

# Differential geometry

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## 1 Smooth manifolds and smooth maps

### 1.1 Definitions

#### Definition 1.1 (smooth map)

Let  $X \subseteq \mathbb{R}^N$ . Then  $f : X \rightarrow \mathbb{R}^m$  is smooth if for all  $x \in X$ , there exists an open neighbourhood  $U \subseteq \mathbb{R}^n$  of  $x$ , and  $F : U \rightarrow \mathbb{R}^m$  smooth, such that  $F|_{U \cap X} = f|_{U \cap X}$ .

#### Definition 1.2 ((embedded) manifold, parametrisation, charts, coordinate functions)

$X \subseteq \mathbb{R}^N$  is a  $k$ -dimensional manifold if each  $x \in X$  has a neighbourhood  $V$  which is diffeomorphic to an open set in  $\mathbb{R}^k$ .

If  $\phi : U \rightarrow V$  is the diffeomorphism, we say that  $\phi$  is a parametrisation of  $V$ . The inverse map  $\phi^{-1} : V \rightarrow U$  is called a chart on  $V$ . If  $\phi^{-1} = (x_1, \dots, x_k)$ , the  $x_i : V \rightarrow \mathbb{R}$  are called coordinate functions.

**Definition 1.3** (submanifold)

If  $X, Z$  are manifolds in  $\mathbb{R}^N$ , with  $Z \subseteq X$ , then  $Z$  is a submanifold of  $X$ . The codimension of  $Z$  in  $X$  is  $\text{codim}_X(Z) = \dim(X) - \dim(Z)$ .

**Definition 1.4** (tangent space)

Let  $X \subseteq \mathbb{R}^N$  be a manifold,  $\phi : U \rightarrow X$  a parametrisation around  $x \in X$ , with  $\phi(0) = x$ . Then define

$$T_x X = d\phi_0(\mathbb{R}^k)$$

where  $d\phi_0 : \mathbb{R}^k \rightarrow \mathbb{R}^N$  is the derivative of  $\phi$  at 0.

**Lemma 1.5.** The tangent space is well defined, that is,  $T_x X$  is independent of the choice of  $\phi$ . Furthermore,  $\dim(T_x X) = \dim(X)$ .

*Proof.* Suppose we had another parametrisation  $\psi : V \rightarrow X$ , with  $\psi(0) = x$ . By shrinking  $U, V$ , wlog  $\phi(U) = \psi(V)$ . Then  $h = \psi^{-1} \circ \phi : U \rightarrow V$  is a diffeomorphism. Then, by the chain rule,

$$d\phi_0 = d\psi_0 \circ dh_0$$

and  $dh_0$  is an invertible linear map, so  $\text{im}(d\phi_0) = \text{im}(d\psi_0)$ . Now since  $\phi^{-1} : \phi(U) \rightarrow U$  is smooth, we can choose  $W \subseteq \mathbb{R}^N$  open neighbourhood of  $x$ , and a smooth map  $\Phi : W \rightarrow \mathbb{R}^k$  with  $\Phi|_{\phi(U)} = \phi^{-1}$ . Then  $\Phi \circ \phi = \text{id}_U$ , so by the chain rule, we have

$$\mathbb{R}^k \xrightarrow{d\phi_0} T_x X \xrightarrow{d\Phi_x} \mathbb{R}^k$$

is the identity map on  $\mathbb{R}^k$ , so  $d\phi_0 : \mathbb{R}^k \rightarrow T_x X$  is an isomorphism. □

**Definition 1.6** (derivative)

Let  $f : X \rightarrow Y$  be smooth. Then the derivative of  $f$  at  $x$  is  $df_x : T_x X \rightarrow T_{f(x)} Y$ , given by

- Choose parametrisations  $\phi$  near  $x$ ,  $\psi$  near  $f(x)$ , with

$$\begin{array}{ccc} (X, x) & \xrightarrow{f} & (Y, f(x)) \\ \uparrow \phi & & \uparrow \psi \\ (U, 0) & \xrightarrow{h := \psi^{-1} \circ f \circ \phi} & (V, 0) \end{array}$$

- Then define  $df_x : T_x X \rightarrow T_{f(x)} Y$  by

$$\begin{array}{ccc} T_x X & \xrightarrow{df_x} & T_{f(x)} Y \\ \uparrow d\phi_0 & & \uparrow d\psi_0 \\ \mathbb{R}^k & \xrightarrow{dh_0} & \mathbb{R}^\ell \end{array}$$

i.e.  $df_x := d\psi_0 \circ dh_0 \circ d\phi_0^{-1}$ .

**Lemma 1.7.** The definition of the derivative is independent of the choice of  $\phi$  and  $\psi$ .

**Lemma 1.8** (chain rule). If we have smooth maps

$$(X, x) \xrightarrow{f} (Y, y) \xrightarrow{g} (Z, z)$$

then

$$d(g \circ f)_x = dg_{f(x)} \circ df_x$$

*Proof.* By the chain rule for functions between Euclidean spaces. □

**Theorem 1.9** (inverse function). Suppose  $f : X \rightarrow Y$  is a smooth map, with  $df_x$  being an isomorphism. Then  $f$  is a diffeomorphism in a neighbourhood of  $x$ .

*Proof.* Follows from the inverse function theorem for smooth functions between Euclidean spaces. □

## 1.2 Regular values, Sard's theorem

**Definition 1.10** (critical point, critical value, regular value)

Let  $f : X \rightarrow Y$  be smooth. Then

- (i)  $x \in X$  is a critical point if  $df_x : T_x X \rightarrow T_{f(x)} Y$  is not surjective. We write  $\text{Crit}(f)$  for the set of all critical points.
- (ii)  $y \in f(\text{Crit}(f))$  is called a critical value,
- (iii)  $y \in Y \setminus f(\text{Crit}(f))$  is called a regular value of  $f$ .

**Proposition 1.11.** If  $\dim(X) < \dim(Y)$ , then  $\text{Crit}(f) = X$ , and the preimage of a regular value is the empty set.

*Proof.* Since  $\text{rank}(df_x) \leq \dim(X) < \dim(Y) = \dim(T_{f(x)} Y)$ , the derivative is not surjective at any point. □

**Theorem 1.12** (preimage). Let  $y$  be a regular value of  $f : X \rightarrow Y$ , with  $\dim(X) \geq \dim(Y)$ . Then  $f^{-1}(y)$  is a submanifold of  $X$ , with

$$\dim(f^{-1}(y)) = \dim(X) - \dim(Y)$$

*Proof.* Let  $x \in f^{-1}(y)$ . Since  $y$  is a regular value,  $df_x : T_x X \rightarrow T_y Y$  is surjective. Let  $K = \ker(df_x) \leq T_x X$ , with  $d = \dim(K) = \dim(X) - \dim(Y)$ .

Consider an embedding  $X \subseteq \mathbb{R}^N$ , and let  $T : \mathbb{R}^N \rightarrow \mathbb{R}^d$  be any linear map such that  $\ker(T) \cap K = 0^1$ . Then consider the map  $F : X \rightarrow Y \times \mathbb{R}^d$ , given by  $F(z) = (f(z), T(z))$ . Then we have that

$$dF_x(v) = (df_x(v), T(v))$$

which is an injective linear map between two vector spaces of the same dimension, so it must be an isomorphism. Thus, by the inverse function theorem,  $F$  is a local diffeomorphism at  $x$ . That is, there exists neighbourhoods  $U$  of  $x$ ,  $V$  of  $(y, T(x))$  such that  $F : U \rightarrow V$  is a diffeomorphism. So we have that

<sup>1</sup>Which exists, by basic linear algebra arguments if  $N$  is sufficiently large. For example, projection onto the last  $d$  coordinates, where we embed  $X$  into  $\mathbb{R}^{N-d} \times 0$ .

$$F : f^{-1}(y) \cap U \rightarrow (\{y\} \times \mathbb{R}^d) \cap V$$

is a diffeomorphism. Hence  $f^{-1}(y)$  is a manifold, with  $\dim(f^{-1}(y)) = d$ .  $\square$

**Corollary 1.13.** If  $f : X \rightarrow Y$  is a smooth map,  $\dim(X) = \dim(Y)$ ,  $X$  compact and  $y$  is a regular value of  $f$ , then  $f^{-1}(y)$  is a finite set of points.

*Proof.*  $f^{-1}(y)$  is a 0-dimensional manifold, that is, a discrete set of points. But  $f$  is continuous and  $Y$  Hausdorff, so  $f^{-1}(y)$  is closed. But a closed discrete subset of a compact space is finite.  $\square$

In fact, near regular values, smooth maps are covering maps.

**Theorem 1.14 (stack of records).** Let  $f : X \rightarrow Y$  be a smooth map,  $\dim(X) = \dim(Y)$  and  $X$  compact. Let  $y$  be a regular value of  $f$ . Say  $f^{-1}(y) = \{x_1, \dots, x_k\}$ . Then there exists an open neighbourhood  $U$  of  $y$ , and open neighbourhoods  $V_i$  of  $x_i$ , such that

$$f^{-1}(U) = \bigsqcup_{i=1}^k V_i \quad \text{and} \quad f|_{V_i} : V_i \rightarrow U \text{ is a diffeomorphism}$$

*Proof.* By the inverse function theorem, we can choose disjoint neighbourhoods  $W_i$  of  $x_i$  such that  $f$  maps  $W_i$  diffeomorphically to a neighbourhood of  $y$ . Now notice that  $f(X \setminus \bigcup_i W_i)$  is a compact set which does not contain  $y$ , so we can take

$$U = \bigcup_i f(W_i) \setminus f\left(X \setminus \bigcup_i W_i\right)$$

$\square$

With this, we have a result which is akin to the valency theorem from Riemann surfaces, or the degree of a (branched) covering.

**Corollary 1.15.** The function  $y \mapsto |f^{-1}(y)|$  is locally constant as  $y$  ranges over the regular values of  $f$ .

**Theorem 1.16 (Sard).** Let  $f : X \rightarrow Y$  be a smooth map. Then  $\text{Crit}(f)$  has measure zero<sup>a</sup>.

<sup>a</sup>Formally, we haven't defined a measure, or even  $\sigma$ -algebra on manifolds. However, in this case, we say that a set  $A \subseteq X$  has measure zero if for all parametrisations  $\phi$ ,  $\phi^{-1}(A)$  has measure zero in  $\mathbb{R}^k$ . Since a measure would be countably subadditive, and manifolds are second countable, this notion of "measure zero" makes sense.

**Corollary 1.17.** The set of regular values of  $f : X \rightarrow Y$  is dense.

*Proof.* A set of measure zero can't contain any nonempty open set.  $\square$

### 1.3 Transversality

**Definition 1.18 (transversal)**

A smooth map  $f : X \rightarrow Y$  is transversal to a submanifold  $Z \leq Y$  if for every  $x \in f^{-1}(Z)$ , we have that

$$\text{im}(df_x) + T_{f(x)}Z = T_{f(x)}Y$$

In this case, we write  $f \pitchfork Z$ .

**Proposition 1.19.**  $f \pitchfork \{y\}$  if and only if  $y$  is a regular value for  $f$ .

**Theorem 1.20.** Suppose  $f : X \rightarrow Y$  is transversal to a submanifold  $Z \leq Y$ . Then  $f^{-1}(Z)$  is a submanifold of  $X$ . Moreover,

$$\text{codim}_X(f^{-1}(Z)) = \text{codim}_Y(Z)$$

*Proof.* Nonexamined, so omitted. □

**Definition 1.21** (transversality of submanifolds)

Suppose  $X, Z$  are submanifolds of  $Y$ . Then we say that  $X, Z$  are transversal, written  $X \pitchfork Z$  if

$$T_x X + T_x Z = T_x Y$$

for all  $x \in X \cap Z$ . Equivalently, the inclusion map  $\iota : X \hookrightarrow Y$  is transversal to  $Z$ .

**Proposition 1.22.** Suppose  $X, Z$  are transversal submanifolds of  $Y$ . Then  $X \cap Z$  is a submanifold of  $Y$ , with

$$\text{codim}_Y(X \cap Z) = \text{codim}_Y(X) + \text{codim}_Y(Z)$$

## 1.4 Manifolds with boundary

**Definition 1.23** (closed half space)

The closed half space  $\mathbb{H}^k$  is defined by

$$\mathbb{H}^k = \{(x_1, \dots, x_k) \in \mathbb{R}^k \mid x_k \geq 0\}$$

with boundary

$$\partial \mathbb{H}^k = \{x_k = 0\}$$

**Definition 1.24** ((embedded) manifold with boundary)

A subset  $X \subseteq \mathbb{R}^N$  is called a  $k$ -manifold with boundary if each  $x \in X$  has a neighbourhood diffeomorphic to an open set in  $\mathbb{H}^k$ . The boundary of  $X$ , denoted  $\partial X$ , is the set of points in the image of  $\partial \mathbb{H}^k$  under some parametrisation. We write  $\text{Int}(X) = X \setminus \partial X$  for the interior.

**Proposition 1.25.** The tangent space  $T_x X$ , as defined for manifolds, is well defined for manifolds with boundary.

**Proposition 1.26.**  $\text{Int}(X)$  is a  $k$ -manifold without boundary, and  $\partial X$  is a  $(k-1)$ -manifold without boundary.

**Lemma 1.27.** Let  $X$  be a manifold,  $f : X \rightarrow \mathbb{R}$  a smooth function with 0 as a regular value. Then  $\{x \mid f(x) \geq 0\}$  is a smooth manifold with boundary  $f^{-1}(0)$ .

*Proof.* The set  $\{x \mid f(x) > 0\}$  is open, so it is a submanifold of the same dimension as  $X$ . For a point  $x \in f^{-1}(0)$ , the same proof as in the preimage theorem shows that  $x$  has a neighbourhood diffeomorphic to a neighbourhood of a point in  $\partial\mathbb{H}^k$ .  $\square$

**Theorem 1.28 (preimage).** Let  $f : X \rightarrow Y$  be a smooth map from an  $m$ -manifold with boundary to an  $n$ -manifold, with  $m > n$ . Suppose  $y \in Y$  is a regular value for  $f$ , and  $f|_{\partial X}$ . Then  $f^{-1}(y)$  is a smooth  $(m - n)$ -manifold with boundary  $f^{-1}(y) \cap \partial X$ .

*Proof.* Since being a submanifold is a local property, we may assume wlog that  $X = \mathbb{H}^m$ ,  $Y = \mathbb{R}^n$ . Now consider  $z \in f^{-1}(y)$ . If  $z \in \text{Int}(\mathbb{H}^m)$ , then the preimage theorem shows that  $f^{-1}(y)$  is a smooth  $(m - n)$ -manifold near  $z$ .

Now suppose  $z \in \partial\mathbb{H}^m$ . Since  $f$  is smooth, we have a neighbourhood  $U$  of  $\mathbb{R}^m$ ,  $F : U \rightarrow \mathbb{R}^n$  smooth such that  $F|_{U \cap \mathbb{H}^m} = f$ . Since  $y$  is a regular value for  $f$ , and

$$\frac{\partial f}{\partial x_i} = \frac{\partial F}{\partial x_i} \text{ for } i = 1, \dots, k - 1$$

Thus,  $y$  is a regular value for  $F$ . Hence  $F^{-1}(y)$  is a  $(m - n)$ -manifold. Now let  $\pi : F^{-1}(y) \rightarrow \mathbb{R}$  be the projection  $\pi(x_1, \dots, x_m) = x_m$ . But then we have that for  $x \in \pi^{-1}(0)$ ,

$$T_x F^{-1}(y) = \ker(dF_x) = \ker(df_x)$$

So 0 is a regular value for  $\pi$ , as  $y$  is a regular value for  $f|_{\partial\mathbb{H}^m}$ . Finally, notice that

$$F^{-1}(y) \cap \mathbb{H}^m = f^{-1}(y) \cap U = \{x \in F^{-1}(y) \mid \pi(x) \geq 0\}$$

so it is a smooth manifold with boundary  $\pi^{-1}(0)$ .  $\square$

**Theorem 1.29.** Suppose  $X$  is a manifold with boundary,  $Y$  a manifold and  $Z$  a submanifold of  $Y$ . Given  $f : Y \rightarrow X$  and  $f|_{\partial X} : \partial X \rightarrow Y$  are both transversal to  $Z$ , then  $f^{-1}(Z)$  is a manifold with boundary  $f^{-1}(Z) \cap \partial X$  and  $\text{codim}_X(f^{-1}(Z)) = \text{codim}_Y(Z)$ .

## 1.5 Degree modulo 2

**Definition 1.30 (smooth homotopy)**

Given smooth maps  $f, g : X \rightarrow Y$ , a smooth homotopy between  $f$  and  $g$  is a smooth map  $H : X \times I \rightarrow Y$ , with  $H(\cdot, 0) = f$  and  $H(\cdot, 1) = g$ . If such a map exists, we say  $f$  and  $g$  are smoothly homotopic.

**Proposition 1.31.** Smooth homotopy is an equivalence relation.

**Notation 1.32.** We write  $f_t = H(\cdot, t)$  for the one-parameter family of maps given by a smooth homotopy.

**Definition 1.33 (smooth isotopy)**

A smooth isotopy between diffeomorphisms  $f, g : X \rightarrow Y$  is a homotopy  $H : X \times [0, 1] \rightarrow Y$  between  $f$  and  $g$ , such that  $f_t = H(\cdot, t)$  is a diffeomorphism for all  $t \in [0, 1]$ . If such a map exists, we say  $f$  and  $g$  are

smoothly isotopic.

**Theorem 1.34** (classification of 1-manifolds). Every compact connected 1-manifold with boundary is diffeomorphic to  $[0, 1]$  or  $S^1$ .

**Corollary 1.35.** The boundary of any compact 1-manifold with boundary consists of an even number of points.

*Proof.* Every compact manifold is the disjoint union of finitely many compact connected manifolds.  $\square$

**Lemma 1.36** (homotopy lemma). Suppose  $f, g : X \rightarrow Y$  are smoothly homotopic,  $X$  compact without boundary,  $\dim(X) = \dim(Y)$ . If  $y$  is a regular value for  $f$  and  $g$ , then

$$|f^{-1}(y)| \equiv |g^{-1}(y)| \pmod{2}$$

*Proof.* Let  $F : X \times I \rightarrow Y$  be a smooth homotopy between  $f$  and  $g$ . First suppose  $y$  is a regular value for  $F$ . Then  $F^{-1}(y)$  is a compact 1-manifold with boundary

$$F^{-1}(y) \cap (X \times \{0\} \cup X \times \{1\}) = f^{-1}(y) \times \{0\} \cup g^{-1}(y) \times \{1\}$$

Therefore, we have that  $|\partial F^{-1}(y)| = |f^{-1}(y)| + |g^{-1}(y)|$ . But  $F^{-1}(y)$  is a compact 1-manifold with boundary, so the number of points in the boundary is even, which gives us the required result.

On the other hand, if  $y$  is not a regular value for  $F$ , by the stacks of records theorem, we know that  $|f^{-1}(w)|, |g^{-1}(w)|$  are locally constant as  $w$  ranges over regular values. Therefore, there are neighbourhoods  $V, W$  of  $y$ , consisting of regular values of  $f, g$  respectively, then

$$|f^{-1}(w)| = |f^{-1}(y)| \text{ for all } w \in V$$

and

$$|g^{-1}(w)| = |g^{-1}(y)| \text{ for all } w \in W$$

Now by Sard's theorem, we can choose a regular value  $z \in V \cap W$  of  $F$ , then

$$|f^{-1}(y)| = |f^{-1}(z)| \equiv |g^{-1}(z)| = |g^{-1}(y)| \pmod{2}$$

$\square$

**Lemma 1.37** (homogeneity). Let  $X$  be a smooth connected manifold, possibly with boundary. Let  $y, z \in \text{Int}(X)$ . Then there exists a diffeomorphism  $h : X \rightarrow X$  smoothly isotopic to  $\text{id}_X$  such that  $h(y) = z$ .

*Proof.* Since  $X$  is connected, suffices to check that the result holds locally. Choose a small neighbourhood of  $y$  which is diffeomorphic to  $\mathbb{R}^k$ . So we only need to construct  $F : \mathbb{R}^k \rightarrow \mathbb{R}^k$  smoothly isotopic to the identity, such that the isotopy restricts to the identity on  $\mathbb{R}^n \setminus B_1(0)$ .

Let  $\varphi : \mathbb{R}^k \rightarrow \mathbb{R}$  be a smooth bump function, with

(i)  $\varphi(x) > 0$  for  $|x| < 1$ ,

(ii)  $\varphi(x) = 0$  for  $|x| \geq 1$ .

Then given a unit vector  $u \in \mathbb{R}^k$ , consider the ODE in  $\mathbb{R}^k$  given by

$$\frac{dx}{dt} = u\varphi(x)$$

By standard ODE theory, if  $F_t : \mathbb{R}^k \rightarrow \mathbb{R}^k$  is the flow of this differential equation, that is,  $t \mapsto F_t(x)$  is the solution to the ODE with  $F_t(0) = x$ . Then we have that

(i)  $F_t$  is defined for all  $x \in \mathbb{R}^k$ , for all  $t \geq 0$  and smooth.

(ii)  $F_0 = \text{id}$ ,

(iii)  $F_{t+s} = F_t \circ F_s$ .

Furthermore,  $F_t$  leaves all points outside  $B_1(0)$  fixed. Finally, for appropriate choices of  $u, t$ ,  $F_t$  will map the origin to any point in the open unit ball.  $\square$

**Theorem 1.38 (degree mod 2).** Suppose  $X$  compact manifold without boundary,  $Y$  connected,  $\dim(X) = \dim(Y)$ ,  $f : X \rightarrow Y$  smooth. Then if  $y, z$  regular values of  $f$ , we have that

$$|f^{-1}(y)| \equiv |f^{-1}(z)| \pmod{2}$$

Furthermore, this value only depends on the homotopy class of  $f$ .

*Proof.* Given  $y, z$ , by the homogeneity lemma, we have a diffeomorphism  $h$  smoothly isotopic to the identity such that  $h(y) = z$ . Now notice that  $z$  is also a regular value for  $h \circ f$ . Since  $h \circ f$  is homotopic to  $f$ , the homotopy lemma tells us that

$$|f^{-1}(y)| = |(h \circ f)^{-1}(z)| \equiv |f^{-1}(z)| \pmod{2}$$

Now suppose  $g$  is smoothly isotopic to  $f$ . Then by Sard's theorem, there exists a point  $y \in Y$  which is a regular value for  $f$  and  $g$ , since the (finite) union of measure zero sets has measure zero. Thus, by the homotopy lemma, we have that

$$|f^{-1}(y)| \equiv |g^{-1}(y)| \pmod{2}$$

$\square$

**Definition 1.39 (degree mod 2)**

The degree modulo 2 for a smooth map  $f : X \rightarrow Y$  is defined by

$$\deg_2(f) = |f^{-1}(y)| \pmod{2}$$

for any regular value  $y$  of  $f$ .

**Theorem 1.40 (Brouwer).** Any smooth map  $f : D^k \rightarrow D^k$  has a fixed point.

*Proof.* Suppose not. Then (as in the proof for  $k = 2$  from algebraic topology), we have a retraction  $g : D^k \rightarrow S^{k-1}$ . Then  $H(x, t) = f(tx)$  is a homotopy between a constant map  $S^{k-1} \rightarrow S^{k-1}$  and  $\text{id}_{S^{k-1}}$ . But the first has  $\deg_2 = 0$  and the second has  $\deg_2 = 1$ .  $\square$

**Remark 1.41.** Morally this is the same as the homology proof of Brouwer. The degree modulo 2 is just induced map on the top homology group with coefficients in  $\mathbb{F}_2$ .

### 1.5.1 Intersection numbers modulo 2

Now suppose

(i)  $X$  is a compact manifold without boundary,

(ii)  $Y$  is a connected manifold,

(iii)  $Z \subseteq Y$  is a closed<sup>2</sup> submanifold without boundary,

<sup>2</sup>As in a closed subset, not a closed manifold.



(iv)  $f : X \rightarrow Y$  smooth, with  $f \pitchfork Z$ ,

(v)  $\dim(X) + \dim(Z) = \dim(Y)$ .

In this case,  $f^{-1}(Z)$  is a closed 0-dimensional submanifold of a compact manifold  $X$ , so it is a finite set.

**Definition 1.42** (mod 2 intersection number)

The mod 2 intersection number of  $f$  with  $Z$  is

$$I_2(f, Z) = |f^{-1}(Z)| \pmod{2}$$

**Proposition 1.43.** If  $f_0, f_1$  are transversal to  $Z$  and homotopic, then  $I_2(f_0, Z) = I_2(f_1, Z)$ .

**Proposition 1.44.** For any map  $f : X \rightarrow Y$ , we can find  $g : X \rightarrow Y$  homotopic to  $f$ , such that  $g$  is transversal to  $Z$ . Therefore we can define  $I_2(f, Z) = I_2(g, Z)$ .

**Definition 1.45** (mod 2 intersection number of submanifolds)

If  $f$  is the inclusion map  $X \hookrightarrow Z$ , define  $I_2(X, Z) = I_2(f, Z)$ .

**Proposition 1.46.** If  $X \pitchfork Z$ , then  $I_2(X, Z) = |X \cap Z| \pmod{2}$ .

## 2 Geometry of curves and surfaces

### 2.1 Curves

**Definition 2.1** ((regular) curve)

Let  $I \subseteq \mathbb{R}$  be an interval,  $X$  be manifold. A curve in  $X$  is a smooth map  $\alpha : I \rightarrow X$ . We say that  $\alpha$  is regular if  $\dot{\alpha} \in T_{\alpha(t)}X$  is never zero.

**Definition 2.2** (arc length)

Given  $t \in I$ , the arc length of  $\alpha : I \rightarrow \mathbb{R}^3$  from  $t_0 \in I$  is given by

$$s(t) = \int_{t_0}^t |\dot{\alpha}(\tau)| d\tau$$

**Proposition 2.3.** Suppose  $\alpha$  is a regular curve. Then  $s$  is a strictly increasing function, and so has a smooth inverse. Then the curve  $\beta(s) = \alpha(t(s))$  is parametrised by arc length, that is,  $|\dot{\beta}| = 1$ .

From now on, all curves will be parametrised by arc length unless otherwise specified.

**Definition 2.4** (tangent)

Let  $\alpha : I \rightarrow \mathbb{R}^3$  be a curve, the tangent vector of  $\alpha$  at  $s$  is  $t(s) = \dot{\alpha}(s)$ .

**Definition 2.5** (curvature, normal, osculating plane)

Let  $\alpha : I \rightarrow \mathbb{R}^3$  be a curve, the curvature of  $\alpha$  at  $s \in I$  is defined by

$$\kappa(s) = |\ddot{\alpha}(s)|$$

If  $\kappa(s) \neq 0$ , then the unit normal vector to  $\alpha$  is  $n(s)$  given by

$$\ddot{\alpha}(s) = \kappa(s)n(s)$$

The plane spanned by  $t(s)$  and  $n(s)$  is called the osculating plane at  $s$ .

**Definition 2.6** (binormal, torsion)

The binormal vector of  $\alpha$  is

$$b(s) = t(s) \wedge n(s)$$

then we have that

$$\dot{b}(s) = \tau(s)n(s)$$

where  $\tau(s)$  is the torsion of  $\alpha$  at  $s$ .

**Theorem 2.7** (Frenet formulae).

$$\begin{aligned} \dot{t} &= \kappa n \\ \dot{n} &= -\kappa t - \tau b \\ \dot{b} &= \tau n \end{aligned}$$

*Proof.* Easy differentiation. □

**Theorem 2.8** (fundamental theorem of curves). Given smooth functions  $\kappa(s) > 0$  and  $\tau(s)$ , there exists a regular curve  $\alpha$  such that  $s$  is the arc length,  $\kappa(s)$  is the curvature,  $\tau(s)$  is the torsion of  $\alpha$ . Moreover,  $\alpha$  is unique up to a rotation and/or a translation.

*Proof.* The result follows from the existence and uniqueness of solutions to ODEs, and the Frenet formulae. Then we can see that the solution is unique given initial conditions. □

## 2.2 Isoperimetric inequality in $\mathbb{R}^2$

**Lemma 2.9** (Wirtinger's inequality). Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be  $C^1$ ,  $f$  periodic with period  $L$ . Suppose

$$\int_0^L f(t) dt = 0$$

then

$$\int_0^L |f'(t)|^2 dt \geq \frac{4\pi^2}{L^2} \int_0^L |f(t)|^2 dt$$

with equality if and only if there exists constants  $a_{-1}, a_1$  such that

$$f(t) = a_{-1}e^{-2\pi it/L} + a_1e^{2\pi it/L}$$

*Proof.* Consider the Fourier expansions of  $f$  and  $f'$ , that is

$$f(t) = \sum_{k=-\infty}^{\infty} a_k e^{2\pi ikt/L} \quad \text{and} \quad f'(t) = \sum_{k=-\infty}^{\infty} b_k e^{2\pi ikt/L}$$

The Fourier coefficients are given by

$$a_k = \frac{1}{L} \int_0^L f(t) e^{-2\pi ikt/L} dt \quad \text{and} \quad b_k = \frac{1}{L} \int_0^L f'(t) e^{-2\pi ikt/L} dt$$

The hypotheses imply  $a_0 = b_0 = 0$ , and by integration by parts, we find that

$$b_k = \frac{2\pi ik}{L} a_k$$

Then, by Parseval's identity, we have that

$$\begin{aligned} \int_0^L |f'|^2 dt &= L \sum_k |b_k|^2 \\ &= \frac{4\pi^2}{L} \sum_k k^2 |a_k|^2 \\ &\geq \frac{4\pi^2}{L} \sum_k |a_k|^2 \\ &= \frac{4\pi^2}{L^2} \int_0^L |f|^2 dt \end{aligned}$$

and equality holds if and only if  $a_k = 0$  for all  $|k| > 1$ . □

**Theorem 2.10** (isoperimetric inequality). Let  $\Omega \subseteq \mathbb{R}^2$  be a connected, bounded open set, with  $\partial\Omega$  a connected 1-manifold of class  $C^1$ . Then

$$\ell(\partial\Omega)^2 \geq 4\pi|\Omega|$$

with equality if and only if  $\Omega$  is a disk.

*Proof.* Define the vector field  $X(x, y) = (x, y)$ , and let  $n$  be the outward pointing normal vector field along  $\partial\Omega$ . The divergence theorem gives us that

$$\int_{\Omega} \operatorname{div}(X) dA = \int_{\partial\Omega} \langle X, n \rangle ds$$

But  $\operatorname{div}(X) = 2$ . Combining this with the Cauchy-Schwarz inequality, we have that

$$2|\Omega| = \int_{\partial\Omega} \langle X, n \rangle ds \leq \int_{\partial\Omega} |X| ds \tag{*}$$

Now by the Cauchy-Schwarz inequality again, we have that

$$2|\Omega| \leq \left( \int_{\partial\Omega} |X|^2 ds \right)^{1/2} \left( \int_{\partial\Omega} ds \right)^{1/2} = \ell(\partial\Omega)^{1/2} \left( \int_{\partial\Omega} |X|^2 ds \right)^{1/2} \tag{**}$$

Since we parametrise  $\partial\Omega$  by arc length,  $X(s) = (x(s), y(s))$  along  $\partial\Omega$  are  $C^1$ , and periodic with period  $L = \ell(\partial\Omega)$ . Hence by Wirtinger's inequality, we have that

$$\left( \int_{\partial\Omega} |X|^2 ds \right)^{1/2} \leq \left( \frac{\ell(\partial\Omega)^2}{4\pi^2} \int_{\partial\Omega} |X'|^2 ds \right)^{1/2} = \frac{\ell(\partial\Omega)^{3/2}}{2\pi} \tag{***}$$

Combining (\*\*) and (\*\*\*) gives the required result. Equality holds if and only if we have equality in (\*), (\*\*), and (\*\*\*). But equality in (\*\*) implies that  $s \mapsto |X(s)|$  is constant, so  $\Omega$  is a disc. □

## 2.3 First fundamental form and area

### Definition 2.11 (first fundamental form)

Let  $S \subseteq \mathbb{R}^3$  be a surface. The first fundamental form of  $S$  at  $p$  is the quadratic form  $I_p : T_p S \rightarrow \mathbb{R}$  defined by

$$I_p(w) = \langle w, w \rangle = |w|^2$$

### Definition 2.12 (isometric)

Surfaces  $S_1, S_2$  are isometric if there exists a diffeomorphism  $f : S_1 \rightarrow S_2$  such that for all  $p \in S_1$ ,  $df_p : T_p S_1 \rightarrow T_{f(p)} S_2$  is an isometry.

Let  $\phi : U \rightarrow S$  be a parametrisation of a neighbourhood of  $p \in S$ . Let  $(u, v)$  be coordinates in  $U$ , and define

$$\phi_u(u, v) = \frac{\partial \phi}{\partial u} \in T_{\phi(u, v)} S \quad \text{and} \quad \phi_v(u, v) = \frac{\partial \phi}{\partial v} \in T_{\phi(u, v)} S$$

Define

$$E(u, v) = \langle \phi_u(u, v), \phi_u(u, v) \rangle, \quad F(u, v) = \langle \phi_u(u, v), \phi_v(u, v) \rangle \quad \text{and} \quad G(u, v) = \langle \phi_v(u, v), \phi_v(u, v) \rangle$$

**Proposition 2.13.** Suppose  $\alpha(t) = \phi(u(t), v(t))$ . Then we have that

$$I_p(\dot{\alpha}(0)) = E\dot{u}^2 + 2F\dot{u}\dot{v} + G\dot{v}^2$$

*Proof.* Chain rule. □

**Proposition 2.14.** The length of a curve  $\alpha(t) = \phi(u(t), v(t))$  is given by

$$\ell(\alpha) = \int \sqrt{E\dot{u}^2 + 2F\dot{u}\dot{v} + G\dot{v}^2} dt$$

**Proposition 2.15.**  $|\phi_u \wedge \phi_v| = \sqrt{EG - F^2}$ .

**Lemma 2.16.** Suppose  $\Omega \subseteq S$  is open, connected, bounded<sup>a</sup>. Furthermore, suppose  $\Omega$  is contained in the image of a parametrisation  $\phi : U \rightarrow S$ . Then

$$\int_{\phi^{-1}(\Omega)} |\phi_u \wedge \phi_v| du dv$$

is independent of the choice of  $\phi$ .

<sup>a</sup> $\Omega \subseteq \mathbb{R}^3$  is bounded.

*Proof.* Suppose  $\psi : W \rightarrow S$  is another parametrisation, with  $\omega \subseteq \psi(W)$ , then let  $J(x, y)$  be the Jacobian of  $h = \phi^{-1} \circ \psi$ . Then we have that

$$|\psi_x \wedge \psi_y| = |\det(J)| |\phi_u \wedge \phi_v| \circ h$$

the result follows from the change of variables formula for multiple integrals. □

**Definition 2.17** (area)

$$A(\Omega) = \int_{\phi^{-1}(\Omega)} |\phi_u \wedge \phi_v| du dv$$

is called the area of  $\Omega$ .

**Definition 2.18** (Riemannian measure)

For  $f : S \rightarrow \mathbb{R}$  continuous,  $\phi : U \rightarrow S$  a parametrisation which covers  $S^a$ , we can define

$$\int_{\mathbb{R}^n} f dA = \int_U f(u, v) \sqrt{EG - F^2} du dv$$

<sup>a</sup>Up to some null sets.

## 2.4 Gauss map

**Definition 2.19** (Gauss map)

Let  $S \subseteq \mathbb{R}^3$  be a surface. Then a smooth map  $N : S \rightarrow S^2$ , with  $N(p) \perp T_p S$  for all  $p \in S$  is called a Gauss map of  $S$ .

**Proposition 2.20.** Suppose  $\phi : U \rightarrow S$  is a parametrisation, then  $N : \phi(U) \rightarrow S^2$  defined by

$$N(p) = \frac{\phi_u \wedge \phi_v}{|\phi_u \wedge \phi_v|}$$

is a Gauss map.

The derivative of the Gauss map  $N$  is given by  $dN_p : T_p S \rightarrow T_{N(p)} S^2$ . But by definition,  $N(p) \perp T_{N(p)}(S^2)$ , so in fact (as subspaces of the ambient  $\mathbb{R}^3$ ),  $T_{N(p)} S^2 = T_p S$ . So we will write  $dN_p : T_p S \rightarrow T_p S$ .

Furthermore, when working with a parametrisation  $\phi : U \rightarrow S$ , we will abuse notation and write  $N : U \rightarrow S^2$  where  $N(u, v) = N(\phi(u, v))$ , and accordingly,

$$N_u(u, v) = \frac{\partial(N \circ \phi)}{\partial u} \quad \text{and} \quad N_v(u, v) = \frac{\partial(N \circ \phi)}{\partial v}$$

Finally, notice that by chain rule,  $N_u = dN(\phi_u)$  and  $N_v = dN(\phi_v)$  are in  $T_p S$ .

**Proposition 2.21.** The linear map  $dN_p : T_p S \rightarrow T_p S$  is self adjoint.

*Proof.* Let  $\phi : U \rightarrow S$  be a parametrisation around  $p$ . If  $\alpha(t) = \phi(u(t), v(t))$ , with  $\alpha(0) = p$ , then we have that

$$dN_p(\dot{\alpha}(0)) = dN_p(\dot{u}(0)\phi_u + \dot{v}(0)\phi_v) = \left. \frac{d}{dt} \right|_{t=0} N(u(t), v(t)) = \dot{u}(0)N_u + \dot{v}(0)N_v$$

In particular, this means that  $dN_p(\phi_u) = N_u$  and  $dN_p(\phi_v) = N_v$ . Since  $\phi_u, \phi_v$  is a basis for  $T_p S$ , we only need to show that

$$\langle N_u, \phi_v \rangle = \langle N_v, \phi_u \rangle$$

But notice that  $\langle N, \phi_u \rangle = \langle N, \phi_v \rangle = 0$ . Taking derivatives with respect to  $v$  and  $u$  respectively, we get

$$\langle N_v, \phi_u \rangle + \langle N, \phi_{uv} \rangle = 0 \quad \text{and} \quad \langle N_u, \phi_v \rangle + \langle N, \phi_{vu} \rangle = 0$$

which gives the result by the symmetry of mixed partial derivatives.  $\square$

**Definition 2.22** (second fundamental form)

The quadratic form  $II_p : T_p S \rightarrow \mathbb{R}$  defined by

$$II_p(w) = -\langle dN_p(w), w \rangle$$

is called the second fundamental form of  $S$  at  $p$ .

**Definition 2.23** (normal curvature)

Let  $\alpha : (-\varepsilon, \varepsilon) \rightarrow S$  be a curve,  $\alpha(0) = p$ . Then the normal curvature of  $\alpha$  at  $p$  is defined by

$$\kappa_n(p) = \langle N, \kappa n \rangle$$

where  $N$  is the Gauss map,  $\kappa$  the curvature of  $\alpha$  and  $n$  the unit normal to  $\alpha$  at  $p$  (i.e.  $\kappa n = \ddot{\alpha}$ ).

**Proposition 2.24.**  $\kappa_n(p) = II_p(\dot{\alpha}(0))$ . In particular, it only depends on  $\dot{\alpha}(0)$ .

*Proof.* Write  $N(s) = N(\alpha(s))$ . Then we have that  $\langle N(s), \dot{\alpha}(s) \rangle = 0$  for all  $s$ . Differentiating this, we get

$$\langle N(s), \ddot{\alpha}(s) \rangle = -\langle \dot{N}(s), \dot{\alpha}(s) \rangle$$

But we have that by chain rule,  $II_p(\dot{\alpha}(0)) = -\langle \dot{N}(0), \dot{\alpha}(0) \rangle$ , which means that

$$II_p(\dot{\alpha}(0)) = \langle N(0), \ddot{\alpha}(0) \rangle = \langle N, \kappa n \rangle$$

□

**Definition 2.25** (principal curvatures, principal directions)

As  $dN_p : T_p S \rightarrow T_p S$  is self adjoint, it can be diagonalised. Let  $e_1, e_2 \in T_p S$  be such that, with respect to this basis, we have

$$dN_p = \begin{pmatrix} -\kappa_1 & 0 \\ 0 & -\kappa_2 \end{pmatrix}$$

where  $\kappa_1 \geq \kappa_2$ . We call  $\kappa_1, \kappa_2$  the principal curvatures, and  $e_1, e_2$  the principal directions.

**Proposition 2.26.**  $\kappa_1$  (resp.  $\kappa_2$ ) is the maximum (resp. minimum) value of  $II_p$  on the set of unit vectors in  $T_p S$ . That is, they are the extreme values of the normal curvature at  $p$ .

*Proof.* Linear algebra. □

**Definition 2.27** (Gaussian curvature)

The Gaussian curvature of  $S$  at  $p$  is

$$K(p) = \det(dN_p) = \kappa_1 \kappa_2$$

**Definition 2.28** (mean curvature)

The mean curvature of  $S$  at  $p$  is

$$H(p) = -\frac{1}{2} \operatorname{tr}(dN_p) = \frac{\kappa_1 + \kappa_2}{2}$$

**Definition 2.29** (elliptic, hyperbolic, parabolic, planar)

A point  $p \in S$  is

- (i) elliptic if  $K(p) > 0$ ,
- (ii) hyperbolic if  $K(p) < 0$ ,
- (iii) parabolic if  $K(p) = 0$  and  $dN_p \neq 0$ ,
- (iv) planar if  $dN_p = 0$ ,

**Definition 2.30** (umbilical)

A point  $p \in S$  is umbilical if  $\kappa_1 = \kappa_2$ .

**Proposition 2.31.** If all points on a connected surface  $S$  are umbilical, then  $S$  is contained in a sphere or a plane.

## 2.5 Local coordinate computations

Let  $\phi : U \rightarrow S$  be a parametrisation about a point  $p \in S$ . Define

$$e = \langle N, \phi_{uu} \rangle, \quad f = \langle N, \phi_{uv} \rangle = \langle N, \phi_{vu} \rangle \quad \text{and} \quad g = \langle N, \phi_{vv} \rangle$$

**Proposition 2.32.**

$$e = -\langle N_u, \phi_u \rangle, \quad f = -\langle N_v, \phi_u \rangle = -\langle N_u, \phi_v \rangle \quad \text{and} \quad g = -\langle N_v, \phi_v \rangle$$

*Proof.* Differentiate  $\langle N, \phi_u \rangle = \langle N, \phi_v \rangle = 0$ . □

**Proposition 2.33.** If  $\alpha(t) = \phi(u(t), v(t))$  is a curve, with  $\alpha(0) = 0$ , then

$$H_p(\dot{\alpha}(0)) = e\dot{u}^2 + 2f\dot{u}\dot{v} + g\dot{v}^2$$

With respect to the basis  $\phi_u, \phi_v$ , we can express  $dN_p$  as a matrix, namely<sup>3</sup>

$$\begin{aligned} dN_p(\phi_u) &= N_u = a_{11}\phi_u + a_{21}\phi_v \\ dN_p(\phi_v) &= N_v = a_{12}\phi_u + a_{22}\phi_v \end{aligned}$$

Taking inner products of the above equations with  $\phi_u, \phi_v$  we get

$$\begin{pmatrix} E & F \\ F & G \end{pmatrix} \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = - \begin{pmatrix} e & f \\ f & g \end{pmatrix}$$

But with respect to the basis  $\phi_u, \phi_v$ ,  $dN_p$  has matrix  $\begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}$ . Therefore, we have

<sup>3</sup>This numbering of the  $a_{ij}$  corresponds to matrix notation.

Corollary 2.34.

$$K = \frac{eg - f^2}{EG - F^2} \quad \text{and} \quad H = \frac{eG - 2fF + gE}{2(EG - F^2)}$$

## 2.6 Theorema Egregium

**Theorem 2.35 (Theorema Egregium).** The Gaussian curvature  $K$  is invariant under isometries. Equivalently, it can be expressed in local coordinates in terms of  $E, F, G$  and their derivatives.

*Proof.* Let  $\phi : U \rightarrow S$  be a parametrisation. Then at each  $p \in \phi(U)$ , we have a basis  $\phi_u, \phi_v, N$  of  $\mathbb{R}^3$ . Hence we can express the derivatives of  $\phi_u, \phi_v$  in this basis, in terms of the Christoffel symbols.

$$\phi_{uu} = \Gamma_{11}^1 \phi_u + \Gamma_{11}^2 \phi_v + eN \quad (\text{I})$$

$$\phi_{uv} = \Gamma_{12}^1 \phi_u + \Gamma_{12}^2 \phi_v + fN \quad (\text{II})$$

$$\phi_{vv} = \Gamma_{22}^1 \phi_u + \Gamma_{22}^2 \phi_v + gN \quad (\text{III})$$

$$\phi_{vu} = \Gamma_{21}^1 \phi_u + \Gamma_{21}^2 \phi_v + gN \quad (\text{IV})$$

By symmetry of mixed partial derivatives,  $\Gamma_{12}^1 = \Gamma_{21}^1$  and  $\Gamma_{12}^2 = \Gamma_{21}^2$ . Take inner products of (I) with  $\phi_u$  and  $\phi_v$  respectively, we get that

$$\Gamma_{11}^1 E + \Gamma_{11}^2 F = \langle \phi_{uu}, \phi_u \rangle = \frac{1}{2} E_u$$

$$\Gamma_{11}^1 F + \Gamma_{11}^2 G = \langle \phi_{uu}, \phi_v \rangle = F_u - \frac{1}{2} E_v$$

Since  $EG - F^2 \neq 0$ , we can solve for  $\Gamma_{11}^1$  and  $\Gamma_{11}^2$  in terms of  $E, F, G, E_u, E_v, F_u$ . Similarly, we can express all of the Christoffel symbols in terms of  $E, F, G$  and their first derivatives.

Now if we differentiate (I) with respect to  $v$ , and (II) with respect to  $u$ , we get that

$$\begin{aligned} \Gamma_{11}^1 \phi_{uv} + \Gamma_{11}^2 \phi_{vv} + eN_v + (\Gamma_{11}^1)_v \phi_u + (\Gamma_{11}^2)_v \phi_v + e_v N &= \phi_{uuv} \\ &= \phi_{uvu} \\ &= \Gamma_{12}^1 \phi_{uu} + \Gamma_{12}^2 \phi_{uv} + fN_u + (\Gamma_{12}^1)_u \phi_u + (\Gamma_{12}^2)_u \phi_v + f_u N \end{aligned}$$

Using (I), (II) and (IV), and the  $(a_{ij})$  from the previous section, and equating coefficients, we get

$$\Gamma_{11}^1 \Gamma_{12}^1 + \Gamma_{11}^2 \Gamma_{22}^1 + ea_{12} + (\Gamma_{11}^1)_v = \Gamma_{11}^1 \Gamma_{12}^1 + \Gamma_{12}^1 \Gamma_{12}^2 + fa_{11} + (\Gamma_{12}^1)_u \quad (1)$$

$$\Gamma_{11}^1 \Gamma_{12}^2 + \Gamma_{11}^2 \Gamma_{22}^2 + ea_{22} + (\Gamma_{11}^2)_v = \Gamma_{11}^1 \Gamma_{12}^2 + \Gamma_{12}^2 \Gamma_{12}^2 + fa_{21} + (\Gamma_{12}^2)_u \quad (2)$$

$$\Gamma_{11}^1 f + \Gamma_{11}^2 g + e_v = \Gamma_{12}^1 e + \Gamma_{12}^2 f + f_u \quad (3)$$

Fortunately, we only need (2), since if  $A = (a_{ij})$ , then

$$K \begin{pmatrix} E & F \\ F & G \end{pmatrix} = \begin{pmatrix} E & F \\ F & G \end{pmatrix} A \text{adj}(A) = - \begin{pmatrix} e & f \\ f & g \end{pmatrix} \text{adj}(A) = - \begin{pmatrix} e & f \\ f & g \end{pmatrix} \begin{pmatrix} a_{22} & -a_{12} \\ -a_{21} & a_{11} \end{pmatrix}$$

So  $EK = -ea_{22} + fa_{21}$ , which we can express in terms of Christoffel symbols.  $\square$

**Definition 2.36 (isothermal)**

A parametrisation is isothermal if  $E = G = \lambda(u, v)^2$ ,  $F = 0$ .



**Proposition 2.37.** In isothermal coordinates,

$$K = -\frac{1}{\lambda^2} \Delta(\log(\lambda(u, v)))$$

### 3 Riemannian geometry

#### 3.1 Geodesics

Let  $S \subseteq \mathbb{R}^3$  be a surface,  $p, q \in S$ . Let  $\Omega(p, q)$  be the set of all curves  $\alpha : [0, 1] \rightarrow S$ , which do not have to be parametrised by arc length, with  $\alpha(0) = p$  and  $\alpha(1) = q$ .

**Definition 3.1** (length functional)

The length functional is

$$\ell(\alpha) = \int_0^1 |\dot{\alpha}| dt$$

**Definition 3.2** (energy functional)

The energy of a curve is

$$E(\alpha) = \frac{1}{2} \int_0^1 |\dot{\alpha}|^2 dt$$

**Proposition 3.3.**  $\ell(\alpha) \leq \sqrt{2E(\alpha)}$ , with equality if and only if  $\alpha$  is parametrised proportional to arc length.

*Proof.* Cauchy-Schwarz. □

Let  $\alpha_s \in \Omega(p, q)$  be a smooth one parameter family of curves, with  $s \in (-\varepsilon, \varepsilon)$ . Let  $E(s) = E(\alpha_s)$ . Then we have that

$$\frac{dE}{ds} = \int_0^1 \left\langle \frac{\partial}{\partial s} \frac{\partial \alpha_s}{\partial t}, \frac{\partial \alpha_s}{\partial t} \right\rangle dt$$

Integrating by parts<sup>4</sup> we get

$$\frac{dE}{ds} \Big|_{s=0} = \langle J(1), \dot{\alpha}(1) \rangle - \langle J(0), \dot{\alpha}(0) \rangle - \int_0^1 \langle J(t), \ddot{\alpha}(t) \rangle dt$$

where<sup>5</sup>

$$J(t) = \frac{\partial \alpha_s(t)}{\partial s} \Big|_{s=0}$$

Since  $\alpha_s \in \Omega(p, q)$ ,  $J(0) = J(1) = 0$ . So we get that

$$\frac{dE}{ds} \Big|_{s=0} = - \int_0^1 \langle J(t), \ddot{\alpha}(t) \rangle dt$$

Now notice that for each  $t \in [0, 1]$ ,  $J(t) \in T_{\alpha(t)}S$ , since  $s \mapsto \alpha_s(t)$  is a curve in  $S$ . So if  $\alpha$  is such that  $\ddot{\alpha} \perp T_{\alpha(t)}S$  for all  $t$ , then  $\alpha$  extremises  $E$ .

<sup>4</sup>That is,

$$\int_a^b \left\langle \frac{\partial f}{\partial t}, g \right\rangle dt = [ \langle f, g \rangle ]_a^b - \int_a^b \left\langle f, \frac{\partial g}{\partial t} \right\rangle dt$$

which follows from  $\frac{\partial}{\partial t} \langle f, g \rangle = \left\langle \frac{\partial f}{\partial t}, g \right\rangle + \left\langle f, \frac{\partial g}{\partial t} \right\rangle$ .

<sup>5</sup>In Paternain's notes it's  $W$ , I renamed it to  $J$  since it is a Jacobi field.

### Definition 3.4 (geodesic)

A curve  $\alpha : I \rightarrow S$  is a geodesic if for all  $t \in I$ ,  $\ddot{\alpha}(t)$  is orthogonal to  $T_{\alpha(t)}S$ .

## 3.2 Covariant derivative, parallel transport

### Definition 3.5 (vector field)

Let  $\alpha : I \rightarrow S$  be a curve. A vector field along  $\alpha$  is a smooth map  $V : I \rightarrow \mathbb{R}^3$  such that for all  $t$ ,  $V(t) \in T_{\alpha(t)}S$ .

### Definition 3.6 (covariant derivative)

The covariant derivative of a vector field  $V$  along  $\alpha$  is

$$\frac{DV}{dt}(t) = \text{proj}_{T_{\alpha(t)}S} \left( \frac{dV}{dt} \right)$$

where  $\text{proj}_{T_{\alpha(t)}S}$  is the orthogonal projection onto  $T_{\alpha(t)}S$ .

**Proposition 3.7.** A curve  $\alpha$  is a geodesic if and only if  $\frac{D\dot{\alpha}}{dt} = 0$  for all  $t$ .

### Definition 3.8 (parallel)

A vector field  $V$  along  $\alpha$  is parallel if  $\frac{DV}{dt} = 0$ .

**Proposition 3.9.** Let  $V, W$  be parallel vector fields along  $\alpha$ . Then  $\langle V(t), W(t) \rangle$  is constant.

*Proof.*

$$\frac{d}{dt} \langle V(t), W(t) \rangle = \left\langle \frac{dV}{dt}(t), W(t) \right\rangle + \left\langle V(t), \frac{dW}{dt}(t) \right\rangle$$

But as  $V, W$  are parallel,  $\frac{dV}{dt}, \frac{dW}{dt}$  are orthogonal to  $T_{\alpha(t)}S$ , so  $\frac{d}{dt} \langle V(t), W(t) \rangle = 0$ . □

**Corollary 3.10.** If  $\alpha$  is a geodesic, then  $|\dot{\alpha}|$  is constant. So geodesics are parametrised proportional to arc length.

### 3.2.1 Local coordinate expressions

Let  $\phi : U \rightarrow S$  be a parametrisation,  $\alpha : I \rightarrow S$  a curve, with  $\alpha(I) \subseteq \phi(U)$ . Write  $\alpha(t) = \phi(u(t), v(t))$ . Let  $V$  be a vector field along  $\alpha$ . Then there are functions  $a(t), b(t)$  such that

$$V(t) = a(t)\phi_u + b(t)\phi_v$$

Differentiating this, we get that

$$\frac{dV}{dt} = a(\phi_{uu}\dot{u} + \phi_{uv}\dot{v}) + b(\phi_{vu}\dot{u} + \phi_{vv}\dot{v}) + \dot{a}\phi_u + \dot{b}\phi_v$$

The covariant derivative is just the  $\phi_u$  and  $\phi_v$  components of this, since  $N$  is orthogonal to  $T_{\alpha(t)}S$ . Therefore, in terms of Christoffel symbols, we have that

$$\frac{DV}{dt} = (\dot{a} + a\dot{u}\Gamma_{11}^1 + a\dot{v}\Gamma_{12}^1 + b\dot{u}\Gamma_{12}^1 + b\dot{v}\Gamma_{22}^1)\phi_u + (\dot{b} + a\dot{u}\Gamma_{11}^2 + a\dot{v}\Gamma_{12}^2 + b\dot{u}\Gamma_{12}^2 + b\dot{v}\Gamma_{22}^2)\phi_v \quad (*)$$

From this expression, we see that the covariant derivative only depends on the first fundamental form<sup>6</sup>.

**Proposition 3.11** (geodesic equations).  $\alpha(t) = \phi(u(t), v(t))$  is a geodesic if and only if

$$\begin{aligned} \ddot{u} + \Gamma_{11}^1 \dot{u}^2 + 2\Gamma_{12}^1 \dot{u}\dot{v} + \Gamma_{22}^1 \dot{v}^2 &= 0 \\ \ddot{v} + \Gamma_{11}^2 \dot{u}^2 + 2\Gamma_{12}^2 \dot{u}\dot{v} + \Gamma_{22}^2 \dot{v}^2 &= 0 \end{aligned}$$

*Proof.* Set  $a = \dot{u}$ ,  $b = \dot{v}$  in (\*). □

**Proposition 3.12** (parallel transport). Given  $v_0 \in T_{\alpha(t_0)}S$ , there exists a unique parallel vector field  $V(t)$  along  $\alpha(t)$ , with  $V(t_0) = v_0$ . We call  $V(t_1)$  the parallel transport of  $v_0$  along  $\alpha$  at  $t_1$ .

*Proof.* (\*) is a linear ODE in terms of  $(a, b)$ , therefore we can apply standard ODE theory in terms of existence and uniqueness. □

**Corollary 3.13.** Given  $p \in S$ ,  $v \in T_pS$ , there exists  $\varepsilon > 0$ , and a unique geodesic  $\gamma : (-\varepsilon, \varepsilon) \rightarrow S$  such that  $\gamma(0) = p$  and  $\dot{\gamma}(0) = v$ .

*Proof.* Standard ODE theory. □

**Definition 3.14** (parallel transport)

Let  $\alpha \in \Omega(p, q)$ . Define  $P : T_pS \rightarrow T_qS$  the map sending  $v \in T_pS$  to the parallel transport of  $v$  along  $\alpha$  at  $q$ .

**Proposition 3.15.**  $P : T_pS \rightarrow T_qS$  is a linear isometry.

*Proof.* The fact that  $P$  is linear follows from (\*) being a linear ODE for  $(a, b)$ , and uniqueness of solutions.  $P$  being an isometry follows from the fact that if  $V(t)$  is the parallel vector field, then  $\langle V(t), V(t) \rangle$  is constant, so  $\|P(v)\|^2 = \langle V(t_1), V(t_1) \rangle = \langle V(t_0), V(t_0) \rangle = \|v\|^2$ . □

### 3.3 Exponential map and geodesic polar coordinates

**Proposition 3.16.** Given  $p \in S$ ,  $v \in T_pS$ , let  $\gamma_v : (-\varepsilon, \varepsilon) \rightarrow S$  be the unique geodesic with  $\gamma(0) = p$  and  $\dot{\gamma}(0) = v$ . Then  $\gamma_{\lambda v}$  is defined on  $(-\varepsilon/\lambda, \varepsilon/\lambda)$ . Furthermore,  $\gamma_{\lambda v}(t) = \gamma_v(\lambda t)$ .

*Proof.* By uniqueness of such a geodesic, and the chain rule. □

**Definition 3.17** (exponential map)

Suppose  $v \in T_pS$  nonzero is such that  $\gamma_v(1)$  is defined, we define

<sup>6</sup>Or in the language of Part III Differential Geometry, this definition of the covariant derivative agrees with the one coming from the Levi-Civita connection for a Riemannian manifold.

$$\exp_p(v) = \gamma_v(1)$$

**Proposition 3.18.** There exists  $\varepsilon > 0$  such that  $\exp_p : B_\varepsilon(0) \rightarrow S$  is well defined and smooth.

*Proof.* By existence of solutions to ODEs, and smooth dependence on the initial conditions.  $\square$

**Proposition 3.19.** If  $S$  is closed, then  $\exp_p$  is defined on all of  $T_p S$ .

**Proposition 3.20.**  $\exp_p : B_\varepsilon(0) \rightarrow S$  is a diffeomorphism onto its image in a neighbourhood  $U \subseteq B_\varepsilon(0)$  of  $0 \in T_p S$ .

*Proof.* By the inverse function theorem, suffices to show  $d(\exp_p)_0$  is nonsingular. Let  $\alpha(t) = tv$  for some fixed  $v \in T_p S$ . Then  $\exp_p(tv) = \gamma_v(t)$  at  $t = 0$  has tangent vector  $v$ . So  $d(\exp_p)_0(v) = v$ .  $\square$

**Definition 3.21 (normal neighbourhood)**

Let  $U$  be as in the previous proposition. Then  $V = \exp_p(U)$  is called a normal neighbourhood of  $p$ .

**Corollary 3.22.**  $\exp_p : U \rightarrow V$  is a parametrisation.

**Proposition 3.23.** If we choose cartesian coordinates on  $T_p S$ , then with the  $\exp_p$  parametrisation, we have the first fundamental form

$$E(p) = G(p) = 1 \quad \text{and} \quad F(p) = 0$$

**Definition 3.24 (geodesic polar coordinates)**

If we choose polar coordinates  $(r, \theta)$  for  $T_p S$ , then we have the geodesic polar coordinates. That is,

$$\phi(r, \theta) = \exp_p(r(\cos(\theta)e_1 + \sin(\theta)e_2)) = \exp_p(rv(\theta)) = \gamma_{v(\theta)}(t)$$

where  $v(\theta) = \cos(\theta)e_1 + \sin(\theta)e_2$ .

**Remark 3.25.** Recall that to define polar coordinates, we need to take a branch cut. But the above map makes sense, even though it is not a parametrisation without taking a branch cut.

**Definition 3.26 (geodesic circles, radial geodesics)**

The images of circles centred in the origin under the map  $\phi$  are called geodesic circles (i.e.  $r = \text{const}$ ). Similarly, the images of lines through the origin (i.e.  $\theta = \text{const}$ ) are called radial geodesics.

**Proposition 3.27.** The coefficients  $E(r, \theta), F(r, \theta), G(r, \theta)$  satisfy

$$E = 1, \quad F = 0, \quad G(0, \theta) = 0 \quad \text{and} \quad (\sqrt{G})_r(0, \theta) = 1$$

Moreover, the Gaussian curvature can be written as

$$K = -\frac{(\sqrt{G})_{rr}}{\sqrt{G}}$$

*Proof.* By definition of  $\phi$ , we have that  $\phi_r = \dot{\gamma}_{v(\theta)}(r)$ , so  $E = 1$  as  $v(\theta)$  is a unit vector and geodesics travel at constant speed. Now let  $w = \frac{dv}{d\theta}$ . Then by chain rule, we have that

$$\phi_\theta = d\left(\exp_p\right)_{rv}(rw) = r d\left(\exp_p\right)_{rv}(w)$$

So we have that

$$\begin{aligned} F &= r \left\langle \dot{\gamma}_v(r), d\left(\exp_p\right)_{rv}(w) \right\rangle \\ G &= r^2 \left| d\left(\exp_p\right)_{rv}(w) \right|^2 \end{aligned}$$

Clearly  $F(0, \theta) = 0$ , and from the last equality, we find that

$$(\sqrt{G})_r(0, \theta) = \left| d\left(\exp_p\right)_0(w) \right| = |w| = 1$$

Finally, we can compute

$$\begin{aligned} F_r &= \langle \phi_{rr}, \phi_\theta \rangle + \langle \phi_r, \phi_{\theta r} \rangle \\ &= \langle \phi_r, \phi_{\theta r} \rangle \\ &= \frac{1}{2} \frac{\partial}{\partial \theta} \langle \phi_r, \phi_r \rangle \\ &= \frac{1}{2} E_\theta \\ &= 0 \end{aligned}$$

where we used the fact that  $\phi(\cdot, \theta) = \gamma_v$  is a geodesic, so  $\phi_{rr} = \ddot{\gamma}_v$  is normal to  $T_p S$ . So  $F = 0$  identically. We omit the computation for  $K$ , and note that it can be computed using Christoffel symbols.  $\square$

### 3.4 Geodesic curvature

**Definition 3.28** (algebraic value of the covariant derivative)

Let  $W$  be a differentiable field of unit vectors along a curve  $\alpha : I \rightarrow S$  along an oriented surface  $S$ . Then

$$\left[ \frac{DW}{dt} \right] = \left\langle \frac{dW}{dt}, N \wedge W \right\rangle$$

Note that this definition depends on the orientation of  $S$ , but only up to a sign.

**Proposition 3.29.** Let  $W$  be a field of unit vectors along  $\alpha$ . Then  $\frac{DW}{dt}$  is parallel to  $N \wedge W$ , and we have that

$$\frac{DW}{dt} = \left[ \frac{DW}{dt} \right] (N \wedge W)$$

*Proof.*  $\langle W, W \rangle = 1$ , so  $\left\langle \frac{dW}{dt}, W \right\rangle = 0$ . By definition,  $\frac{DW}{dt}$  is orthogonal to  $N$ , hence by the above, it must be parallel to  $N \wedge W$ .  $\square$

**Definition 3.30** (geodesic curvature)

Let  $\alpha : I \rightarrow S$  be a regular curve parametrised by arc length. The algebraic value of the covariant derivative

$$\kappa_g(s) = \left[ \frac{D\dot{\alpha}}{dt} \right] = \langle \ddot{\alpha}, N \wedge \dot{\alpha} \rangle$$

is called the geodesic curvature of  $\alpha$  at  $\alpha(s)$ .

**Proposition 3.31.**  $\alpha$  is a geodesic if and only if its geodesic curvature is identically zero.

**Proposition 3.32.** Let  $\kappa$  and  $n$  be the curvature and unit normal for  $\alpha$ . Then we have that

$$\ddot{\alpha} = \kappa_n N + \kappa_g (N \wedge \dot{\alpha})$$

where  $\kappa_n, \kappa_g$  are the normal and geodesic curvatures respectively.

*Proof.* Since  $W$  has norm 1, we have that  $\langle W, W \rangle = 0$ , so  $\langle \frac{dW}{dt}, W \rangle = 0$ . Hence  $\frac{dW}{dt}$  is perpendicular to  $W$ . Thus,  $\frac{dW}{dt}$  must be perpendicular to both  $W$  and  $N$ , so it is parallel to  $N \wedge W$ .  $\square$

**Definition 3.33** (perpendicular vector field)

Let  $V$  be a unit vector field along  $\alpha : I \rightarrow S$ . Let  $iV(t)$  be the unique vector field along  $\alpha$  such that for every  $t \in I$ ,  $V(t), iV(t), N(t)$  forms a positively oriented orthonormal basis of  $\mathbb{R}^3$ . That is,

$$V(t) \wedge iV(t) = N(t)$$

**Proposition 3.34.** Let  $V, W$  be unit vector fields along  $\alpha : I \rightarrow S$ . Then there exists smooth functions  $a, b$ , such that

$$W(t) = a(t)V(t) + b(t)iV(t)$$

with  $a^2 + b^2 = 1$ . Furthermore, if we fix  $t_0 \in I$ , and let  $\varphi_0$  be such that

$$a(t_0) = \cos(\varphi_0) \quad \text{and} \quad b(t_0) = \sin(\varphi_0)$$

then there exists a smooth function  $\varphi : I \rightarrow S$  such that

$$a(t) = \cos(\varphi(t)), \quad b(t) = \sin(\varphi(t)) \quad \text{and} \quad \varphi(t_0) = \varphi_0$$

*Proof.*  $V(t), iV(t)$  is an orthonormal basis of  $T_{\alpha(t)}S$ . The construction of  $\varphi$  is as in the construction of the winding number in Complex Analysis.  $\square$

**Definition 3.35** (smooth determination of angle)

$\varphi$  from the previous proposition is called a smooth determination of the angle from  $V$  to  $W$ .

**Proposition 3.36.** Let  $V, W$  be unit vector fields along  $\alpha : I \rightarrow S$  and  $\varphi$  by a smooth determination of angle from  $V$  to  $W$ . Then

$$\left[ \frac{DW}{dt} \right] - \left[ \frac{DV}{dt} \right] = \frac{d\varphi}{dt}$$

*Proof.* By definitions, we have that

$$\begin{aligned} \left[ \frac{DW}{dt} \right] &= \langle W', N \wedge W \rangle \\ \left[ \frac{DV}{dt} \right] &= \langle V', N \wedge V \rangle = \langle V', iV \rangle \end{aligned}$$

Write  $W = \cos(\varphi)V + \sin(\varphi)iV$ , and differentiate, to get

$$W' = \varphi'(-\sin(\varphi)V + \cos(\varphi)iV) + \cos(\varphi)V' + \sin(\varphi)(iV)'$$

But  $N \wedge W = \cos(\varphi)iV - \sin(\varphi)V$ , so we get that

$$\begin{aligned} \left[ \frac{DW}{dt} \right] &= \varphi' + \langle -\sin(\varphi)V + \cos(\varphi)iV, \cos(\varphi)V' + \sin(\varphi)(iV)' \rangle \\ &= \varphi' + \cos(\varphi)^2 \langle iV, V' \rangle - \sin(\varphi)^2 \langle V, (iV)' \rangle \\ &= \varphi' + \left[ \frac{DV}{dt} \right] \end{aligned}$$

where we used the fact that  $\langle V, iV \rangle = 0$ , so  $\langle V', iV \rangle + \langle V, (iV)' \rangle = 0$ , and  $\langle V, V \rangle = \langle iV, iV \rangle = 1$ , so  $\langle V', V \rangle = \langle iV, (iV)' \rangle = 0$ .  $\square$

**Proposition 3.37.** Let  $\alpha : I \rightarrow S$  be a curve parametrised by arc length,  $V(s)$  a parallel unit vector field along  $\alpha$ ,  $\varphi$  a smooth determination of angle from  $V$  to  $\dot{\alpha}$ . Then

$$\kappa_g(s) = \frac{d\varphi}{ds}$$

*Proof.*  $\left[ \frac{DV}{dt} \right] = 0$  as  $V$  is parallel.  $\square$

**Proposition 3.38.** Let  $\phi(u, v)$  be an orthogonal parametrisation (i.e.  $F = 0$ ) of an oriented surface  $S$ , which is compatible with the orientation. Let  $W$  be a smooth vector field along the curve  $\phi(u(t), v(t))$ . Then

$$\left[ \frac{DW}{dt} \right] = \frac{1}{2\sqrt{EG}} (G_u \dot{v} - E_v \dot{u}) + \frac{d\varphi}{dt}$$

where  $\varphi$  is the angle from  $\phi_u$  to  $W$  in the given orientation.

*Proof.* Let  $e_1 = \phi_u/\sqrt{E}$  and  $e_2 = \phi_v/\sqrt{G}$ . Then  $e_1, e_2$  is a positively oriented orthonormal basis of the tangent plane. By the previous proposition,

$$\left[ \frac{DW}{dt} \right] = \left[ \frac{De_1}{dt} \right] + \dot{\varphi}$$

Computing this,

$$\left[ \frac{De_1}{dt} \right] = \langle \dot{e}_1, N \wedge e_1 \rangle = \langle \dot{e}_1, e_2 \rangle = \langle (e_1)_u, e_2 \rangle \dot{u} + \langle (e_1)_v, e_2 \rangle \dot{v}$$

But then we have that

$$\langle (e_1)_u, e_2 \rangle = \langle (\phi_u/\sqrt{E})_u, \phi_v/\sqrt{G} \rangle = \frac{1}{\sqrt{EG}} \langle \phi_{uu}, \phi_v \rangle + \left\langle \frac{d}{du} \left( \frac{1}{\sqrt{E}} \right) \phi_u, \phi_v \right\rangle = \frac{1}{\sqrt{EG}} \langle \phi_{uu}, \phi_v \rangle$$

as  $F = \langle \phi_u, \phi_v \rangle = 0$ . Differentiating  $F = 0$ , we get that  $\langle \phi_{uu}, \phi_v \rangle = -\langle \phi_u, \phi_{uv} \rangle = -E_v/2$ . Therefore, we have that

$$\langle (e_1)_u, e_2 \rangle = -\frac{E_v}{2\sqrt{EG}}$$

similarly,

$$\langle (e_1)_v, e_2 \rangle = \frac{G_u}{2\sqrt{EG}}$$

□

**Corollary 3.39.** If  $\alpha : \rightarrow \phi(U)$  is a curve parametrised by arc length, then

$$\kappa_g(s) = \frac{1}{2\sqrt{EG}} (G_u \dot{v} - E_v \dot{u}) + \dot{\phi}$$

where  $\phi$  is the angle from  $\phi_u$  to  $\dot{\alpha}$ .

*Proof.* Set  $W = \dot{\alpha}$  in the previous proposition. □

### 3.5 Gauss-Bonnet

**Theorem 3.40** (Gauss's theorem for geodesic triangles). Let  $T$  be a geodesic triangle on a surface  $S$ . Suppose  $T$  is small enough so that it is contained in a normal neighbourhood of one of its vertices, then

$$\int_T K dA = \alpha_1 + \alpha_2 + \alpha_3 - \pi$$

where  $K$  is the Gaussian curvature of  $S$ , and  $0 < \alpha_i < \pi$  are the internal angles of  $T$ .

*Proof.* We can assume without loss of generality that we have geodesic polar coordinates centred at one of the vertices of  $T$ , one of the edges corresponds to  $\theta = 0$  and another corresponds to  $\theta = \theta_0$ . The remaining edge is a geodesic segment  $\gamma$ .

First notice that  $\gamma$  can be written in the form  $r = h(\theta)$ . Suppose not, then there exists  $s$  such that  $\dot{\gamma}(s)$  is parallel to  $\phi_r$ . But radial segments are geodesics, so this means that  $\gamma$  is radial. Contradiction. Hence we can write  $\gamma$  as  $r = h(\theta)$ . Then

$$\int_T K dA = \int_T K \sqrt{G} dr d\theta = \int_0^{\theta_0} \left( \lim_{\epsilon \rightarrow 0} \int_{\epsilon}^{h(\theta)} K \sqrt{G} dr \right) d\theta$$

But in geodesic polar coordinates, we have  $K \sqrt{G} = -(\sqrt{G})_{rr}$ , and  $\lim_{r \rightarrow 0} (\sqrt{G})_r = 1$ , so

$$\lim_{\epsilon \rightarrow 0} \int_{\epsilon}^{h(\theta)} K \sqrt{G} dr = 1 - (\sqrt{G})_r(h(\theta), \theta)$$

Now suppose  $\gamma(s) = \phi(r(s), \theta(s))$  makes an angle  $\varphi(s)$  with  $\phi_r$ , that is, the curves  $\theta = \text{const}$ . Then the previous corollary ( $u = r, v = \theta$ ) gives that<sup>7</sup>

$$(\sqrt{G})_r \frac{d\theta}{ds} + \frac{d\varphi}{ds} = 0$$

<sup>7</sup>Using

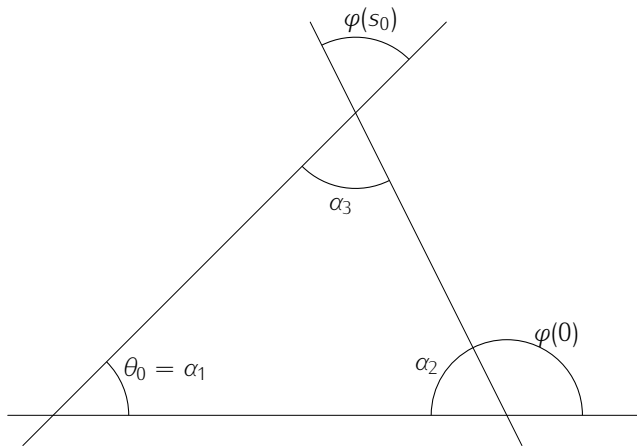
$$(\sqrt{G})_r = \frac{G_r}{2\sqrt{G}}$$



as  $\gamma$  is a geodesic. Therefore, we have that

$$\begin{aligned}
 \int_T K dA &= \int_0^{\theta_0} (1 - (\sqrt{G})_r(h(\theta), \theta)) d\theta \\
 &= \int_0^{\theta_0} d\theta - \int_0^{s_0} (\sqrt{G})_r \frac{d\theta}{ds} ds \\
 &= \theta_0 + \int_0^{s_0} \frac{d\varphi}{ds} ds \\
 &= \theta_0 + \int_{\varphi(0)}^{\varphi(s_0)} d\varphi \\
 &= \theta_0 + \varphi(s_0) - \varphi(0)
 \end{aligned}$$

Finally, by the orientations, we have



Which gives the result. □

**Definition 3.41** (triangulation)

Let  $S$  be a compact surface. A triangulation of  $S$  is a finite number of closed subsets  $T_1, \dots, T_n$  which cover  $S$ , each  $T_i$  is homeomorphic to a Euclidean triangle in the plane. Moreover, any two distinct triangles are either disjoint, share a vertex, or share an edge.

**Theorem 3.42.** Triangulations always exist. Furthermore, we can choose it so that each  $T_i$  is diffeomorphic to a Euclidean triangle, and each edge is a geodesic segment.

*Sketch Proof, requires Part II Algebraic topology.* We omit the proof of existence. By barycentric subdivision, we can make the triangles in  $S$  arbitrarily small. Then by the Lebesgue covering lemma, if the mesh of the triangulation is sufficiently small, then each triangle is contained within a normal neighbourhood at one of its vertices. □

**Definition 3.43** (Euler characteristic)

Given a triangulation of  $S$ , let  $F$  be the number of faces,  $E$  the number of edges,  $V$  the number of vertices. Then

$$\chi(S) = V - E + F$$

is the Euler characteristic of  $S$ .

**Proposition 3.44.** The Euler characteristic does not depend on the choice of triangulation.

*Proof:* Part II Algebraic Topology. This is just the homotopy invariance of homology.  $\square$

**Proposition 3.45** (Classification of compact orientable surfaces). All compact orientable surfaces are diffeomorphic to some  $\Sigma_g$  where  $g$  is a  $g$ -holed torus.  $g$  is called the genus of  $\Sigma_g$ . Furthermore,

$$\chi(\Sigma_g) = 2 - 2g$$

*Proof:* Part II Algebraic topology.  $\square$

**Theorem 3.46** (Global Gauss-Bonnet). Let  $S$  be a compact surface without boundary. Then

$$\int_S K dA = 2\pi\chi(S)$$

*Proof.* Consider a triangulation by geodesic triangles  $T_1, \dots, T_F$ . We can assume wlog that each  $T_i$  is contained in a normal neighbourhood of one of its vertices.

Let  $\alpha_i, \beta_i, \gamma_i$  be the interior angles of  $T_i$ . Then by Gauss's theorem for triangles, we have that

$$\int_{T_i} K dA = \alpha_i + \beta_i + \gamma_i - \pi$$

Summing over all  $i$ , we have that

$$\int_S K dA = \sum_{i=1}^F (\alpha_i + \beta_i + \gamma_i) - \pi F$$

Now notice that the sum of the angles at every vertex is  $2\pi$ , so

$$\sum_{i=1}^F (\alpha_i + \beta_i + \gamma_i) = 2\pi V$$

Finally, for a triangulation, every edge belongs to two triangles, so  $2E = 3F$ . Putting this all together we get that

$$\int_S K dA = \pi(2V - F) = 2\pi\chi(S)$$

$\square$

**Theorem 3.47** (local Gauss-Bonnet). Let  $\phi : U \rightarrow S$  be an orthogonal parametrisation of an oriented surface  $S$ ,  $U$  is a disc in  $\mathbb{R}^2$ , and  $\phi$  is compatible with the orientation of  $S$ . Let  $\alpha : I \rightarrow \phi(U)$  be a smooth simple closed curve enclosing a domain  $R$ . Suppose  $\alpha$  is positively oriented and parametrised by arc length. Then

$$\int_I \kappa_g(s) ds + \int_R K dA = 2\pi$$

where  $\kappa_g$  is the geodesic curvature of  $\alpha$ .

*Proof.* By our local coordinate computation, we have that

$$\kappa_g(s) = \frac{1}{2\sqrt{EG}} \left( G_u \frac{dv}{ds} - E_v \frac{du}{ds} \right) + \frac{d\varphi}{ds}$$

where  $\varphi$  is the angle from  $\phi_u$  to  $\dot{\alpha}$ . Without loss of generality, we may assume  $I = [0, \ell]$ . Integrating this, we get

$$\int_I \kappa_g(s) ds = \int_I \frac{1}{2\sqrt{EG}} \left( G_u \frac{dv}{ds} - E_v \frac{du}{ds} \right) ds + \varphi(\ell) - \varphi(0)$$

By Green's theorem<sup>8</sup>

$$\int_I \kappa_g(s) ds = \int_{\phi^{-1}(R)} \left( \left( \frac{G_u}{2\sqrt{EG}} \right)_u + \left( \frac{E_v}{2\sqrt{EG}} \right)_v \right) dudv + \varphi(\ell) - \varphi(0)$$

But for an orthogonal parametrisation, we have that

$$K = -\frac{1}{2\sqrt{EG}} \left( \left( \frac{E_v}{\sqrt{EG}} \right)_v + \left( \frac{G_u}{\sqrt{EG}} \right)_u \right)$$

So

$$\int_I \kappa_g(s) ds = - \int_R K dA + \varphi(\ell) - \varphi(0)$$

But  $\varphi(\ell) - \varphi(0) = 2\pi$ , which gives us the result.  $\square$

**Theorem 3.48 (Gauss-Bonnet with boundary).** Let  $R \subseteq S$  be a connected open relatively compact<sup>a</sup> subset. Suppose  $\partial R$  contains of  $n$  piecewise smooth simple closed curves  $\alpha_i : I_i \rightarrow S$ , where the images do not intersect. Suppose the  $\alpha_i$  are parametrised by arc length, and are positively oriented. Let  $\theta_i$  be the external angles of the vertices of these curves. Then

$$\sum_{i=1}^n \int_{I_i} \kappa_g(s) ds + \int_R K dA + \sum_i \theta_i = 2\pi \chi(R)$$

<sup>a</sup>That is, the closure is compact

*Proof.* As for the global Gauss-Bonnet, but we need to treat the boundary vertices differently.  $\square$

**Theorem 3.49.** Suppose  $S$  is a compact orientable surface with  $K > 0$ . Then  $S$  is diffeomorphic to  $S^2$ . Moreover, if  $\alpha, \beta$  are simple closed geodesics on  $S$ , then they must intersect.

*Proof.* Gauss-Bonnet gives us that  $\chi(S) > 0$ , so  $S$  is diffeomorphic to  $S^2$ . Now suppose  $\alpha, \beta$  do not intersect. Then they bound a domain  $R$  with  $\chi(R) = 0$ . But then Gauss-Bonnet means that  $R$  must in fact have measure zero. Contradiction.  $\square$

<sup>8</sup>From IA Vector Calculus, which says that if  $D \subseteq \mathbb{R}^2$  with  $\partial D$  sufficiently regular, then

$$\int_{\partial D} (Ldx + Mdy) = \int_D \left( \frac{\partial M}{\partial x} - \frac{\partial L}{\partial y} \right) dx dy$$

**Theorem 3.50.** Let  $S$  be a surface homeomorphic to a cylinder, with negative Gaussian curvature everywhere. Then  $S$  has at most one simple closed geodesic.

## 4 Minimal surfaces

Note that the sections in the notes about the Weierstrass representation are non-examinable, and hence omitted.

### Definition 4.1 (minimal surface)

A surface  $S$  is minimal if its mean curvature vanishes everywhere.

### Definition 4.2 (normal variation)

Let  $\phi : U \rightarrow S$  be a parametrisation,  $D \subseteq U$  bounded open connected, with  $\bar{D} \subseteq U$ . Let  $h : \bar{D} \rightarrow \mathbb{R}$  be smooth. Then the normal variation of  $\phi(\bar{D})$  determined by  $h$  is the map  $\rho : \bar{D} \times (-\varepsilon, \varepsilon) \rightarrow \mathbb{R}^3$  given by

$$\rho(u, v, t) = \phi(u, v) + th(u, v)N(u, v)$$

For fixed  $t \in (-\varepsilon, \varepsilon)$ , consider the map  $\rho^t : D \rightarrow \mathbb{R}^3$ , given by  $\rho^t(u, v) = \rho(u, v, t)$ . Since  $\rho^0 = \phi$ , for  $\varepsilon$  small enough  $\rho^t(D)$  is a smooth surface in  $\mathbb{R}^3$ , since  $\rho_u^t, \rho_v^t$  are linearly independent for  $\varepsilon$  small enough. Now by chain rule,

$$\begin{aligned}\rho_u^t &= \phi_u + thN_u + th_uN \\ \rho_v^t &= \phi_v + thN_v + th_vN\end{aligned}$$

and if we let  $E^t, F^t, G^t$  be the coefficients for the first fundamental form of  $\rho^t(D)$ , we get

$$\begin{aligned}E^t &= E + 2th \langle \phi_u, N_u \rangle + t^2 h^2 \langle N_u, N_u \rangle + t^2 h_u h_u \\ F^t &= F + 2th \langle \phi_u, N_v \rangle + t^2 h^2 \langle N_u, N_v \rangle + t^2 h_u h_v \\ G^t &= G + 2th \langle \phi_v, N_v \rangle + t^2 h^2 \langle N_v, N_v \rangle + t^2 h_v h_v\end{aligned}$$

Computing the area functional to first order, we find

$$\begin{aligned}E^t G^t - (F^t)^2 &= EG - F^2 - 2th(Eg - 2Ff + Ge) + r(t) \\ &= (EG - F^2)(1 - 4thH) + r(t)\end{aligned}$$

where  $r(t)$  is  $\mathcal{O}(t^2)$ , and we used the formula

$$H = \frac{eG - 2fF + Ge}{2(EG - F^2)}$$

If  $A(t)$  is the area of  $\rho^t(D)$ , then we have

$$A(t) = \int_D \sqrt{E^t G^t - (F^t)^2} dudv = \int_D \sqrt{1 - 4thH + \bar{r}} \sqrt{EG - F^2} dudv$$

where  $\bar{r} = r/(EG - F^2)$ . Clearly  $t \mapsto A(t)$  is smooth and

$$A'(0) = - \int_D 2hH \sqrt{EG - F^2} dudv$$

**Proposition 4.3.**  $\phi(U)$  is minimal if and only if  $A'(0) = 0$  for all bounded domains  $D \subseteq U$  and all normal variations of  $\phi(D)$ .

*Proof.* If  $H = 0$ , then clearly  $A'(0) = 0$ . Conversely, suppose  $H(q) \neq 0$  for some  $q \in D$ . Let  $h = H$ . Then  $A'(0) < 0$ . Contradiction.  $\square$

**Definition 4.4** (mean curvature vector)

The mean curvature vector is  $\mathbf{H} = HN$ .

**Proposition 4.5.** A normal variation in the direction of  $HN$  always has  $A'(0) < 0$ , provided  $H$  does not vanish.