area}(n(A_i))}{\text{Area}(A_i)}$$

*Proof.* Fix a parametrisation  $\sigma : V \rightarrow U$  near  $p$ , with  $\sigma(0) = p$ . Define  $V_i = \sigma^{-1}A_i$ . Since  $A_i$  shrinks to  $p$ ,  $\bigcap V_i = \{0\}$ . By definition, we have that

$$\text{Area}(A_i) = \int_{V_i} \sqrt{EG - F^2} dudv = \int_{V_i} \|\sigma_u \times \sigma_v\| dudv$$

By chain rule, we have that  $Dn(p) \in \text{End}(T_p\Sigma)$ , with  $\sigma_u \mapsto n_u$  and  $\sigma_v \mapsto n_v$ . Since  $\kappa(p) = \kappa(\sigma(0)) \neq 0$ ,  $n \circ \sigma : V \rightarrow S^2$  defines an allowable parametrisation of an open neighbourhood of  $n(p)$  by the inverse function theorem. Therefore, we have that

$$\text{Area}(n(A_i)) = \int_{V_i} \|n_u \times n_v\| dudv$$

provided that  $V_i$  lies in the neighbourhood of 0 such that  $n \circ \sigma$  is a diffeomorphism. But we have that

$$\begin{aligned} \int_{V_i} \|n_u \times n_v\| dudv &= \int_{V_i} \|Dn(\sigma_u) \times Dn(\sigma_v)\| dudv \\ &= \int_{V_i} |\det(Dn)| \|\sigma_u \times \sigma_v\| dudv \\ &= \int_{V_i} |\kappa| \|\sigma_u \times \sigma_v\| dudv \end{aligned}$$

Since  $\kappa$  is continuous, given  $\varepsilon > 0$ , we have  $\delta > 0$  such that if  $x \in D(0, \delta) \subseteq V$ , then  $|\kappa(\sigma(x)) - \kappa(p)| < \varepsilon$ . Taking  $i$  large enough, this gives us the required result.  $\square$

**Theorem 5.30** (Theorema egregium). Suppose  $f : \Sigma_1 \xrightarrow{\cong} \Sigma_2$  is an isometry. Then

$$\kappa(p) = \kappa(f(p))$$

for all  $p \in \Sigma_1$ .

**Theorem 5.31** (Gauss–Bonnet). Suppose  $\Sigma$  is a compact surface in  $\mathbb{R}^3$ , then

$$\int_{\Sigma} \kappa(p) dA = 2\pi\chi(\Sigma)$$

## 6 Geodesics

**Definition 6.1** (Energy)

For a smooth curve  $\gamma : [a, b] \rightarrow \mathbb{R}^3$ , the energy of  $\gamma$  is

$$E(\gamma) = \int_a^b \|\gamma'(t)\|^2 dt$$

**Definition 6.2** (One parameter variation)

Suppose  $\gamma : [a, b] \rightarrow \mathbb{R}^3$  is a smooth curve, a one parameter variation  $\Gamma : (-\varepsilon, \varepsilon) \times [a, b] \rightarrow \mathbb{R}^3$  of  $\gamma$  is a smooth map such that  $\Gamma(0, \cdot) = \gamma$ ,  $\Gamma(\cdot, a) = \gamma(a)$  and  $\Gamma(\cdot, b) = \gamma(b)$ .

We write  $\gamma_s(t) = \Gamma(s, t)$ .

**Definition 6.3 (Geodesic)**

A smooth curve  $\gamma : [a, b] \rightarrow \mathbb{R}^3$  is a geodesic if for every variation  $\gamma_s$ , we have that

$$\left. \frac{d}{ds}(E(\gamma_s)) \right|_{s=0} = 0$$

That is,  $\gamma$  is a critical point of the energy functional.

**Theorem 6.4 (Geodesic equations).** A smooth  $\gamma : [a, b] \rightarrow U \subseteq \Sigma$ , where we have a parametrisation  $\sigma : V \rightarrow U$ , is a geodesic if and only if it satisfies the geodesic equations.

$$\begin{aligned} \frac{d}{dt}(E\dot{u} + F\dot{v}) &= \frac{1}{2}E_u\dot{u}^2 + F_u\dot{u}\dot{v} + \frac{1}{2}G_u\dot{v}^2 \\ \frac{d}{dt}(F\dot{u} + G\dot{v}) &= \frac{1}{2}E_v\dot{u}^2 + F_v\dot{u}\dot{v} + \frac{1}{2}G_v\dot{v}^2 \end{aligned}$$

*Proof.* Suppose  $\gamma_s$  is a one parameter variation. For  $s$  small, we can write

$$\gamma_s(t) = \sigma(u(s, t), v(s, t))$$

Let  $R = E\dot{u}^2 + 2F\dot{u}\dot{v} + G\dot{v}^2$ , where dot denotes derivative with respect to  $t$ . Then

$$E(\gamma_s) = \int_a^b R(s, t) dt$$

By result from Analysis and Topology, we have that

$$\frac{dE(\gamma_s)}{ds} = \int_a^b \frac{\partial R}{\partial s} dt$$

Computing the derivative,

$$\frac{\partial R}{\partial s} = (E_u\dot{u}^2 + F_u\dot{u}\dot{v} + G_u\dot{v}^2) \frac{\partial u}{\partial s} + (E_v\dot{u}^2 + F_v\dot{u}\dot{v} + G_v\dot{v}^2) \frac{\partial v}{\partial s} + 2(E\dot{u} + F\dot{v}) \frac{\partial \dot{u}}{\partial s} + 2(F\dot{u} + G\dot{v}) \frac{\partial \dot{v}}{\partial s}$$

Noting that  $\frac{\partial u}{\partial s} = \frac{\partial v}{\partial s} = 0$  for  $t = a, b$ , since we have fixed end points and integrating by parts, we get that

$$\left. \frac{d}{ds}(E(\gamma_s)) \right|_{s=0} = \int_a^b A \frac{\partial u}{\partial s} + B \frac{\partial v}{\partial s} dt$$

where

$$\begin{aligned} A &= (E_u\dot{u}^2 + F_u\dot{u}\dot{v} + G_u\dot{v}^2) - 2 \frac{d}{dt}(E\dot{u} + F\dot{v}) \\ B &= (E_v\dot{u}^2 + F_v\dot{u}\dot{v} + G_v\dot{v}^2) - 2 \frac{d}{dt}(F\dot{u} + G\dot{v}) \end{aligned}$$

□

**Remark 6.5.** Note the above is just a special case of the Euler-Lagrange equations.

**Proposition 6.6.** If  $\gamma$  has constant speed, and locally minimises length, then  $\gamma$  is a geodesic.

*Proof.* The Cauchy-Schwarz inequality gives us that

$$\text{Length}(\gamma)^2 \leq E(\gamma)(b - a)$$

where equality holds if and only if  $\sqrt{R} = \|\gamma'\|$  is constant.  $\square$

**Corollary 6.7.** If  $\gamma$  globally minimises energy, then it minimises length, and is parametrised at unit speed.

**Proposition 6.8.** Suppose  $\Sigma \subseteq \mathbb{R}^3$  is a smooth surface,  $\gamma : (a, b) \rightarrow \Sigma$  is a geodesic if and only if  $\ddot{\gamma}$  is everywhere normal to the surface  $\Sigma$ .

*Proof.* Since orthogonality and the geodesic equations are both local properties, we can work with a parametrisation  $\sigma : V \rightarrow U$ , with  $\gamma(t) = \sigma(u(t), v(t))$ . Then  $\dot{\gamma} = \sigma_u \dot{u} + \sigma_v \dot{v}$ , so  $\ddot{\gamma}$  is normal to  $\Sigma$  if it is normal to  $T_p \Sigma = \text{span}\{\sigma_u, \sigma_v\}$ . This is true if and only if

$$\left\langle \frac{d}{dt}(\sigma_u \dot{u} + \sigma_v \dot{v}), \sigma_u \right\rangle = \left\langle \frac{d}{dt}(\sigma_u \dot{u} + \sigma_v \dot{v}), \sigma_v \right\rangle = 0$$

We only consider the first one, since the second one will be similar. Rearranging, it is equivalent to

$$\frac{d}{dt} \langle \sigma_u \dot{u} + \sigma_v \dot{v}, \sigma_u \rangle - \left\langle \sigma_u \dot{u} + \sigma_v \dot{v}, \frac{d}{dt}(\sigma_u) \right\rangle = 0$$

Using  $E = \langle \sigma_u, \sigma_u \rangle$  etc, we get that

$$\frac{d}{dt}(E\dot{u} + F\dot{v}) - \langle \sigma_u \dot{u} + \sigma_v \dot{v}, \sigma_{uu}\dot{u} + \sigma_{uv}\dot{v} \rangle = 0$$

which means that

$$\frac{d}{dt}(E\dot{u} + F\dot{v}) - (\dot{u}^2 \langle \sigma_u, \sigma_{uu} \rangle + \dot{u}\dot{v} (\langle \sigma_u, \sigma_{uv} \rangle + \langle \sigma_v, \sigma_{vu} \rangle) + \dot{v}^2 \langle \sigma_v, \sigma_{vv} \rangle) = 0$$

Computing  $E_u, F_u$  and  $G_u$ , we see that this is precisely the first geodesic equation.  $\square$

**Lemma 6.9.** Suppose  $\Sigma$  is a smooth surface in  $\mathbb{R}^3$ ,  $\Pi \subseteq \mathbb{R}^3$  plane, where  $C = \Pi \cap \Sigma$  is a smooth embedded curve, and  $\Sigma$  is preserved under reflection across  $\Pi$ . Then when parametrised at constant speed,  $C$  is a geodesic.

**Definition 6.10 (Meridian)**

Suppose  $\Sigma$  is a surface of revolution, then a curve formed by the intersection of  $\Sigma$  and a plane through the  $z$ -axis is known as a meridian.

**Definition 6.11 (Parallel)**

Suppose  $\Sigma$  is a surface of revolution, then a curve formed by the intersection of  $\Sigma$  and a plane perpendicular to the  $z$ -axis is known as a parallel.



**Proposition 6.12.** All meridians are geodesics.

**Lemma 6.13.** A parallel is a geodesic if and only if it is at a critical point of  $f = r = \sqrt{x^2 + y^2}$ .

**Proposition 6.14 (Clairaut's relation).** Suppose  $\gamma$  is a geodesic,  $\rho(t) = \sqrt{x^2 + y^2}$  and  $\theta(t)$  is the angle between  $\gamma$  and the parallel passing through  $\gamma(t)$ , then

$$\frac{d}{dt}(\rho \cos(\theta)) = 0$$

*Proof.*

$$\cos(\theta) = \frac{\langle \sigma_v, \sigma_u \dot{u} + \sigma_v \dot{v} \rangle}{\|\sigma_v\| \|\sigma_u \dot{u} + \sigma_v \dot{v}\|}$$

Without loss of generality,  $\gamma$  is parametrised by arc length, so  $\|\sigma_u \dot{u} + \sigma_v \dot{v}\| = 1$ . Furthermore,  $\|\sigma_v\| = \rho$ . Then the second geodesic equation gives us the required result.  $\square$

## 6.1 Geodesic normal coordinates

**Proposition 6.15.** Suppose  $\Sigma \subseteq \mathbb{R}^3$  is a smooth surface, for  $p \in \Sigma$ ,  $v \in T_p \Sigma$ ,  $v \neq 0$ , we have  $\varepsilon > 0$  and a geodesic  $\gamma : [0, \varepsilon] \rightarrow \Sigma$  such that  $\gamma(0) = p$  and  $\gamma'(0) = v$ . Moreover,  $\gamma$  depends smoothly on  $(p, v)$ .

*Proof.* The geodesic equations can be written as

$$f[u, v] = \begin{pmatrix} E & F \\ F & G \end{pmatrix} \begin{pmatrix} \ddot{u} \\ \ddot{v} \end{pmatrix}$$

which is a smooth function in  $u, v$ , and  $\begin{pmatrix} E & F \\ F & G \end{pmatrix}$  is invertible, so we can write the geodesic equations as a system

$$\begin{aligned} \dot{u} &= p \\ \dot{v} &= q \\ \dot{p} &= A(u, v, p, q) \\ \dot{q} &= B(u, v, p, q) \end{aligned}$$

where  $A, B$  smooth. By the mean value inequality, a bound on  $\|DA\|$  and  $\|DB\|$  gives us the Lipschitz condition we require for Picard-Lindelöf.  $\square$

**Definition 6.16 (Geodesic normal coordinates)**

Fix  $p > 0$ , let  $\gamma$  be a geodesic starting at  $p$  parametrised by arc length.  $\gamma_t$  be the geodesic such that  $\gamma_t(0) = \gamma(t)$ ,  $\gamma_t'(0) \in T_p \Sigma$  orthogonal to  $\gamma'(t)$  and  $\gamma_t$  parametrised by arc length.

Define  $\sigma : [0, \varepsilon] \times [0, \delta] \rightarrow \Sigma$  by  $\sigma(u, v) = \gamma_v(u)$ .

**Proposition 6.17.** For  $\varepsilon, \delta$  sufficiently small,  $\sigma$  defines an allowable parametrisation of an open set of  $\Sigma$  when restricted to  $\text{Int}([0, \varepsilon] \times [0, \delta])$ .

*Proof.* Smoothness follows by Picard-Lindelöf. At  $(0, 0)$ , by construction,  $\sigma_u, \sigma_v$  orthogonal so linearly independent. So by continuity on a small open set it defines a local diffeomorphism.  $\square$

**Proposition 6.18.**

$$G(0, v) = 1 \quad \text{and} \quad G_u(0, v) = 0$$

**Corollary 6.19.** Any smooth surface  $\Sigma \subseteq \mathbb{R}^3$  has local parametrisations for which the first fundamental form has the form

$$du^2 + G(u, v)dv^2$$

where  $E = 1, F = 0$ .

*Proof.* Compute the first fundamental form for the geodesic normal coordinates. □

**Proposition 6.20.** If the first fundamental form has the form

$$du^2 + Gdv^2$$

then the curvature is given by

$$\kappa = \frac{-(\sqrt{G})_{uu}}{\sqrt{G}}$$

**Proposition 6.21.** Suppose  $\Sigma \subseteq \mathbb{R}^3$  is a smooth surface, if  $\kappa \equiv 0$  then  $\Sigma$  is locally isometric to the Euclidean plane.

*Proof.* Passing to geodesic normal coordinates, we have that

$$\frac{d^2}{du^2}(\sqrt{G}) = 0$$

Solving the differential equations and using the boundary conditions for  $G$  we get that  $G \equiv 1$ . □

**Proposition 6.22.** If  $\Sigma \subseteq \mathbb{R}^3$  is a smooth surface, and  $\kappa \equiv 1$ , then  $\Sigma$  is locally isometric to the sphere  $(S^2, du^2 + \cos(u)dv^2)$ .

*Proof.* Passing to geodesic normal coordinates and solving the differential equation we have that  $G \equiv \cos^2(u)$ . □

## 7 Abstract smooth surface

**Definition 7.1** ((Abstract) Riemannian metric)

Let  $V \subseteq_{\text{open}} \mathbb{R}^2$ , an (abstract) Riemannian metric on  $V$  is a smooth map

$$V \mapsto \{\text{positive definite symmetric bilinear forms}\} \subseteq \text{Mat}_2(\mathbb{R})$$

In terms of matrices, we have that

$$v \mapsto \begin{pmatrix} E(v) & F(v) \\ F(v) & G(v) \end{pmatrix}$$

where  $E(v), G(v) > 0, E(v)G(v) - F(v)^2 > 0$ .

### Definition 7.2 (Length)

If  $\gamma = (u, v) : [a, b] \rightarrow V$  smooth, define

$$\text{Length}(\gamma) = \int_a^b (E\dot{u}^2 + 2F\dot{u}\dot{v} + G\dot{v}^2)^{1/2} dt$$

### Definition 7.3 (Riemannian metric)

Suppose  $\Sigma$  is an abstract smooth surface,  $(U_i, \phi_i)_{i \in I}$  smooth atlas for  $\Sigma$ . A Riemannian metric  $g$  or  $ds^2$  is a Riemannian metric on each  $V_i$  such that if  $\sigma, \tilde{\sigma}$  parametrisations,  $f = \tilde{\sigma}^{-1} \circ \sigma$  transition map, then we require

$$\begin{pmatrix} E & F \\ F & G \end{pmatrix} = (Df)^\top \begin{pmatrix} \tilde{E} & \tilde{F} \\ \tilde{F} & \tilde{G} \end{pmatrix} (Df)$$

That is  $Df$  defines an isometry of the open sets  $V$  and  $\tilde{V}$ .

### Definition 7.4 (Isometry)

Suppose  $(\Sigma_1, g_1)$  and  $(\Sigma_2, g_2)$  are abstract smooth surfaces with abstract Riemannian metrics, then a diffeomorphism

$$f : \Sigma_1 \xrightarrow{\cong_d} \Sigma_2$$

is an isometry if it preserves the lengths of all curves.

### Definition 7.5 (Length metric)

Given a Riemannian metric  $g$  on a connected abstract smooth surface  $\Sigma$ , define the length metric

$$d(p, q) = \inf_{\gamma: p \rightarrow q \text{ piecewise smooth}} \text{Length}(\gamma)$$

**Proposition 7.6.** The length metric defines a metric.

*Proof.*  $\Sigma$  is path connected, and piecewise smoothness follows by compactness. □

**Proposition 7.7.** The length metric defines the same topology as the one from the topological surface structure.

## 8 Hyperbolic geometry

### 8.1 Models of hyperbolic geometry

#### Definition 8.1 (Disc model)

Let  $D = D(0, 1) \subseteq \mathbb{C}$ , define the hyperbolic metric by

$$g = \frac{4(du^2 + dv^2)}{(1 - u^2 - v^2)^2} = \frac{4|dz|^2}{(1 - |z|^2)^2}$$

**Proposition 8.2.** The subgroup of the Möbius group that preserves the unit disc  $D$  is

$$\mathcal{M}(D) = \{T \in \mathcal{M} : T(D) = D\} = \left\{z \mapsto e^{i\theta} \frac{z - a}{1 - \bar{a}z} : |a| < 1\right\}$$

**Lemma 8.3.** The hyperbolic metric  $g$  is invariant under  $\mathcal{M}(D)$ . That is,  $\mathcal{M}(D)$  acts by isometries.

*Proof.* Suffices to consider the generators.  $z \mapsto e^{i\theta}z$  is a rotation and preserves the metric. For the second type, let  $w = \frac{z - a}{1 - \bar{a}z}$ , and by computing we find that

$$\frac{|dw|^2}{(1 - |w|^2)^2} = \frac{|dz|^2}{(1 - |z|^2)^2}$$

□

**Lemma 8.4.** Every pair of points in  $(D, g)$  is joined by a unique geodesic.

*Proof.* By a Möbius map, we can consider one point being the origin. Then by computing, we find that the diameter of the circle is the unique geodesic. □

**Lemma 8.5.** Geodesics in  $(D, g)$  are diameters of the disc, and circular arcs perpendicular to  $\partial D$ .

*Proof.* By above, diameters are geodesics, and as Möbius maps are conformal, and sending circles/lines to circles/lines, we get the required result. □

**Corollary 8.6.** If  $p, q \in D$ , then

$$d(p, q) = 2 \operatorname{artanh} \left( \left| \frac{p - q}{1 - \bar{p}q} \right| \right)$$

**Definition 8.7 (Half plane model)**

Let  $\mathcal{H}$  be the (open) upper half plane, define the metric

$$g = \frac{dx^2 + dy^2}{y^2} = \frac{|dz|^2}{\operatorname{Im}(z)^2}$$

**Lemma 8.8.**  $(D, g)$  and  $(\mathcal{H}, g)$  are isometric.

*Proof.* The Möbius map

$$T(w) = \frac{w - i}{w + i}$$

defines an isometry  $D \rightarrow \mathcal{H}$ . □

**Corollary 8.9.** In  $(\mathcal{H}, g)$  every pair of points are joined by a unique geodesic, where the geodesics are vertical lines or arcs of circles with centres on the real axis.

## 8.2 Inversion

**Definition 8.10** (Inverse points)

Let  $\Gamma \subseteq \hat{\mathbb{C}}$  be a line or circle.  $z, z' \in \hat{\mathbb{C}}$  are inverse points if every line/circle orthogonal to  $\Gamma$ , passing through  $z$  also passes through  $z'$ .

**Lemma 8.11.** For every circle  $\Gamma \subseteq \hat{\mathbb{C}}$ ,  $z \in \mathbb{C}$ , there is a unique inverse point  $z'$  for  $z$ .

*Proof.* Since Möbius maps are conformal and preserves circles, without loss of generality we may assume that  $\Gamma = \mathbb{R} \cup \{\infty\}$ . Then  $J(z) = \bar{z}$  works.  $\square$

**Proposition 8.12.** If  $\Gamma = S^1 = \{z : |z| = 1\}$ , then inversion is given by  $z \mapsto 1/z$ .

**Proposition 8.13.** A composition of two inversions is a Möbius map.

**Lemma 8.14.** An orientation preserving element of  $(\mathbb{H}^2, g)$  is an element of  $\mathcal{M}(\mathbb{H}^2)$ .

**Definition 8.15** (Elliptic, parabolic, hyperbolic)

Suppose  $\alpha \in \mathcal{M}(\mathbb{H}^2)$  is a non identity element, then

- $\alpha$  is elliptic if  $\alpha$  fixes  $p \in \mathbb{H}$ .
- $\alpha$  is parabolic if  $\alpha$  fixes a unique  $p \in \partial\mathbb{H}$ .
- $\alpha$  is hyperbolic if  $\alpha$  fixes two points on  $\partial\mathbb{H}$ .

## 8.3 Geometry

**Definition 8.16** (Hyperbolic line)

Geodesics in the hyperbolic plane are called hyperbolic lines.

**Definition 8.17** (Parallel, ultraparallel, intersecting)

Suppose  $l_1, l_2$  are lines in  $\mathbb{H}$ , then

- $l_1$  and  $l_2$  are parallel if they meet at  $\partial\mathbb{H}$  but not in  $\mathbb{H}$ .
- $l_1$  and  $l_2$  are ultraparallel if they do not meet in  $\mathbb{H} \cup \partial\mathbb{H}$ .
- $l_1$  and  $l_2$  are intersecting if they meet in  $\mathbb{H}$ .

**Definition 8.18** (Hyperbolic triangle)

A hyperbolic triangle is the region bound by three hyperbolic lines, no two of which are ultraparallel.

**Definition 8.19** (Ideal vertices)

For a hyperbolic polygon, vertices on  $\partial H$  are ideal vertices.

**Proposition 8.20** (Hyperbolic cosh formula). Suppose we have a hyperbolic triangle with side lengths  $A, B, C$ , and opposite angles  $\alpha, \beta, \gamma$ ,

$$\cosh(C) = \cosh(A) \cosh(B) - \sinh(A) \sinh(B) \sin(\gamma)$$

**Proposition 8.21** (Area of triangle). The area of a hyperbolic triangle with angles  $\alpha, \beta, \gamma$  is  $\pi - \alpha - \beta - \gamma$ .

**Lemma 8.22.** Suppose  $n \geq 3$ , then we have a regular  $n$ -gon with interior angle  $\frac{2\pi}{n}$ .

*Proof.* Consider an ideal  $n$ -gon with interior angle 0, and by intermediate value theorem we have such an  $n$ -gon. □

**Theorem 8.23.** For  $g \geq 2$ , there is an abstract Riemannian metric on the compact surface of genus  $g$  with curvature  $-1$ .

*Proof.* Consider a  $4g$ -gon with gluing pattern  $a_1 b_1 a_1^{-1} b_1^{-1} \dots a_g b_g a_g^{-1} b_g^{-1}$ . □

**Lemma 8.24.** For  $l_\alpha, l_\beta, l_\gamma > 0$ , there exists a right angle hyperbolic hexagon with side lengths (in order)  $l_\alpha, \bullet, l_\beta, \bullet, l_\gamma, \bullet$ .

*Proof.* For all  $t > 0$ , there exists (up to isometry) a unique configuration of ultraparallel lines, with a unique perpendicular and length  $t > 0$ . Taking  $t \rightarrow \infty$  we can get the required result. □

## 8.4 Decompositions

**Definition 8.25** (Pair of pants)

A pair of pants is a topological space homeomorphic to  $S^2$  with three open discs removed.

**Proposition 8.26.** For  $l_\alpha, l_\beta, l_\gamma > 0$ , there exists a hyperbolic pair of pants with boundary lengths  $l_\alpha, l_\beta, l_\gamma$ .

*Proof.* Glue two copies of the same hyperbolic right angle hexagon together. □

**Proposition 8.27.** Any compact surface of genus  $g \geq 2$  can be made by gluing pairs of pants.

**Theorem 8.28 (Local Gauss–Bonnet).** Let  $\Sigma$  be an abstract smooth surface with Riemannian metric  $g$ . Let  $R$  be a geodesic polygon in  $\Sigma$ . Then

$$\int_R \kappa dA = \sum \alpha_i - (n - 2)\pi$$

where the  $\alpha_i$  are the interior angles, and  $n$  is the number of sides.

**Theorem 8.29 (Global Gauss–Bonnet).** Let  $\Sigma$  be a compact smooth surface with Riemannian metric  $g$ . Then

$$\int_{\Sigma} \kappa dA = 2\pi\chi(\Sigma)$$

**Lemma 8.30.** A compact smooth surface has a subdivision into geodesic polygons.

**Corollary 8.31.** Local Gauss–Bonnet implies global Gauss–Bonnet.

## 9 Moduli

Recall we have a flat metric on  $T^2$  by quotienting  $[0, 1]^2$ . But this choice is not unique. For any parallelogram, we can glue it into a torus. Furthermore, in general these are not isometric.

Considering the set of all parallelograms, quotienting out by dilations and isometries of  $\mathbb{R}^2$ , we have a parallelogram with vertices  $(0, 0), (1, 0), (x, y), (1 + x, y)$ , where  $y > 0$ . This then gives us a map

$$\mathcal{H} \rightarrow \frac{\text{Flat metrics on } T^2}{\text{Dilations}}$$

**Lemma 9.1.**  $SL_2(\mathbb{Z})$  acts on  $T^2 = \mathbb{R}^2/\mathbb{Z}^2$  by isometries.

**Theorem 9.2.** The map

$$\mathcal{H} \rightarrow \frac{\text{Flat metrics on } T^2}{\text{Dilations}}$$

defines a bijection map

$$\frac{\mathcal{H}}{SL_2(\mathbb{Z})} \equiv \frac{\text{Flat metrics on } T^2}{\text{Dilations, Diffeomorphisms}^+}$$

where  $\text{Diffeomorphisms}^+$  is the group of orientation preserving diffeomorphisms.  $\frac{\mathcal{H}}{SL_2(\mathbb{Z})}$  is called the moduli space of flat metrics on  $T^2$ .