

# Geometric Group Theory

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Lecture 1

## 1 Combinatorial group theory

Combinatorial group theory is a sibling field to Geometric group theory. Both study infinite discrete groups.

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## 1.1 Free groups and presentations

Let  $A = \{a_1, a_2, \dots\}$  be an *alphabet*. A group  $F$  is *free on A* if

1. There is a map of sets  $A \rightarrow F$ ,
2. for any group  $G$ , and a map of sets  $A \rightarrow G$ , there exists a unique group homomorphism  $F \rightarrow G$  such that

$$\begin{array}{ccc} & & F \\ & \nearrow & \downarrow \\ A & \longrightarrow & G \end{array}$$

commutes.

This is a *universal property*. As usual, this means that  $F$  is unique up to unique isomorphism. This shows that  $F$  is determined by  $A$ , so we may write  $F = F(A)$ .

However (as usual with definitions by universal property), we don't know if  $F(A)$  exists. We'll show this two different ways.

**1. Topologically:** Let

$$X = \bigvee_{a \in A} S^1$$

By the Seifert-van Kampen theorem,

$$\pi_1(X) \cong F(A)$$

**2. Combinatorially:** Let

$$A^* = \{\text{words in } A \sqcup A^{-1}\}$$

where  $A^{-1} = \{a_1^{-1}, \dots, a_n^{-1}\}$ . For example,

$$1 = \emptyset, aa, aa^{-1}, aba^{-1}b^{-1}, a^{100}ba^{-100}b, \dots$$

A word is *reducible* if it contains  $aa^{-1}$  or  $a^{-1}a$  as a subword for any  $a \in A$ . Otherwise, it is *reduced*. We can now define

$$F(A) = \{w \in A^* \text{ reduced}\}$$

The group operation is concatenation, followed by reduction. For example,

$$(abab^{-1})(b^2a) = abab^{-1}b^2a = ababa$$

Note that reduction terminates as each reduction step reduces the length. We won't check that this is well defined or associative. The identity element is 1, inverses is clear.

A *presentation* consists of an alphabet  $A$ , which we will call *generators*, and a set  $R \subseteq F(A)$ , which we will call *relations*, and we write

$$\langle A \mid R \rangle = \langle a_1, a_2, \dots \mid r_1, r_2, \dots \rangle$$

This *presents* a group

$$G = \frac{F(A)}{\langle\langle R \rangle\rangle}$$

where  $\langle\langle R \rangle\rangle$  is the *normal closure* of  $R$ , i.e. the smallest normal subgroup of  $F(A)$  containing  $R$ .

### Example 1.1.1

Some examples of presentations which we have seen:

$$\begin{aligned} \langle a \mid a^n \rangle &\cong \mathbb{Z}/n\mathbb{Z} \\ \langle r, s \mid r^n, s^2, srsr \rangle &\cong D_{2n} \\ \langle A \mid \rangle &\cong F(A) \\ \langle a, b \mid aba^{-1}b^{-1} \rangle &\cong \mathbb{Z}^2 \\ \langle a_1, \dots, a_g, b_1, \dots, b_g \mid a_1b_1a_1^{-1}b_1^{-1} \dots a_gb_ga_g^{-1}b_g^{-1} \rangle &\cong \pi_1(\Sigma_g) \end{aligned}$$

where  $\Sigma_g$  is the compact orientable surface of genus  $g$ .

As we see, presentations arise when we write down fundamental groups of spaces. In fact, all groups arise this way.

**Corollary 1.1.2** (of Seifert-van Kampen). For

$$G = \langle a_1, a_2, \dots \mid r_1, r_2, \dots \rangle$$

there exists a space  $X$  with  $\pi_1(X) = G$ .

*Proof.* First, start with a wedge of circles, one for each  $a_i$ . Also consider a disjoint union of discs, one for each  $r_i$ . Attach the  $i$ -cell along its boundary, which is a loop in the wedge of circles.  $\square$

This is called the *presentation complex* of  $G$ . For example, if we have  $\mathbb{Z}^2 = \langle a, b \mid aba^{-1}b^{-1} \rangle$ , then  $X = T^2$  is the 2-torus.

In 1911, Max Dehn posed the following problems.

1. (Word problem) Given  $w \in A^*$ , determine whether or not  $w = 1$  in  $G = \langle A \mid R \rangle$ . That is, whether or not  $w \in \langle\langle R \rangle\rangle \subseteq F(A)$ .
2. (Conjugacy problem) Given  $G = \langle A \mid R \rangle$ ,  $u, v \in A^*$ , determine whether or not  $u$  is conjugate to  $v$  in  $G$ .
3. (Isomorphism problem) Given  $G = \langle A \mid R \rangle$ ,  $H = \langle B \mid S \rangle$ , determine whether or not  $G$  is isomorphic to  $H$ .

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**Remark 1.1.3.** The conjugacy problem is stronger than the word problem, since  $w = 1$  if and only if  $w$  is conjugate to 1.

Dehn was motivated by topology, but the problems asks for algorithms. We will often solve them using geometry.

All three were *unsolved* in the 1950s, as all three problems are algorithmically undecidable. Nevertheless, positive solutions are known for many reasonable classes of groups.

Let  $A = \{a_1, \dots, a_n\}$  be a finite alphabet.

**Example 1.1.4** (word problem in free groups)

Let  $w \in A^*$ . If  $w$  is reduced, then  $w = 1$  if and only if  $w = \emptyset$ . Otherwise,  $w$  contains a subword  $aa^{-1}$  for some  $a \in A \cup A^{-1}$ . Cancelling  $aa^{-1}$  produces a word  $w' \in A^*$ , such that  $w = w'$  in  $F(A)$ , and  $\ell(w') = \ell(w) - 2 < \ell(w)$ . This terminates after finitely many steps.

We can also solve the conjugacy problem for free groups.

**Definition 1.1.5**

There is a natural action of  $\mathbb{Z}$  on  $A^*$  permuting words. That is,

$$1 \cdot a_1 \cdots a_k = a_2 a_3 \cdots a_k a_1$$

The elements of  $\mathbb{Z} \cdot w$  are called the *cyclic conjugates* of  $w$ . Note that all  $u \in \mathbb{Z}w$  are conjugate to  $w$ . The orbits  $\mathbb{Z} \backslash A^*$  are called *cyclic words*. A word is *cyclically reduced* if every cyclic conjugate is reduced.

**Example 1.1.6**

$aba^{-1}$  is reduced, but not cyclically reduced as  $ba^{-1}a$  is not reduced.

Note that if  $w$  is reduced, but not cyclically reduced, then

$$w = aw'a^{-1}$$

for some  $a \in A \cup A^{-1}$ . Note that  $w'$  is both conjugate to  $w$  and shorter than  $w$ . Hence after finitely many iterations, we can assume that  $w$  is cyclically reduced.

**Lemma 1.1.7** (conjugacy problem in free groups). If  $u, v \in F(A)$  are cyclically reduced, then  $u$  is conjugate to  $v$  if and only if the corresponding cyclic words are the same.

*Proof.* If  $u, v$  have the same cyclic words, then  $v$  is a cyclic conjugate of  $u$ , which we have seen is a conjugate of  $u$ .

Conversely, suppose  $u = gvg^{-1}$ . By induction on  $\ell(g)$ , we can assume  $g = a \in A \cup A^{-1}$ . From this it follows that either  $v = a^{-1}v'$ , or  $v = v'a$ , as  $v$  is cyclically reduced. That is,  $u = v'a^{-1}$  or  $u = av'$ . In both cases, they are cyclic conjugates.  $\square$

## 1.2 Historical case study

Let's briefly think about the state of topology in the early 20th century. Poincaré knew that homology classifies the compact two-dimensional surfaces. This motivated the

**Conjecture 1.2.1** (Poincaré conjecture, version 1). Let  $M$  be a compact connected 3-manifold, with

$$H_*(M) = \begin{cases} \mathbb{Z} & * = 0, 3 \\ 0 & \text{otherwise} \end{cases}$$

Then  $M$  is homeomorphic to  $S^3$ .

Such a 3-manifold  $M$  is called a *homology sphere*.

**Theorem 1.2.2** (Poincaré, 1904). There exists a three dimensional homology sphere  $P$ , with

$$\pi_1(P) \cong A_5$$

The moral is that: homology is not enough, we need use  $\pi_1$  as well.

**Conjecture 1.2.3** (Poincaré conjecture, version 2). Let  $M$  be a compact connected 3-manifold, with  $\pi_1(M) = 1$ . Then  $M$  is homeomorphic to  $S^3$ .

This was proven by Perelman in 2003. Returning to the original conjecture, in 1910 Dehn wanted to construct more homology spheres.

**Theorem 1.2.4** (Dehn, 1910). There are infinitely many non-homeomorphic 3-dimensional homology spheres.

**Remark 1.2.5.** The isomorphism problem is exactly what is needed to distinguish these manifolds.

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Here is Dehn's construction. Consider the trefoil knot  $K \subseteq \mathbb{R}^3 \subseteq S^3$ . Let  $N(K)$  be a regular open neighbourhood of  $K$ , i.e. a thickening of  $K$ . Let  $N = S^3 \setminus N(K)$ . This is a compact 3-manifold with boundary  $\partial N \cong T^2$ .

Computing,

$$H_*(N) = \begin{cases} \mathbb{Z} & * = 0, 1 \\ 0 & \text{otherwise} \end{cases}$$

and

$$\pi_1(N) \cong \langle x, y, z \mid x^2 = y^3 = z \rangle$$

This follows from the fact that  $K$  is a torus knot, and we can compute the  $\pi_1$  of the complement of a torus knot. Note that the abelianisation map

$$\pi_1(N) \rightarrow H_1(N) \cong \mathbb{Z}$$

sends  $z$  to 6,  $x$  to 3 and  $y$  to 2. Moreover, the boundary torus  $T$  has  $\pi_1(T) = \mathbb{Z}^2$ , generated by  $xy$  and  $z$ . Under the abelianisation map,  $xy$  is sent to 5.

We can glue a solid torus  $D^2 \times S^1$  to  $N$ , by a homeomorphism on the boundary. Let  $\lambda, \mu$  be the corresponding loops on  $T^2$ . The resulting manifold  $M_\phi = N \cup_\phi T$  is closed.  $\pi_1(M_\phi)$  depends on  $g = \phi_*(\mu)$ . By Seifert-van Kampen,

$$\pi_1(M_\phi) = \frac{\pi_1(N)}{\langle\langle g \rangle\rangle}$$

Similarly,

$$H_1(M_\phi) = \frac{\mathbb{Z}}{\langle\langle [g] \in H^1(N) \rangle\rangle}$$

To produce a homology sphere, we need to choose  $\phi$  such that  $g = \phi_*(\mu) = 1$  in  $H^1(N)$ .

If  $g = (xy)^a z^b$ , then in  $H^1(N)$  this is mapped to  $5a + 6b \in \mathbb{Z}$ . Choose  $a = 6n + 5$ , and  $b = -(5n + 4)$  for  $n \in \mathbb{Z}$ . He constructs  $\phi_n$  such that

$$\phi_n(\mu) = g_n = (xy)^{6n+5} z^{-(5n+4)}$$

His family of manifolds

$$D_n = N \cup_{\phi_n} U$$

has

$$\pi_1(D_n) = \langle x, y, z \mid x^2 = y^2 = z, (xy)^{6n+5} = z^{5n+4} \rangle$$

The remaining challenge is to prove the groups  $G_n = \pi_1(D_n)$  for  $n \geq 0$  are pairwise non-isomorphic.

This is the isomorphism problem! In particular,

$$g_n = g_m \implies g_n \text{ and } g_m \text{ are conjugate} \implies G_n \cong G_m$$

So we also need to solve the word and conjugacy problem in  $\pi_1(N)$ .

### 1.3 van Kampen diagrams

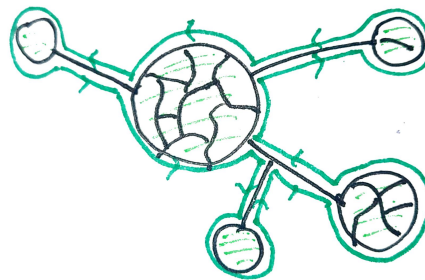
#### Definition 1.3.1

A map  $f : Y \rightarrow X$  of cell complexes is called *combinatorial* if for all  $k \in \mathbb{Z}_{\geq 0}$ , and every  $k$ -cell  $e^k$  of  $Y$ ,  $f$  maps the interior  $\text{Int}(e^k)$  homeomorphically to the interior of a  $k$ -cell of  $X$ .

Consider a presentation  $G = \langle a_i \mid r_j \rangle$ , and the associated presentation complex  $X$ .

#### Definition 1.3.2

A (*singular*) *disc diagram* is a compact contractible 2-complex  $D$ , with an embedding  $D \hookrightarrow \mathbb{R}^2$ . A disc diagram  $D$  is *over*  $X$  if it is equipped with a combinatorial map  $D \rightarrow X$ .



Example 1.3.3

Recall that  $X$  is given by a wedge of circles, with discs glued on for each relation. So the 1-cells correspond to generators, 2-cells go to relations (or cyclic conjugates, or inverses). With this:

- every oriented 1-cell of  $D$  is labelled with some  $a_i \in A$ ,
- so that each 2-cell has boundary which is a cyclic conjugate of some  $r_j^{\pm 1}$ .

Associated to each disc diagram  $D$ , we have a *boundary cycle*, which reads a (cyclic<sup>1</sup>) word  $w \in A^*$ , which reduces to an element  $w' \in \langle\langle R \rangle\rangle \leq F(A)$ . To see this,  $D$  is contractible.

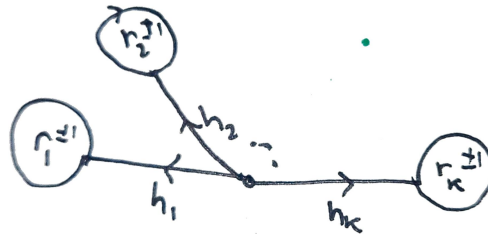
$D$  is a *van Kampen diagram* for  $w$ .

**Lemma 1.3.4 (van Kampen).** If  $w \in \langle\langle R \rangle\rangle$ , then there exists a van Kampen diagram for  $w$ .

*Proof.* Suppose  $w \in \langle\langle R \rangle\rangle$ . Then  $w$  can be written as

$$w = \prod_{i=1}^k h_i r_i^{\pm 1} h_i$$

in  $F(A)$ , where  $h_i \in F(A)$ , and  $r_i \in R$ . Now build a *lollipop diagram*  $D_0$ , which has boundary word  $w_0$ , which is equal to  $w$  in  $F(A)$ , but may not be reduced.



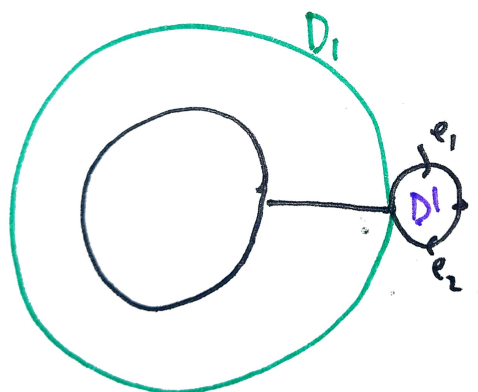
If  $w_0$  is reduced,  $w = w_0$ , and so we are done. Otherwise,  $w_0$  contains a cancelling pair, so

$$w_0 = \dots a a^{-1} \dots$$

for some  $a \in A \cup A^{-1}$ .

We can see that  $e_1, e_2$  share a vertex. There are two cases to consider:

1. if the *origin* of  $e_1$  is the *terminus* of  $e_2$ , then the diagram  $D_0$  is a wedge  $D_1 \vee D'$ .



Then  $D_1$  is a van Kampen diagram for  $w_1$ , which is the result of cancelling  $a$  and  $a^{-1}$ .

2. if the origin of  $e_1$  is distinct from the terminus of  $e_2$ , then we can fold the edges to get  $D_1$ ,

<sup>1</sup>or a word once we choose a base point.



which has boundary word  $w_1$  as above.

In either case,  $w_1 = \partial D_1$  is obtained from  $w_0$  by cancelling a pair. Therefore, we may proceed by induction, and after finitely many repetitions, we construct a van Kampen diagram  $D_n$  such that  $w_n = \partial D_n$  is reduced, and  $w_n = w$  in  $F(A)$ . Thus,  $w_n = w$  as words, and so  $D_n$  is a van Kampen diagram for  $w$ .  $\square$

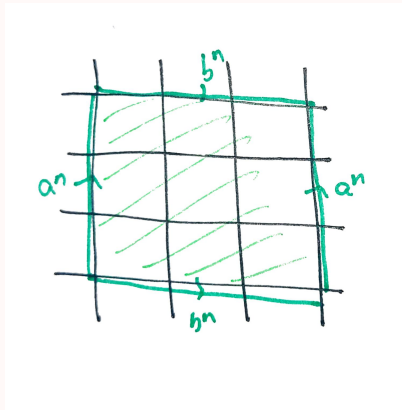
**Remark 1.3.5.** The minimal number of 2-cells in a van Kampen diagram of  $w$  is the minimal number of  $k$ , such that  $w$  can be written as

$$w = \prod_{i=1}^k h_i r_i^{\pm 1} h_i^{-1}$$

This is called the *area* of  $w$ .

### Example 1.3.6

Let  $G = \mathbb{Z}^2 = \langle a, b \mid [a, b] \rangle$ . Consider  $w = a^n b^n a^{-n} b^{-n}$ . This has van Kampen diagram



In this case,  $\text{Area}(D) = n^2$ . We will show  $D$  is minimal, and so  $\text{Area}(w) = n^2$ .

### Definition 1.3.7

Let  $\mathcal{P} = \langle A \mid R \rangle$  be a finite presentation of a group  $G$ . Define

$$\delta_{\mathcal{P}} : \mathbb{N} \rightarrow \mathbb{N}$$

$$\ell \mapsto \max_{w \in \langle\langle R \rangle\rangle, \ell(w)=\ell} \text{Area}(w)$$

This is called the *Dehn function*.

**Remark 1.3.8.** The word problem in  $\mathcal{P}$  is if and only if  $\delta_{\mathcal{P}}$  is computable.

## 2 Basics of geometric group theory

### 2.1 Cayley graphs

A *graph* is a 1-dimensional cell complex. Throughout, let  $G$  be a group, with finite generating set  $S \subseteq G$ .

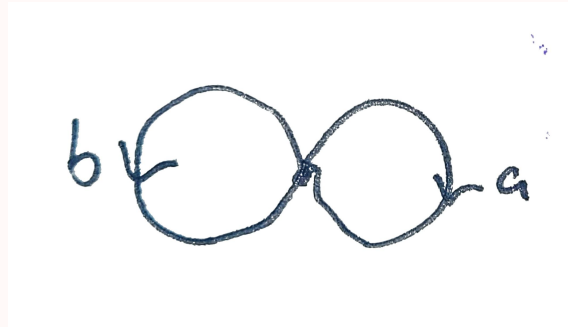
**Definition 2.1.1**

The *Cayley graph*  $\text{Cay}_S(G)$  is defined as follows:

- vertices  $V(\text{Cay}_S(G)) = G$ ,
- edges  $E(\text{Cay}_S(G))$  correspond bijectively with  $G \times S$ . That is, we have an edge  $g \rightarrow gs$ .

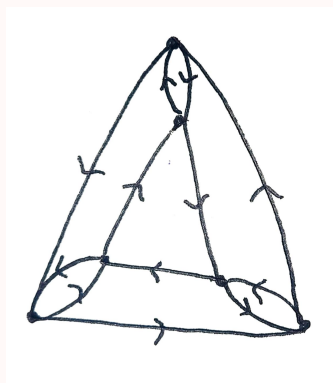
**Example 2.1.2**

The trivial group given by  $1 = \langle a, b \mid a, b \rangle$  has Cayley graph



**Example 2.1.3**

$S_3 = \langle r, s \mid srsr, r^3, s^2 \rangle$  has Cayley graph



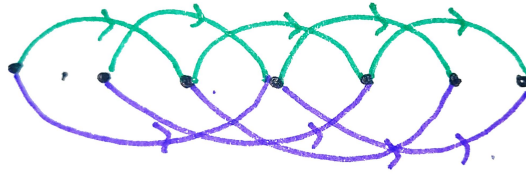
**Example 2.1.4**

$\mathbb{Z} = \langle 1 \rangle$  as Cayley graph



On the other hand,  $\mathbb{Z} = \langle 2, 3 \rangle$  has Cayley graph





Note that the action of  $G$  on itself on the *left* extends to an action of  $G$  on  $\text{Cay}_S(G)$ , sending an edge  $h \rightarrow hs$  to  $gh \rightarrow ghs$ . Note the right action does not work, because of our definition of the Cayley graph.

**Remark 2.1.5.** The action of  $G$  on  $\text{Cay}_S(G)$  is free. That is, for all  $x \in \text{Cay}_S(G)$ ,  $\text{Stab}_G(x) = 1$ .

**Proposition 2.1.6.** Let  $G = \langle S \mid R \rangle$ , and let  $X$  be the corresponding presentation complex. Then there exists a  $G$ -equivariant isomorphism of graphs

$$\text{Cay}_S(G) \cong \tilde{X}_{(1)}$$

with the 1-skeleton of the universal cover  $\tilde{X}$  of  $X^a$ .

<sup>a</sup>and not the other way around.

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*Proof.* Consider the natural free action of  $G = \pi_1(X)$  on  $\tilde{X}$ , by deck transformations. The action is by combinatorial endomorphisms. In particular, it preserves the 1-skeleton. So we have a free action of  $G$  on  $\tilde{X}_{(1)}$ , which sends vertices to vertices and edges to edges.

The action of  $G$  on  $\tilde{X}_{(0)}$  is free, and as  $X$  has only one vertex, transitive. Therefore, choosing a base vertex  $\tilde{v}_0$ , the orbit-stabiliser theorem provides a  $G$ -equivariant bijection

$$G \rightarrow \text{Orb}(\tilde{v}_0) = \tilde{X}_{(0)}$$

sending  $g$  to  $g \cdot \tilde{v}_0$ . So this matches up the vertices as required.

Next, let us match up the edges. For each  $s \in S$ , let  $e_s$  be the corresponding edge of  $X$ . Let  $\tilde{e}_s$  be the unique lift of  $e_s$  to  $\tilde{X}$ , beginning at  $\tilde{v}_0$ . By the definition of the action of  $G$  on  $\tilde{X}$ ,  $e_s$  ends at  $s \cdot \tilde{v}_0$ . Now an arbitrary edge  $\tilde{e}$  of  $\tilde{X}$  maps to some  $e_s$ , under the covering map. Since edges of  $X$  correspond to  $G$ -orbits of edges in  $\tilde{X}$ , it follows that  $\tilde{e} = g \cdot \tilde{e}_s$  for some  $s \in S$ . That is,  $\tilde{e}$  is the edge from  $g \cdot \tilde{v}_0$  to  $gs \cdot \tilde{v}_0$ . So it corresponds to an edge from  $g$  to  $gs$ .

This shows that the  $G$ -equivariant map  $G \rightarrow \tilde{X}_{(0)}$  extends to a  $G$ -equivariant isomorphism of graphs as claimed.  $\square$

The next proposition deepens the relationship between generating sets and path connectedness.

**Proposition 2.1.7.** Let  $\tilde{X}$  be a path connected topological space, and suppose that  $G$  acts on  $\tilde{X}$  by homeomorphisms. If  $U \subseteq \tilde{X}$  is an open subset, such that  $G \cdot U = \tilde{X}$ , then the set

$$S = \{g \in G \mid g \cdot U \cap U \neq \emptyset\}$$

generates  $G$ .

*Proof.* Fix a base point  $\tilde{x}_0 \in U$ . Now for  $g \in G$ , let  $\gamma : [0, 1] \rightarrow \tilde{X}$  be a path from  $\tilde{x}_0$  to  $g \cdot \tilde{x}_0$ . The set  $\{\gamma^{-1}(h \cdot U) \mid h \in G\}$  is an open cover of  $[0, 1]$ . So it has a finite subcover,  $\{\gamma^{-1}(U_1), \dots, \gamma^{-1}(U_n)\}$ , where  $U_i = g_i \cdot U$ . We may choose the indices so that

- $\tilde{x}_0 \in U_1$ ,
- $\gamma^{-1}(U_i) \cap \gamma^{-1}(U_{i+1}) \neq \emptyset$  for all  $i$ ,

- $g \cdot \tilde{x}_0 \in U_n$ .

Note that the  $g_i$  need not be unique. By definition,  $x_0 \in U \cap g_1 \cdot U$ , and so  $g_1 \in S$ . Similarly, if  $t_i \in \gamma^{-1}(U_i) \cap \gamma^{-1}(U_{i+1})$ , then  $x_i = \gamma(t_i) \in g_i \cdot U \cap g_{i+1} \cdot U$ . Thus,

$$g_i^{-1} \cdot x_i \in U \cap g_i^{-1} g_{i+1} \cdot U$$

and so  $s_i = g_i^{-1} g_{i+1} \in S$ . Thus,  $g_n = s_{n-1} \cdots s_2 s_1 g_1$ , is a finite product of elements of  $S$ . Finally,  $g^{-1} g_n \in S$  similarly to the above, so  $g \in \langle S \rangle$  as required.  $\square$

### Example 2.1.8

Let  $\Gamma \subseteq \text{Isom}(\mathbb{R}^2)$  be the symmetry group of the standard tiling of the plane by equilateral triangles. Let  $U$  be a thickened triangle. Using the proposition, we obtain a finite generating set of  $\Gamma$ . In particular,  $\Gamma$  is generated by the reflections in the sides of a single triangle.

In particular, this is not a covering space action, as it is not free.

### Definition 2.1.9

An action of  $G$  on  $\tilde{X}$  by homeomorphisms is *properly discontinuous* if for every compact  $K \subseteq \tilde{X}$ , the set

$$\{g \cdot K \cap K\}$$

is finite.

The action is *cocompact* if there exists  $K \subseteq \tilde{X}$  compact, such that

$$G \cdot K = \tilde{X}$$

$\tilde{X}$  is *locally compact* if for every neighbourhood  $U$  of  $x$ , there exists an open neighbourhood  $V \subseteq U$  of  $x$ , such that  $\bar{V} \subseteq U$  is compact.

**Corollary 2.1.10.** If  $G$  acts on  $\tilde{X}$  properly discontinuously and cocompactly, and  $\tilde{X}$  is path connected and locally compact, then  $G$  is finitely generated.

Lecture 6

*Proof.* Let  $K \subseteq \tilde{X}$  be compact, such that  $G \cdot K = \tilde{X}$ . By local compactness, we may find an open  $U$  such that  $K \subseteq U$ , and  $\bar{U}$  is compact. In particular,  $G \cdot U = \tilde{X}$ , and the set

$$S = \{g \in G \mid g \cdot U \cap U \neq \emptyset\} \subseteq \{g \mid g \cdot \bar{U} \cap \bar{U} \neq \emptyset\}$$

But the right hand side is finite, so  $S$  is finite. By the proposition,  $S$  generates  $G$ .  $\square$

**Corollary 2.1.11.** If  $X$  is compact, locally compact and has a universal cover  $\tilde{X}$ , then  $\pi_1(X)$  is finitely generated.

*Proof.* Exercise. Sheet 1 question 10.  $\square$

## 2.2 The Schwarz–Milnor lemma

Cayley graphs are not just combinatorial. They admit a natural metric, called the *word metric*.

**Definition 2.2.1** (word metric)

Let  $S$  generate  $G$ . Define

$$\ell_S(G) = \min\{n \mid g = \prod_{i=1}^n s_i^{\pm 1}, s_i \in S\}$$

This defines a metric

$$d_S(g, h) = \ell_S(g^{-1}h)$$

called the *word metric* associated to  $S$ .

The word metric is invariant under the *left*  $G$  action on itself. That is,

$$d_S(\gamma g, \gamma h) = d_S(g, h)$$

However, it is, in general, not right invariant.

**Example 2.2.2**

$G = \mathbb{Z}^2 = \langle a \rangle \oplus \langle b \rangle$ . Then the word metric is just the  $\ell_1$ -metric.

**Remark 2.2.3.** The word metric extends naturally to a left invariant metric on  $\text{Cay}_S(G)$ , in which the interior of each edge is locally isometric to  $(0, 1)$ . That is, the path metric.

**Lemma 2.2.4.** Suppose  $S, T$  are finite generating sets for  $G$ . Then there exists constants  $C, C' \geq 1$  such that

$$\frac{1}{C}d_T \leq d_S \leq C'd_T$$

*Proof.* Let  $C = \max_{s \in S} \ell_T(s)$ . Then for any  $g \in G$ ,

$$\ell_T(g) \leq C\ell_S(g)$$

by induction. □

That is, for finitely generated groups, the word metric is well defined, up to bi-Lipschitz equivalence.

**Definition 2.2.5** (quasi-isometry)

A function<sup>a</sup>  $f : X \rightarrow Y$  between metric spaces is a *quasi-isometric embedding* if there are constants  $C \leq 1, D \geq 0$ , such that

$$\frac{1}{C}d(x, x') - D \leq d(f(x), f(x')) \leq Cd(x, x') + D$$

for all  $x, x' \in X$ .

If in addition, there exists a constant  $K$  such that for every  $y \in Y$ , there exists  $x \in X$  such that  $d(f(x), y) \leq K$ , then  $f$  is called a quasi-isometry, and we write  $X \overset{\text{qi}}{\sim} Y$ .

<sup>a</sup>It does not have to be continuous.

**Remark 2.2.6.** On examples sheet 1, we have that  $\overset{\text{qi}}{\sim}$  is an equivalence relation.

**Example 2.2.7**

Every bounded metric space is quasi-isometric to a point.

**Definition 2.2.8 (proper)**

A metric space  $X$  is *proper* if closed balls in  $X$  are compact.

**Definition 2.2.9 (geodesic)**

A *geodesic* in  $X$  is an isometric embedding  $\gamma : [a, b] \rightarrow X$ . The metric space  $X$  is *geodesic* if every pair of points is joined by a geodesic.

**Theorem 2.2.10 (Schwarz-Milnor).** Suppose  $X$  is a proper geodesic metric space. Let  $G$  acts on  $X$  properly discontinuously and cocompactly by isometries. Then  $G$  is finitely generated, and

$$X \stackrel{qi}{\sim} (G, d_S)$$

for any finite generating set  $S$  of  $G$ .

*Proof.* Fix a base point  $x_0 \in X$ . Let  $B = \overline{B}(x_0, K) \subseteq X$  be a closed ball, such that  $G \cdot B = X$ . By properness and proper discontinuity, the set

$$\{g \in G \mid d(x_0, g(x_0)) \leq 3K\}$$

is finite. Therefore, there exists  $\varepsilon > 0$ , such that

$$d(x_0, g(x_0)) < 2K + \varepsilon \iff d(x_0, g(x_0)) \leq 2K$$

Moreover, in this case,  $gB \cap B \neq \emptyset$ .

If  $U = B(x_0, K + \varepsilon/2)$ , then

$$S = \{g \cdot U \cap U \neq \emptyset\} = \{g \cdot B \cap B \neq \emptyset\}$$

Since  $B$  is compact,  $S$  is finite, since the action is properly discontinuous. But  $S$  is a generating set for  $G$ .

Since the word metric for any two finite generating sets are bi-Lipschitz, we may prove the result for the  $S$  above. Consider the map  $f : G \rightarrow X$ ,  $f(g) = g \cdot x_0$ . We claim that this is a quasi-isometry.  $f$  is quasi-surjective, since  $G \cdot B = X$ . It remains to prove that  $f$  is a quasi-isometric embedding. That is, we want upper and lower bounds on  $d(x_0, g \cdot x_0)$  in terms of  $\ell_S(g)$ .

For the upper bound, take  $C = \max_{s \in S} d(x_0, s \cdot x_0)$ . Then

$$d(x_0, g \cdot x_0) \leq C \ell_S(g)$$

for any  $g \in G$ , using the triangle inequality.

For the lower bound, consider a geodesic  $\gamma : [0, d(x_0, g \cdot x_0)] \rightarrow X$  from  $x_0$  to  $g \cdot x_0$ . Choose a dissection of  $[0, d(x_0, g \cdot x_0)]$

$$0 = t_0 < t_1 < \dots < t_n < t_{n+1} = d(x_0, g \cdot x_0)$$

with

$$\frac{\varepsilon}{2} \leq |t_i - t_{i+1}| < \varepsilon$$

for  $0 \leq i \leq n - 1$ . Note we can make it so that  $|t_n - t_{n+1}| < \varepsilon$ , but we may not have the lower bound.

Since  $G \cdot B$  covers  $X$ , for  $1 \leq i \leq n$ , there exists  $g_i \in G$  such that  $\gamma(t_i) \in g_i \cdot B$ . Set  $g_0 = 1$  and  $g_{n+1} = g$ . Then  $\gamma(t_i) \in g_i \cdot B$  for all  $i$ . For each  $i$ ,

$$d(g_i(x_0), g_{i+1}(x_0)) < 2K + \varepsilon$$

by the triangle inequality. Therefore,  $g_i^{-1}g_{i+1} \in \{h \cdot U \cap U \neq \emptyset\} = S$ . Hence  $\ell_S(g) \leq n + 1$ . Furthermore,

$$|t_i - t_{i-1}| \geq \varepsilon/2$$

for all  $1 \leq i \leq n$ , so  $d(x_0, g \cdot x_0) \geq n\varepsilon/2$ . Combining these,

$$\ell_S(g) \leq n + 1 \leq \frac{2}{\varepsilon} d(x_0, g \cdot x_0) + 1$$

We can rearrange this to get the lower bound. □

### Example 2.2.11

Recall the two Cayley graphs of  $\mathbb{Z}$ , with generating sets  $\{1\}$ , and  $\{2, 3\}$  respectively.

The Schwartz-Milnor says that these are both quasi-isometric to  $\mathbb{Z}$  with an appropriate word metric. So they are quasi-isometric. More generally, for any finitely generated group  $G$ , the Cayley graphs of any two finite generating sets are quasi-isometric.

**Corollary 2.2.12.** If  $G$  is finitely generated,  $H$  is a subgroup with finite index in  $G$ . Then  $H$  is finitely generated, and  $H$  is quasi-isometric to  $G$ .

*Proof.*  $H$  acts on  $\text{Cay}_S(G)$ . The action is cocompact as  $H$  has finite index. So it satisfies the Schwartz-Milnor lemma.  $\square$

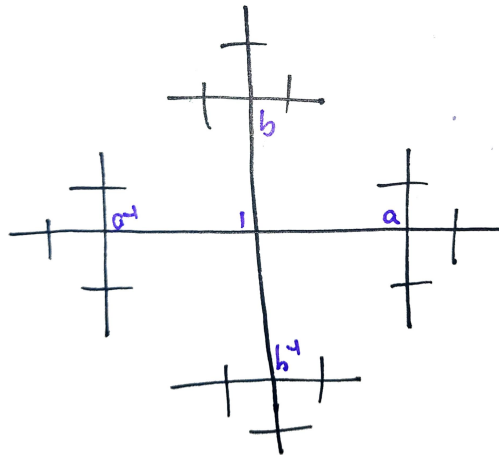
### Example 2.2.13

Let  $\Sigma_2$  be the closed orientable surface of genus 2, and  $G = \pi_1(\Sigma_2)$ . Choose a Riemannian metric  $g$  on  $\Sigma_2$  of constant curvature  $-1$ .

This pulls back to a Riemannian metric on its universal cover  $\tilde{\Sigma}_2$ . By a classical theorem of differential geometry,  $\tilde{\Sigma}_2$  is isometric to the hyperbolic plane  $\mathbb{H}^2$ . Moreover, the action of  $G$  on the  $\mathbb{H}^2$  by isometries, and properly discontinuously. The action is cocompact as the quotient is  $\Sigma_2$ , which is compact. So by the Schwartz-Milnor lemma,  $\pi_1(\Sigma_2)$  is quasi-isometric to  $\mathbb{H}^2$ .

## 3 Case study - Free groups

Let  $A = \{a_1, \dots, a_n\}$ . We will write  $F_n = F(A_n)$ . The *Cayley tree* is the infinite  $2n$ -valent tree  $T_n = \text{Cay}_A(F_n)$ .



Lecture 8

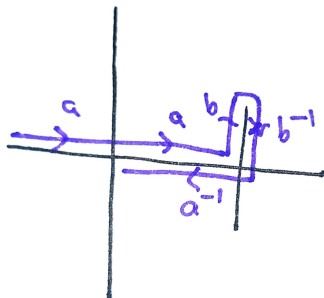
In particular, every vertex looks the same.  $F_n$  acts freely on  $T_n$ . The quotient  $X_n$  is the wedge of  $n$  circles. So we recover  $F_n = \pi_1(X_n)$ , and  $T_n$  is the universal cover of  $X_n$ .

We can translate our *combinatorial* arguments about  $F_n$ , about geometric properties of  $T_n$ .

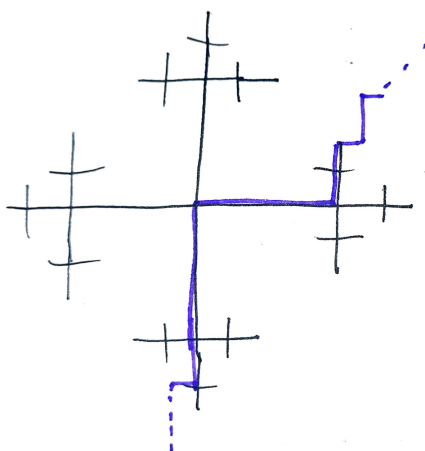
### Words

A word  $w \in A^*$  is equivalent to an *edge path*, which is a map  $w : I \rightarrow X_n$ , where  $I$  is an interval.

For example, consider the word  $w = a^2 b b^{-1} a^{-1}$ . The edge path is:



An edge path in  $X_n$  lifts to a unique edge path in  $T_n$ , based at 1. Conversely, each such path in  $T_n$  projects to a path in  $X_n$ .



## Reduced words

A word  $w \in A^*$  is reduced if and only if the corresponding edge path  $w : I \rightarrow X_n$  is locally injective. In turn, this holds if and only if the corresponding edge path  $w : I \rightarrow T_n$  is locally injective. This is because an edge path can only fail to be locally injective at a vertex.

Clearly, the shortest path in  $T_n$  from 1 to  $g \in F_n$  is injective. In particular, locally injective. So every element of  $F_n$  is represented by a reduced word.

The fact that this representative is unique follows from the next lemma.

**Lemma 3.0.1.** If  $T$  is a tree, and  $\gamma : I \rightarrow T$  is a locally injective (edge) path, then  $\gamma$  is injective.

*Proof.* Suppose not. Let  $\gamma : [a, b] \rightarrow T$  be the shortest counterexample. In particular,  $\gamma(a) = \gamma(b)$ , and  $\gamma$  is injective on  $(a, b)$ . So  $\gamma$  descends to an injective map  $S^1 \rightarrow T$ . But  $T$  is a tree. Contradiction.  $\square$

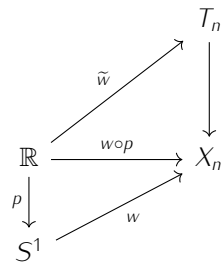
Similarly, if  $g \in F_n$  is shortest such that  $g$  is represented by distinct reduced words  $w_1, w_2$ , then we get an embedding  $S^1 \hookrightarrow T$ . Hence the reduced word is unique.

For  $g \in F_n$ , write  $[1, g]$  for the unique injective edge path from 1 to  $g$ .

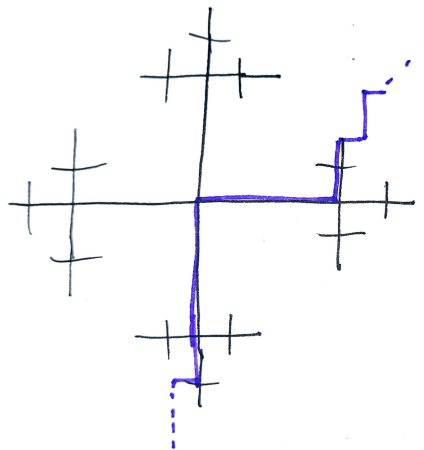
## Cyclically reduced words

So far, implicitly we have chosen base points. Each (nontrivial) word  $w \in A^*$  also defines a (based) edge loop, by gluing together the end points of the interval. So we have a map  $S^1 \rightarrow X_n$ . If we forget the base point of  $S^1$ , then two elements  $u, v \in A^*$  determine the same cyclic word if and only if they represent the same edge loop  $S^1 \rightarrow X_n$ .

Now a word  $w \in A^*$  is cyclically reduced if and only if the corresponding map  $S^1 \rightarrow X_n$  is locally injective. From lifting theory, we have a lift  $\tilde{w} : \mathbb{R} \rightarrow T_n$  as below



For example, if  $w = ab^2$ , the lift is



In particular, since  $w$  is locally injective,  $\tilde{w}$  is as well, and so it is injective, by the lemma. The image of  $\tilde{w}$  is called the *axis* of  $w$ .

By the definition of the action of  $F_n$  on  $T_n$ ,  $w$  when thought of as a deck transformation of  $T_n$ , preserves its axis. Note that  $w$  translates  $\text{Axis}(w)$  by  $\ell(w)$ . This is called the *translation length* of  $w$ , denoted as  $\tau(w)$ .

Lecture 9

A geometric solution to the conjugacy problem follows from:

**Lemma 3.0.2.** Let  $u, v \in F_n$  be cyclically reduced. If  $u$  and  $v$  are conjugate, then there exists  $g \in F_n$ , such that

$$\ell(g) \leq \frac{1}{2} (\tau(u) + \tau(v))$$

and  $u = gvg^{-1}$ .

The conjugacy problem follows, as the lemma tells us that we only need to check  $u = gvg^{-1}$  for finitely many  $g$ , and each of these can be checked using the word problem.

**Remark 3.0.3.** The statement is existence, it does not hold for all choice of  $g$ . In particular,  $C(v)$  is infinite, as it contains  $v^k$  for all  $k \in \mathbb{Z}$ , and the length of  $gv^k$  is unbounded as  $k \rightarrow \infty$ .

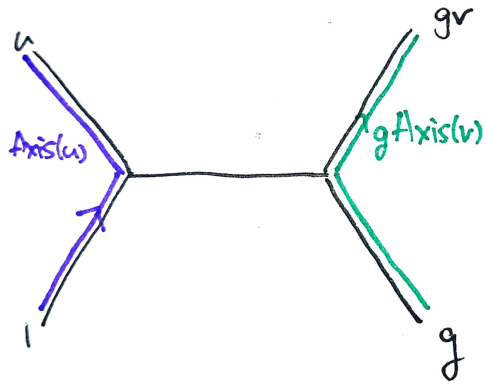
In fact, the set of conjugators is the double coset  $\langle u \rangle g \langle v \rangle$ .

*Proof.* Suppose  $u = gvg^{-1}$ , with  $\ell(g)$  minimal. Then

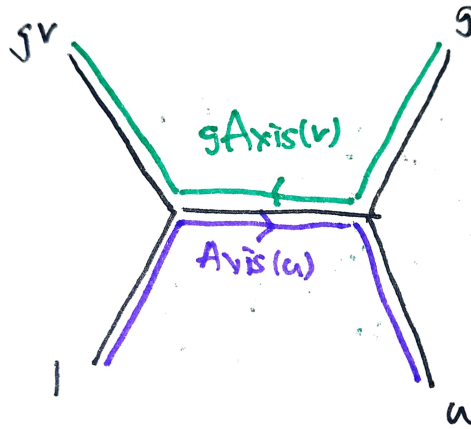
- (i) If  $u \in [1, g]$ , then  $g = uh$  for some  $h$ , and there is no cancellation. Moreover,  $u = hvh^{-1}$ , and if  $h \neq g$ , then  $\ell(h) < \ell(g)$ . Contradiction.
- (ii) If  $v \in [1, g^{-1}]$  is strictly between 1 and  $g$  as above, then  $\ell(g)$  wasn't minimal.

Now consider the convex hull of  $\{1, g, u, gv\}$ .

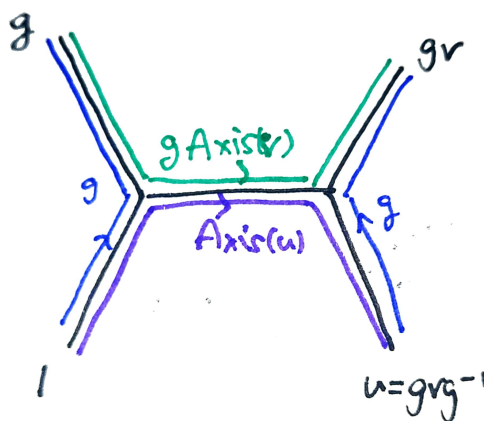
For this, there are three (non-degenerate) different combinatorial types for the convex hull. The first case is



By the minimality in (i),  $\ell(\alpha) > 0$ . Similarly,  $\ell(\beta) > 0$ . On this diagram, we have  $\text{Axis}(u)$  and  $g \cdot \text{Axis}(v) = \text{Axis}(gvg^{-1})$ . But  $u = gvg^{-1}$ . Contradiction (we will assume the middle length is non-zero for now).  
The second case is



The axes are labelled. But they translate in opposite directions. Contradiction (again, we assume the middle length is non-zero).  
The third case is:



If the middle length is  $\lambda$ , then

$$\tau(u) + \tau(v) = 2\ell(g) + 2\lambda \geq 2\ell(g)$$

□



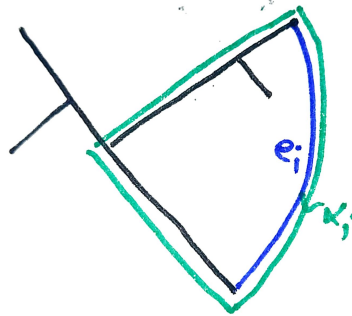
## Subgroups of free groups

**Proposition 3.0.4.** If  $X$  is a (connected) graph, then  $\pi_1(X)$  is free.

*Proof when  $X$  has countably many cells.* Let  $T \subseteq X$  be a maximal tree, and let  $\{e_1, e_2, \dots\}$  be the edges in  $X$  and not  $T$ . Let  $X_N = T \cup \{e_1, \dots, e_N\}$ . With this,

$$X = \bigcup_{n \geq 1} X_n$$

Pick a base vertex  $v_0 \in T$ . For each  $e_i$ , let  $\alpha_i$  be the illustrated loop.



Lecture 10

Note

$$X_{n+1} = X_n \cup e_{n+1} = X_n \cup_{Y_{n+1}} (S^1 \cup I)$$

By Seifert-van Kampen,

$$\pi_1(X_{n+1}) = \pi_1(X_n) * \langle \alpha_{n+1} \rangle$$

Thus, by induction,  $\pi_1(X_n)$  is free for all  $n$ , and generated by  $\alpha_1, \dots, \alpha_n$ . When  $X$  is countably infinite, note that every (edge) loop  $\gamma \subseteq X$  is contained in  $X_n$  for some  $n$ . Thus,  $\pi_1(X)$  is generated by  $\{\alpha_1, \alpha_2, \dots\}$ .

By the universal property of free groups, we have a surjection

$$\eta : F_\infty = \langle \alpha_1, \dots \rangle \rightarrow \pi_1(X)$$

Suppose  $\gamma$  is a loop representing an element of  $\ker(\eta)$ . As before,  $\gamma$  is contained in  $X_n$  for some  $n$ . So  $\gamma$  is in the kernel of the map

$$\langle \alpha_1, \dots, \alpha_n \rangle \rightarrow \pi_1(X_n) \rightarrow \pi_1(X)$$

The first map is an isomorphism, so  $\gamma \in \ker(\pi_1(X_n) \rightarrow \pi_1(X))$ .

Since  $X_n$  is a retract<sup>2</sup> of  $X$ , every loop which is null-homotopic in  $X$ , is null-homotopic in  $X_n$ . So  $\gamma = 1$  in  $\pi_1(X_n) = \langle \alpha_1, \dots, \alpha_n \rangle \leq F_\infty$ .  $\square$

**Corollary 3.0.5.** If  $G$  acts on a tree  $T$  freely, then  $G$  is free.

*Proof.* The action of  $G$  on  $T$  is a covering space action. Since  $T$  is simply connected,  $X = G \backslash T$  is a graph, with universal cover  $T$ , and  $G = \pi_1(X)$  is free.  $\square$

**Corollary 3.0.6 (Nielsen-Schreier).** Any subgroup of  $H \leq F_n$  is free.

*Proof.* Let  $T = T_n$  be the Cayley tree of  $F_n$ . Then  $F_n$  acts on  $T$  freely, and so  $H$  acts freely on  $T$ . By the previous corollary,  $H$  is free.  $\square$

<sup>2</sup>i.e. the inclusion  $X_n \rightarrow X$  has a left inverse  $r : X \rightarrow X_n$

**Remark 3.0.7.** The choice of generating set comes from the choice of a maximal tree in the proposition.

## 4 Bass-Serre theory

We will study groups acting on trees, not necessarily freely. We will also see how to glue groups together, or cut groups into pieces.

### 4.1 Amalgamated free products

#### Definition 4.1.1 (pushout)

A commutative diagram of groups

$$\begin{array}{ccc} C & \xrightarrow{i} & A \\ j \downarrow & & \downarrow k \\ B & \xrightarrow{\ell} & \Gamma \end{array}$$

is a *pushout* if for any group  $G$ , and homomorphisms  $A \rightarrow G, B \rightarrow G$ , there exists a unique homomorphism making the diagram

$$\begin{array}{ccc} C & \longrightarrow & A \\ \downarrow & & \downarrow \\ B & \longrightarrow & \Gamma \end{array} \begin{array}{c} \searrow \\ \searrow \\ \searrow \end{array} \begin{array}{c} \\ \\ G \end{array}$$

commute.

In this case,  $\Gamma$  is unique up to unique isomorphism, and therefore we may write  $\Gamma = A \sqcup_C B$ .

**Theorem 4.1.2 (Seifert-van Kampen for cell complexes).** Suppose  $K, L \subseteq X$  are subcomplexes, such that  $X = K \cup L$ . Suppose  $K, L, K \cap L$  are all path connected. Then

$$\pi_1(X) = \pi_1(K) \sqcup_{\pi_1(K \cap L)} \pi_1(L)$$

*Proof omitted.* □

Note we use  $\sqcup$  as it is a coproduct.

**Proposition 4.1.3.** Suppose  $A = \langle S_A \mid R_A \rangle, B = \langle S_B \mid R_B \rangle, C = \langle \Sigma \mid \dots \rangle$ . Let  $i, j$  be represented by maps  $\hat{i}: \Sigma \rightarrow F(S_A), \hat{j}: \Sigma \rightarrow F(S_B)$ . Then

$$A \sqcup_C B = \langle S_A, S_B \mid R_A, R_B, \{\hat{i}(\sigma)\hat{j}(\sigma)^{-1} \mid \sigma \in \Sigma\} \rangle$$

*Proof.* Exercise. □

#### Example 4.1.4

If  $B$  is trivial, then

$$A \sqcup_C 1 = A / \langle\langle i(C) \rangle\rangle$$

**Definition 4.1.5** ((amalgamated) free product)

If the maps  $i, j$  in the definition of a pushout are injective, then we write  $\Gamma = A *_C B$ , and call  $\Gamma$  the *amalgamated free product* of  $A$  and  $B$  over  $C$ .

In particular, if  $C = 1$ , we write  $\Gamma = A * B$ , and we call this the *free product* of  $A$  and  $B$ .

**Theorem 4.1.6** (Britton’s lemma). The *vertex group*  $A$  (or  $B$ ) injects into  $G = A *_C B$ .

**Remark 4.1.7.** This is not true for pushouts. For example,  $\mathbb{Z}/2 \sqcup_{\mathbb{Z}} \mathbb{Z}/3 = 1$ .

To prove the theorem, we will construct a *graph of spaces*  $X$ , such that  $G = \pi_1(X)$ .

**diagram**

Let  $X_A$  be a presentation complex for  $A$ , and  $X_B$  be a presentation complex for  $B$ . As before, let  $\Sigma$  be a generating set for  $C$ . For each  $\sigma \in \Sigma$ , let  $\alpha_\sigma$  be a based edge loop in  $X_A$ , representing  $i(\sigma)$ . Similarly, let  $\beta_\sigma$  be a based edge loop in  $X_B$ , representing  $j(\sigma)$ . To build this space:

1. Let  $X_A, X_B$  be the presentation complexes, with their based points.
2. Add in an edge  $t$  from the base point of  $X_A$  to the base point of  $X_B$ .
3. For each  $\sigma \in \Sigma$ , consider the following “rectangular” 2-cell **diagram** with gluing pattern  $t\beta_\sigma^{-1}t^{-1}\alpha_\sigma$ . Attach these to the diagram.

Call the resulting space  $X$ . By construction (and the Seifert-van Kampen theorem),  $\pi_1(X) = G = A \sqcup_C B$ .

*Proof.* Suppose  $g \in A$  mapsto  $1 \in G = A *_C B$ . Then  $g$  represented by a (based) loop  $\gamma$  in  $X_A$ , which is null-homotopic in  $X$ .

By van Kampen’s lemma<sup>3</sup>,  $\gamma$  bounds a singular disc diagram  $D \rightarrow X$ . Because the edge  $t$  appears in each rectangle, and nowhere else, the rectangular 2-cells in  $D$  are arranged in strips, which we call  $t$ -corridors.

**diagram**

Since the boundary word is  $\gamma$ , which is contained in  $X_A$ . Therefore, we can’t have any  $t$  on the boundary, so all of the  $t$ -corridors are annuli. Look at an *inner most disc*  $D_0$  bounded by a  $t$ -corridor.

**diagram**

Since  $D_0$  is contained in a  $t$ -corridor, it is contained in  $X_A$  or  $X_B$ . Without loss of generality (proof is symmetric),  $D_0 \subseteq X_A$ . Going around the  $t$ -corridor, we get a cyclic word  $\delta$  in  $\Sigma \cup \Sigma^{-1}$ . In particular,  $i(\delta)$  is the inner loop,  $j(\delta)$  is the outer loop. But  $i(\delta)$  bounds a disc  $D_0$ , and so it is contractible. So  $i(\delta) = 1$ . But  $i$  is injective, so  $\delta = 1$ . So  $j(\delta) = 1$  in  $B$ .

By van Kampen’s lemma,  $j(\delta)$  has a van Kampen diagram  $D_B \rightarrow X_B$ . In particular, this has no  $t$ -corridors, and the same boundary as  $D_0$  with its surrounding  $t$ -corridor. So we can remove  $D_0$  and its surrounding  $t$ -corridor, and replace it with  $D_B$ .

**diagram**

This is now a van Kampen diagram, with one less  $t$ -corridor. Iterating, we can remove all of the  $t$ -corridors. But then we obtain a disc diagram  $\Delta$  for  $\gamma$  with cells in  $X_A$  only. So  $\Delta \rightarrow X_A$ , and so  $\gamma = 1$  in  $\pi_1(X_A) = A$ .  $\square$

**Example 4.1.8**

For a closed orientable surface  $\Sigma$ , we can cut along a curve  $\gamma$  to get

$$\pi_1(\Sigma) = \pi_1(\Sigma_A) *_Z \pi_1(\Sigma_B)$$

What happens if we cut along a non-separating curve?

<sup>3</sup>Yes this isn’t a presentation complex, it still applies.

## 4.2 Higman-Neumann-Neumann extensions

### Definition 4.2.1 (HNN pushout)

Suppose  $i, j : H \rightarrow G$  are group homomorphisms. The *HNN pushout* is the quotient

$$G \amalg_H = \frac{G * \langle t \rangle}{\langle\langle ti(h)t^{-1}j(h) \mid h \in H \rangle\rangle}$$

The  $t$  is called the *stable letter*.

That is, we force  $i(h)$  and  $j(h)$  to be conjugate for all  $h \in H$ .

**Theorem 4.2.2 (Seifert-van Kampen for non-separating decompositions).** Suppose  $Y$  is a connected cell complex, and  $i, j : Z \hookrightarrow Y$  are two inclusion maps, with disjoint image. Define

$$X = Y \amalg_Z = \frac{Y}{i(z) \sim j(z)}$$

for the result of gluing  $Y$  to itself by identifying  $i(Z)$  with  $j(Z)$ . Then

$$\pi_1(X) \cong \pi_1(Y) \amalg_{\pi_1(Z)}$$

*Proof.* Deferred. □

**Remark 4.2.3.** Suppose  $G$  has presentation  $\langle a_1, \dots, a_m, t \mid r_1, \dots, r_n, p_1 t q_1 t^{-1}, \dots, p_\ell t q_\ell t^{-1} \rangle$ , where the  $r_i$  do not involve  $t$ . Define  $A = \langle a_1, \dots, a_m \mid r_1, \dots, r_n \rangle$ , and define maps  $i, j : F_\ell \rightarrow A$  by  $i(x_k) = p_k$  and  $j(x_k) = q_k$ , then

$$G = A \amalg_{F_\ell}$$

### Definition 4.2.4 (HNN extension)

If  $G = A \amalg_B$ , and the maps  $B \rightarrow A$  are injective, then  $G$  is called an *HNN extension*, and we write  $G = A*_B$ .

### Example 4.2.5

Consider  $\pi_1(T^2) = \mathbb{Z}^2 = \langle a, b \mid aba^{-1}b^{-1} \rangle$ .

**diagram**

Cut along the non-separating curve  $a$ , we get a cylinder.

**diagram**

The  $\pi_1$  of the cylinder is  $\mathbb{Z} = \langle a, c = ba'b^{-1} \mid ac^{-1} \rangle$ . Consider the maps  $i, j : \mathbb{Z} = \langle z \rangle \rightarrow \mathbb{Z}$ , given by  $i(z) = a$  and  $j(z) = c$ . The resulting HNN extension has presentation

$$\langle a, c, t \mid ac^{-1}, tat^{-1}c^{-1} \rangle \cong \langle a, t \mid tat^{-1}a^{-1} \rangle \cong \mathbb{Z}^2$$

### Example 4.2.6

Now consider  $\Sigma_2$ , surface of genus 2. Here

$$\pi_1(\Sigma_2) = \langle a_1, b_1, a_2, b_2 \mid a_1 b_1 a_1^{-1} b_1^{-1} a_2 b_2 a_2^{-1} b_2^{-1} \rangle$$

We can cut along the non-separating curve  $a_1$ , and the stable letter is  $b_1$ . So we have an HNN extension.

### Example 4.2.7 (Baumslag-Solitar groups)

Define

$$BS(m, n) = \langle a, b \mid ba^m b^{-1} a^n \rangle$$

where  $m, n \in \mathbb{Z}$  are not zero. Notice these are HNN extensions of  $\mathbb{Z}$  over  $\mathbb{Z}$ , where we conjugate  $m\mathbb{Z}$  with  $n\mathbb{Z}$ .

**Theorem 4.2.8** (Britton's lemma for HNN extensions). The vertex group  $A$  embeds into  $A_{\mathbb{C}}^*$ .

*Proof.* The same proof as for  $A *_C B$  works. Build a graph of spaces, and apply the method of  $t$ -corridors.  $\square$

#### 4.2.1 Sample applications of HNN extensions

- there exists an infinite group with exactly two conjugacy classes,
- there exists a *non-Hopfian* finitely presented group. That is, there exists a map  $f : G \rightarrow G$  with  $\ker(f) \neq 1$ . In fact,  $G = BS(2, 3)$  works,
- there exists an infinite finitely generated simple group [Higman],
- every countable group embeds into a group with two generators [HNN],
- there exists a group with an unsolvable word problem.

What about cutting surfaces along *multi-curves*? For example,  
**diagram**

#### 4.3 Graph of groups

For example, with the above decomposition, we have the graph  
**diagram**

First, we should *carefully* define directed (or oriented) graphs.

##### Definition 4.3.1 (oriented graph)

An (*oriented*) graph  $\Gamma$  consists of a pair of sets  $V = V_{\Gamma}, E = E_{\Gamma}$ .  $V$  is the set of vertices, and  $E$  is the set of edges. We have two maps

$$\iota = \iota_{\Gamma}, \tau = \tau_{\Gamma} : E \rightarrow V$$

We call  $\iota$  the *origin map*, and  $\tau$  the *terminus map*.

The *realisation* of  $\Gamma$  is  $|\Gamma|$ , the 1-dimensional cell complex given by the above data.

Often we will abuse notation and not distinguish between  $\Gamma$  and  $|\Gamma|$ .

##### Example 4.3.2

For example, we have  
**diagram**

##### Definition 4.3.3

A *graph of groups*  $\mathcal{G}$  consists of:

- a graph  $\Gamma$ ,

- assignments

$$V \rightarrow \text{Groups}$$

$$v \mapsto G_v$$

and

$$E \rightarrow \text{Groups}$$

$$e \mapsto G_e$$

- injective homomorphisms

$$\iota_e : G_e \rightarrow G_{\iota(e)} \quad \text{and} \quad \tau_e : G_e \rightarrow G_{\tau(e)}$$

#### Example 4.3.4

Continuing with the example as above,

$$G_u = \pi_1(\Sigma_1)$$

$$G_v = \pi_1(\Sigma_2)$$

$$G_w = \pi_1(\Sigma_3)$$

The maps are given by the inclusions of  $\pi_1(S^1) \hookrightarrow \pi_1(\Sigma_1)$ .

#### Definition 4.3.5

Let  $\mathcal{G}$  be a graph of groups, with connected underlying graph  $\Gamma$ . Let  $T \subseteq \Gamma$  be a spanning tree. The *fundamental group of  $\mathcal{G}$  with respect to  $T$* ,  $\pi_1(\mathcal{G}, T)$  is defined as follows:

$$\frac{\left( \bigast_{v \in V} G_v \right) * F(E_\Gamma)}{\langle\langle \{t_e \iota_e(h) t_e^{-1} \tau_e(h)^{-1} \mid e \in E, h \in G_e\} \cup \{t_e \mid e \in T\} \rangle\rangle}$$

where  $F(E_\Gamma) = \langle t_e \mid e \in E \rangle$ .

#### Example 4.3.6

diagram

In this case, the spanning tree is  $e$ , and

$$\pi_1(\mathcal{G}, T) = G_u \ast_{G_e} G_v$$

Now if we have

diagram

Then

$$\pi_1(\mathcal{G}, T) = G_u \ast_{G_e}$$

**Theorem 4.3.7** (Seifert-van Kampen for graphs of groups). Let  $\Gamma$  be a graph. For each vertex  $v \in V$ ,  $e \in E$ , let  $X_v, X_e$  be connected cell complexes, and let  $\iota_e : X_e \rightarrow X_{\iota(e)}$ ,  $\tau_e : X_e \rightarrow X_{\tau(e)}$  be inclusions of subcomplexes, or equivalently, injective cellular maps. Moreover, assume that the maps induce injections on  $\pi_1$ .

Let

$$X = \frac{\bigsqcup_{v \in V} X_v}{\iota_e(x) \sim \tau_e(x)}$$

Setting  $G_v = \pi_1(X_v)$ ,  $G_e = \pi_1(X_e)$  and so on, defines a graph of groups  $\mathcal{G}$ . Then

$$\pi_1(X) \cong \pi_1(\mathcal{G}, T)$$

for any spanning tree  $T$ .

*Proof idea when  $\Gamma$  is finite.* Induct on the number of edges of  $\Gamma$ , and the two Seifert-van Kampen theorems we have seen.  $\square$

**Remark 4.3.8.** It follows (for example by taking the spaces to be presentation complexes), that  $\pi_1(\mathcal{G}, T)$  does not depend, up to isomorphism, on  $T$ . Thus, we will write  $\pi_1(\mathcal{G})$ .

### 4.3.1 Quotients

Suppose  $G$  acts on a tree  $T$  (or any graph). That is,  $G$  acts on  $V_T$  and on  $E_T$ , so that

$$\iota(g \cdot \tilde{e}) = g \cdot \iota(\tilde{e}) \quad \text{and} \quad \tau(g \cdot \tilde{e}) = g \cdot \tau(\tilde{e})$$

There is a natural quotient graph  $\Gamma = G \backslash T$ . In this case,

$$\begin{aligned} V_\Gamma &= G \backslash V_T \\ E_\Gamma &= G \backslash E_T \\ \iota_\Gamma(G \cdot \tilde{e}) &= G \cdot \iota(\tilde{e}) \\ \tau_\Gamma(G \cdot \tilde{e}) &= G \cdot \tau(\tilde{e}) \end{aligned}$$

Furthermore,  $\Gamma$  is naturally a graph of groups. Let  $v = G\tilde{v} \in V_\Gamma$ . Set  $G_v = \text{Stab}_G(\tilde{v})$ . This is well defined, up to conjugation in  $G$ . Similarly, if  $e = G \cdot \tilde{e}$ , then  $G_e = \text{Stab}_G(\tilde{e})$ .

Suppose  $\iota(e) = v$ . So  $G \cdot \iota(\tilde{e}) = G\tilde{v}$ . So we may choose  $\tilde{e}$ , such that  $\iota(\tilde{e}) = \tilde{v}$ . Now  $G_e = \text{Stab}_G(\tilde{e}) \subseteq \text{Stab}_G(\tilde{v}) = G_v$ . So the map is the inclusion map, which is injective.

Let  $\iota_e$  be the inclusion homomorphism  $G_e \rightarrow G_v$ .

**Remark 4.3.9.**  $\iota_e$  is well defined, up to conjugation in  $G_v$ .

Define  $\tau_e$  similarly.

#### Example 4.3.10

Let  $\mathbb{Z} = \langle t \rangle$  act on  $\mathbb{R}$ , considered as a graph

**diagram**

and  $t$  is translation by 1. The quotient is  $\mathbb{Z} \backslash \mathbb{R} = S^1$ . The associated graph of groups is

**diagram**

So  $\mathbb{Z}$  is an HNN extension of 1 by itself.

#### Example 4.3.11

Let  $D_\infty = \langle s, t \mid s^2, t^2 \rangle$  act on  $\mathbb{R}$ . The graph is the same as the above.  $s$  acts by reflection in 0, and  $t$  acts by reflection in 1. In this case,  $D_\infty \backslash \mathbb{R}$  is the graph

**diagram**

and we have an associated graph of groups

**diagram**

So  $D_\infty = (\mathbb{Z}/2\mathbb{Z}) * (\mathbb{Z}/2\mathbb{Z})$ .

## 4.4 Bass-Serre tree

The main theorem of the subject is due to Serre, although we adopt a topological approach, due to Scott and Wall.

**Theorem 4.4.1** (Serre, the fundamental theorem of Bass-Serre theory). Let  $\mathcal{G}$  be a graph of groups, with connected underlying graph  $\Gamma$ . Let  $G = \pi_1(\mathcal{G})$ . Then  $G$  acts on a tree  $T$ , such that

$$\mathcal{G} \cong G \backslash T$$

$T$  is called the *Bass-Serre tree* of  $\mathcal{G}$ .

**Remark 4.4.2.** Letting  $G$  act on a tree  $T$  is equivalent to cutting  $G$  into pieces. The theorem says that  $\mathcal{G}$  has a “universal cover”  $T$ , on which  $G = \pi_1(\mathcal{G})$  acts, and we recover  $\mathcal{G}$  as the quotient.

*Sketch proof.* Using presentation complexes, build a “graph of spaces”  $\mathcal{X}$  corresponding to  $\mathcal{G}$ .

**diagram**

For each  $\bullet$ , let  $X_\bullet$  be a presentation complex for  $G_\bullet$ . Then build  $X$  as follows

**diagram**

For each “edge space”, take a product with the interval  $[-1, 1]$ . We can use the homomorphism of groups to glue the ends of the cylinder to the appropriate vertex spaces. This is the data for  $\mathcal{X}$ , and  $X$  is the resulting space.

Let  $\tilde{X}$  be the universal cover of  $X$ . It looks something like

**diagram**

The result is a graph of spaces  $\tilde{\mathcal{X}}$ , where each vertex space  $\tilde{X}_v$  is the universal cover of some  $X_v$ , and so on. The edge space is  $[-1, 1] \times \tilde{X}_e$ , where  $\tilde{X}_e$  is the universal cover of  $X_e$ . Let  $\tilde{\Gamma}$  be the underlying graph of  $\tilde{\mathcal{X}}$ . Now note that  $\tilde{X}$  retracts onto  $\tilde{\Gamma}$ , by crushing all of the edge and vertex spaces to their base points. That is, we have maps

$$\iota: \tilde{\Gamma} \hookrightarrow \tilde{X} \quad \text{and} \quad r: \tilde{X} \rightarrow \tilde{\Gamma}$$

such that  $r \circ \iota \simeq \text{id}$ . So  $\iota_*: \pi_1(\tilde{\Gamma}) \rightarrow \pi_1(\tilde{X})$  is injective. But  $\tilde{X}$  is a universal cover, so simply connected. Hence  $\pi_1(\tilde{\Gamma})$  is simply connected. But a simply connected graph is a tree, so  $\tilde{\Gamma}$  is a tree.

Set  $T = \tilde{\Gamma}$ . □

**Proposition 4.4.3.** Let  $G$  act on  $T$  with quotient  $\mathcal{G}$ . Then

(i) there exists a  $G$ -equivariant bijection

$$V_T \leftrightarrow \bigsqcup_{v \in V_\Gamma} G/G_v$$

(ii) there exists a  $G$ -equivariant bijection

$$E_T \leftrightarrow \bigsqcup_{e \in E_\Gamma} G/G_e$$

(iii) for any  $\tilde{v} \in V_T$ , mapping to  $v \in V_\Gamma$ , the set of edges of  $T$  incident at  $\tilde{v}$  is  $G$ -equivariantly bijective with

$$\left( \bigsqcup_{\iota(e)=\tilde{v}} G_v/\iota_e(G_e) \right) \sqcup \left( \bigsqcup_{\tau(e)=\tilde{v}} G_v/\tau_e(G_e) \right)$$

*Proof.* For (i), choose orbit representatives  $\tilde{v} \in G \cdot \tilde{v} = v \in V_\Gamma$ . Orbit stabiliser says that the map  $G \rightarrow G \cdot \tilde{v}$  defines a  $G$ -equivariant bijection  $G/G_v \rightarrow G \cdot \tilde{v}$ .

For (ii), let  $G$  act on the set of edges. For (iii), let  $\text{Stab}_G(\tilde{v})$  act on the set of incident edges. □

**Remark 4.4.4.** In particular,  $T$  is determined by the algebraic data of  $\mathcal{G}$ , and so it is unique.



#### Example 4.4.5

For

**diagram**

we have Bass-Serre tree

**diagram**

#### Example 4.4.6

For

**diagram**

we have Bass-Serre tree

**diagram**

#### Example 4.4.7

Here,  $F_2 = \pi_1(\mathcal{G}) = \mathbb{Z} *_{1} \mathbb{Z}$ , and the graph of groups is

**diagram**

The Bass-Serre tree is

**diagram**

which is the tree with countably infinite valence at each vertex.

#### Example 4.4.8

On the other hand, we have another graph of groups

**diagram**

with Bass-Serre tree

**diagram**

with is the usual Cayley tree.

Lecture 15

How do stable letters  $t_e \in \pi_1(\mathcal{G})$  act on  $T$ ? Choose a maximal tree  $M$  in  $\Gamma$ . The action of  $G$  in  $T$  also depends on a choice of lift  $\tilde{M} \subseteq T$ , where we lift by the quotient map  $T \rightarrow \Gamma$ .

For example, when  $D_\infty$  acts on  $\mathbb{R}$ , the Bass-Serre tree is

**diagram**

and the lift of a maximal tree is

**diagram**

The choice of  $\tilde{M}$  determine choices of lifts of vertices  $\tilde{v} \in T$  mapping to  $v \in \Gamma$ . For each edge  $e \in E_\Gamma$  not contained in  $M$ , choose a lift  $\tilde{e}$  such that  $\iota(\tilde{e}) = \tilde{v}(e)$ . The action of  $t_e$  on  $T$  is determined by the fact that:

$$t_e \tau(\tilde{e}) = \tau(\tilde{e})$$

Most importantly, we can understand elements of  $G = \pi_1(\mathcal{G}, M)$  via reduced words.

#### Definition 4.4.9 (loop)

Fix a base vertex  $v_0 \in V_\Gamma$ . Consider an element

$$w = g_0 t_1^{\pm 1} \cdots g_{k-1} t_k^{\pm 1} g_k \in \left( \bigast_{v \in V_\Gamma} G_v \right) * F(E_\Gamma)$$

where  $g_i \in G_{v_i}$ , and  $t_i = t_{e_i}$  is the corresponding stable letter. Then  $w$  is a (based) loop if:

- (i)  $v_0 = v_k$ , which is also the base vertex we fixed at the start of the definition.
- (ii) the path  $e_1^{\pm 1} \cdots e_k^{\pm 1}$  is a loop in  $\Gamma$  based at  $v_0$ ,

(iii) "if it goes"  $t_i g_i$ , then  $v_i = \tau(e_i)$ . On the other hand, "if it goes"  $t_i^{-1} g_i$ , then  $v_i = \iota(e_i)$ .

Recall the relations in  $\pi_1(\mathcal{G})$  say that

$$t_e t_e(G_e) t_e^{-1} = \tau_e(G_e)$$

**Definition 4.4.10** (pinch)

A sub-path of a loop is called a *pinch* if it is of the form:

- (i)  $t_e t_e(h) t_e^{-1}$  for  $h \in G_e$ , or
- (ii)  $t_e^{-1} \tau_e(h) t_e$  for  $h \in G_e$ .

**Remark 4.4.11.** Loops should be thought of as defining paths in the Bass-Serre tree.

A pinch corresponds to when the path double backs on itself. A based loop without pinches is called *reduced*.

**Theorem 4.4.12** (normal form for graphs of groups). Let  $\mathcal{G}$  be a graph of groups. Then

- (i) every element  $g \in \pi_1(\mathcal{G})$  is represented by a based loop  $\gamma$ ,
- (ii) if  $\gamma$  is reduced, then  $g$  is non-trivial.

**Remark 4.4.13** (about the proof). (i) The unique path  $[\tilde{v}_0, g\tilde{v}_0]$  defines a loop representing  $g$ ,  
(ii) reduced loops correspond to locally injective paths in  $T$ , which are globally injective. Hence  $g\tilde{v}_0 \neq \tilde{v}_0$ .

## 5 Property FA

Suppose  $G$  acts on a tree. A *global fixed point*  $p \in T$  for  $G$  is a point  $x_0 \in T$  such that  $\text{Stab}(x_0) = G$ . We say  $G$  acts *trivially* on  $T$  if there is a global fixed point.

**Example 5.0.1**

Let  $\mathbb{Z}$  act on the tree  $T$

**diagram**

The central point is a global fixed point. The quotient is

**diagram**

If  $G$  acts on some tree non-trivially, then we say that  $G$  splits. Otherwise, we say that  $G$  has *property FA*. Here is a result from examples sheet 2:

**Lemma 5.0.2.** If  $\phi$  is an isometry of a tree  $T$ , then either:

- (i)  $\phi$  fixes a point, or
- (ii)  $\phi$  translates a line a positive distance.

In (i),  $\phi$  is *elliptic*, and in (ii),  $\phi$  is *hyperbolic*.

**Remark 5.0.3.** If the order of  $\phi$  is finite, then  $\phi$  is elliptic.

**Lemma 5.0.4.** Suppose  $\phi, \psi \in \text{Isom}(T)$  are both elliptic,  $\text{Fix}(\phi) \cap \text{Fix}(\psi) = \emptyset$ , then  $\phi \circ \psi$  is hyperbolic.

*Proof.* Note that  $\text{Fix}(\phi)$  and  $\text{Fix}(\psi)$  are connected subtrees of  $T$ . Let  $[x, y]$  be the unique path from  $\text{Fix}(\phi)$  to  $\text{Fix}(\psi)$ .

Let  $I = [x, y] \cup [\psi^{-1}x, \psi^{-1}y]$ . Note  $\psi^{-1}[x, y]$  is the path from  $\psi^{-1}\text{Fix}(\phi)$  to  $\text{Fix}(\psi)$ .

**diagram**

Now note that  $I \cap \phi\psi I = \{x\}$ , and so repeating this, we have a line

$$\bigcup_{n \in \mathbb{Z}} (\phi\psi)^n I$$

which is preserved by  $\phi\psi$ . In fact, the line is translated by  $2d(x, y)$ . Thus,  $\phi\psi$  is hyperbolic. □

Lecture 16

Next, we need a version of the Helly property.

**Lemma 5.0.5 (Helly property for trees).** Suppose  $T$  is a tree,  $T_1, \dots, T_n$  are subtrees. If  $T_i \cap T_j \neq \emptyset$  for every  $i, j$ . Then

$$\bigcap_{i=1}^n T_i \neq \emptyset$$

*Proof.* We induct on  $n$ .  $n = 1, 2$  are trivial. Let  $T' = T_{n-1} \cap T_n$ .

**Claim 5.0.6.**  $T' \cap T_i \neq \emptyset$  for all  $i < n - 1$ .

Once we show the claim, we are done by induction.

*Proof of claim.* Suppose not.

**diagram**

Then we get a non-trivial cycle in  $T$ . Contradiction. □

□

**Theorem 5.0.7 (criterion for FA).** Let  $G$  be a group, and suppose  $S = \{s_1, \dots, s_n\}$  is a generating set. If

- (i)  $s_i$  has finite order for all  $i$ ,
- (ii) for all  $i, j$ , either  $s_i s_j$  or  $s_j s_i$  has finite order.

Then  $G$  has property FA.

*Proof.* Suppose  $G$  acts on a tree  $T$ . Let  $T_i = \text{Fix}(s_i)$ . Since  $s_i$  has finite order,  $T_i$  is non-empty. Since at least one of  $s_i s_j, s_j s_i$  has finite order,  $T_i \cap T_j$  is non-empty for all  $i, j$ . Hence by the Helly property,

$$\bigcap_{i=1}^n T_i \neq \emptyset$$

But this is the set of global fixed points of  $G$ . □

**Example 5.0.8**

Let  $\Gamma$  be the group generated by the reflections in the sides of an equilateral triangle, say reflections  $r_\ell, r_m, r_n$ , where  $r_\bullet$  is reflection in the line  $\bullet$ .

So  $\Gamma = \langle r_\ell, r_m, r_n \rangle \leq \text{Isom}(\mathbb{R}^2)$ . Note that  $r_\ell^2, r_m^2, r_n^2 = 1$ . Composition of two reflection is a rotation of order 3. So  $\Gamma$  has property FA (but it is infinite).

On sheet 3, Dehn's examples also have property FA. The corresponding 3-manifolds are "non-Haken".

## 6 Fuchsian groups

### 6.1 Hyperbolic geometry

Let  $\mathbb{H}^2$  denote the hyperbolic plane. Recall we have the disc model and the upper half plane model, both contained in  $\mathbb{C}$ .

**diagram**

which have metrics

$$\frac{4|dz|^2}{(1-|z|^2)^2} \text{ and } \frac{|dz|^2}{|\operatorname{Im}(z)|^2}$$

respectively. The geodesics in  $\mathbb{H}^2$  (with both models) are lines, or arcs of circles which intersect the boundary orthogonally.

We will write  $\ell^+ = \{iy \mid y > 0\}$  in the upper half plane. In this case, if  $s > t$ , then

$$d(is, it) = \int_t^s \frac{dy}{y} = \log\left(\frac{s}{t}\right)$$

One more useful fact is a special case of the Gauss-Bonnet theorem.

**Proposition 6.1.1** (Gauss-Bonnet for triangles). If  $\Delta \subseteq \mathbb{H}^2$  is a geodesic triangle, with interior angles  $\alpha, \beta, \gamma$ , then

$$\operatorname{Area}(\Delta) = \pi - (\alpha + \beta + \gamma)$$

In particular,  $\alpha + \beta + \gamma < \pi$ .

**Corollary 6.1.2.** If  $P \subseteq \mathbb{H}^2$  is a geodesic  $n$ -gon, with interior angles  $\alpha_i$ , then

$$\operatorname{Area}(P) = (n-2)\pi - \sum_i \alpha_i$$

Recall that  $\operatorname{Isom}^+(\mathbb{H}^2) \cong \operatorname{PSL}(2, \mathbb{R})$ , acting on the upper half plane model by Möbius transformations.

**Definition 6.1.3** (Fuchsian group)

If  $\Gamma \leq \operatorname{PSL}(2, \mathbb{R})$  is a subgroup which acts properly discontinuously on  $\mathbb{H}^2$ , then  $\Gamma$  is called a *Fuchsian group*.

We can also think of them as the discrete subgroups of  $\operatorname{PSL}(2, \mathbb{R})$ . Some basic facts of  $\operatorname{PSL}(2, \mathbb{R})$ :

**Proposition 6.1.4.** (i) The action of  $\operatorname{PSL}(2, \mathbb{R})$  on  $\mathbb{H}$  extends continuously to  $\overline{\mathbb{H}^2}$ , which is  $\mathbb{H}^2 \cup \partial\mathbb{H}^2$ .

(ii)  $\operatorname{PSL}(2, \mathbb{R})$  is transitive on triples of distinct points on  $\mathbb{R} \cup \{\infty\}$ ,

(iii) if  $\phi \in \operatorname{PSL}(2, \mathbb{R})$  and fixes any three distinct points in  $\overline{\mathbb{H}^2}$ , then  $\phi = \operatorname{id}$ .

**Corollary 6.1.5** (classification of (orientation preserving) isometries of  $\mathbb{H}^2$ ). Suppose  $\phi \in \operatorname{Isom}^+(\mathbb{H}^2)$ . Then one of the following holds:

(i)  $\phi$  fixes a point in  $\mathbb{H}^2$ , which is unique unless  $\phi = \operatorname{id}$ .

(ii)  $\phi$  fixes a unique point in  $\partial\mathbb{H}^2$ ,

(iii)  $\phi$  preserves a unique geodesic in  $\mathbb{H}^2$ , which it translates a positive distance.

In (i),  $\phi$  is elliptic, in (ii),  $\phi$  is parabolic, and in (iii),  $\phi$  is hyperbolic.

**Remark 6.1.6.** If  $\Gamma$  is a Fuchsian group,  $\phi$  is elliptic, then  $\phi$  must have finite order.

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*Proof.* Recall that  $\phi : \mathbb{H}^2 \rightarrow \mathbb{H}^2$  extends continuously to a homeomorphism  $\phi : \overline{\mathbb{H}^2} \rightarrow \overline{\mathbb{H}^2}$ . By Brouwer's fixed point theorem,  $\text{Fix}(\phi) \subseteq \overline{\mathbb{H}^2}$  is non-empty. We saw that if  $\phi$  has at least three fixed points, then  $\phi = \text{id}$ , so we can consider it case by case.

1.  $\text{Fix}(\phi) = \{\xi\} \subseteq \overline{\mathbb{H}^2}$ .
  - (a) If  $\xi \in \mathbb{H}^2$ , then  $\phi$  is elliptic.
  - (b) If  $\xi \in \partial\mathbb{H}^2$ , then  $\phi$  is parabolic.
2.  $\text{Fix}(\phi) = \{\xi^+, \xi^-\}$ .
  - (a) If  $\xi^+ \in \mathbb{H}^2$  (without loss of generality), we get a unique geodesic from  $\xi^+$  to  $\xi^-$ .  $\phi$  preserves the geodesic  $[\xi^+, \xi^-]$ . But then there is (at least) three fixed points. So  $\phi = \text{id}$ .
  - (b) If  $\xi^+, \xi^- \in \partial\mathbb{H}^2$ , then again we have a unique geodesic from  $\xi^+$  to  $\xi^-$ , and  $\phi$  preserves it. Since  $\phi$  has two fixed points,  $\phi$  must act on the geodesic by a translation by a positive distance.

□

When  $\phi$  is hyperbolic, we call the geodesic it preserves its *axis*.

## 6.2 Examples of Fuchsian groups

Recall  $\Gamma \leq \text{Isom}^+(\mathbb{H}^2)$  is *Fuchsian* if the action of  $\Gamma$  on  $\mathbb{H}^2$  is properly discontinuous. In particular, for all  $x \in \mathbb{H}^2$ ,  $\text{Stab}_\Gamma(x)$  is finite.

Lets start with some easy examples.

### Example 6.2.1

Consider the disc model. The metric is radially symmetric, and so all rotations about 0 are isometries. In particular,

$$z \mapsto e^{2\pi i/n} z$$

is an isometry, generates  $\mathbb{Z}/n\mathbb{Z} \leq \text{Isom}(\mathbb{H}^2)$ .

In fact, any elliptic isometry is conjugate to this one.

### Example 6.2.2

Now consider the upper half plane model. Consider the map  $z \mapsto \lambda z$ , for any  $\lambda \in \mathbb{R}_{>1}$ . This is an element of  $\text{Isom}^+(\mathbb{H}^2)$ . The axis is  $\ell^+$ . This gives  $\mathbb{Z} \cong \langle \phi \rangle \leq \text{Isom}^+(\mathbb{H}^2)$ .

In fact, any elliptic isometry is conjugate to this one.

### Example 6.2.3

Define  $\psi(z) = z + 1$ . This is an isometry of  $\mathbb{H}^2$ . This gives a parabolic isometry, where the fixed point is  $\infty$ . This gives  $\mathbb{Z} \cong \langle \psi \rangle \leq \text{Isom}^+(\mathbb{H}^2)$ .

In fact, any parabolic isometry is conjugate to this one.

These examples are called *elementary*. There's one more elementary example

**Example 6.2.4**

Consider upper half plane. Let  $s_1$  be rotation by  $\pi$  about  $i$ , and  $s_2$  be rotation by  $\pi$  about  $2i$ . Then we get

$$\langle s_1, s_2 \rangle \cong D_\infty$$

**Example 6.2.5**

Let  $\Sigma_g$  be a closed orientable surface of genus  $g$ , with  $g \geq 2$ . In this case,  $\tilde{\Sigma}_g$  is isometric to  $\mathbb{H}^2$ . Then  $\pi_1(\tilde{\Sigma}_g)$  is Fuchsian.

**Definition 6.2.6**

Let  $p, q, r \in \mathbb{Z}_{\geq 1}$ . The  $(p, q, r)$ -triangle group is defined by the presentation

$$\Gamma(p, q, r) = \langle a, b, c \mid a^p, b^q, c^r, abc \rangle = \langle a, b \mid a^p, b^q, (ab)^{-r} \rangle$$

From our criterion for FA,  $\Gamma(p, q, r)$  has property FA. Thus, it does not split, and so we can't use the techniques we have developed so far.

Is  $\Gamma(p, q, r)$  non-trivial? infinite? and so on?

**Example 6.2.7**

$$\Gamma(2, 3, 1) = 1.$$

However, many interesting examples arise from Poincaré's polygon theorem.

**Theorem 6.2.8 (Poincaré's polygon theorem).** If  $p^{-1} + q^{-1} + r^{-1} < 1$ , then  $\Gamma(p, q, r)$  is an infinite Fuchsian group.

**Remark 6.2.9.** The converse is morally true. That is, the other cases are all finite or non-Fuchsian.

*Proof.* We start with a geodesic triangle  $\Delta \subseteq \mathbb{H}^2$  with interior angles  $\pi/p, \pi/q, \pi/r$ .

**diagram**

Let  $\alpha$  denote rotation about  $u$ , with angle  $2\pi/p$ ;  $\beta$  about  $v$ , with angle  $2\pi/q$  and  $\gamma$  about  $w$ , with angle  $2\pi/r$ . Note all of these are *anticlockwise*.

Let  $G = \langle \alpha, \beta, \gamma \rangle \leq \text{Isom}^+(\mathbb{H}^2)$ . Clearly  $\alpha^p = \beta^q = \gamma^r = 1$ . Next, we show  $\alpha\beta\gamma = 1$ .

**diagram**

We see that  $\beta(w) = \alpha^{-1}(w) = w'$ . Hence  $\alpha\beta\gamma(w) = \alpha\beta(w) = w$ . Similarly,  $\gamma(u) = \beta^{-1}(u)$ , and so  $\alpha\beta\gamma(u) = \alpha\beta\beta^{-1}(u) = \alpha(u) = u$ . Hence by the classification of orientation preserving isometries of  $\mathbb{H}^2$ , it fixes two distinct points in  $\mathbb{H}^2$  and so it is trivial.

Hence we have a surjective homomorphism  $f : \Gamma(p, q, r) \twoheadrightarrow G$ , sending  $a$  to  $\alpha$  and so on. We will show that  $f$  is an isomorphism. Let  $r_\ell$  denote reflection in the line  $\ell$ , and  $Q = \Delta \cup r_\ell(\Delta)$ .

**diagram**

Define

$$\tilde{Q} = \frac{\Gamma \times Q}{\sim}$$

where  $\sim$  is the relation given by  $(gc, x) \sim (g, c(x))$  for  $x \in m$ , and  $(gb, y) \sim (g, b(y))$  for  $y \in n'$ . Next, define the *development map*

$$F : \tilde{Q} \rightarrow \mathbb{H}^2$$
$$F(g, x) = f(g)x$$

Note  $\tilde{Q}$  is a complete geodesic metric space, via the path metric, and  $F$  is a local isometry, sending (sufficiently small) open balls in  $\tilde{Q}$  isometrically to small open balls in  $\mathbb{H}^2$ . In fact,  $F$  is an isometric embedding.

Indeed, if  $x, y \in \tilde{Q}$ , and  $[x, y]$  is a geodesic, then  $F([x, y])$  is a local geodesic<sup>4</sup> from  $F(x)$  to  $F(y)$ . But local geodesics in  $\mathbb{H}^2$  are global geodesics. So  $d(F(x), F(y)) = d(x, y)$ . Next, we prove that  $F$  is surjective.  $\text{im}(F)$  is open, since it sends small open balls to small open balls. On the other hand,  $\tilde{Q}$  is complete, and hence so is  $\text{im}(F)$ . But complete subsets of a metric space are closed, and so  $\text{im}(F)$  is closed. Thus, by connectedness,  $F$  is an isometry.

So  $\tilde{Q}$  is isometric to  $\mathbb{H}^2$ , and the action of  $\Gamma$  on  $\tilde{Q}$  is properly discontinuous by construction, so  $\Gamma$  is Fuchsian. Since  $Q$  is compact, and  $F$  is surjective,  $\Gamma$  must be infinite.  $\square$

**Remark 6.2.10.** It follows from the construction of  $\tilde{Q}$  that only  $\Gamma \cdot u, \Gamma \cdot v, \Gamma \cdot w$  has non-trivial stabiliser. Moreover,  $\text{Stab}(u) = \langle a \rangle$ ,  $\text{Stab}(v) = \langle b \rangle$  and  $\text{Stab}(w) = \langle c \rangle$ .  
 $Q$  is called a *fundamental domain* for the action of  $\Gamma$  on  $\mathbb{H}^2$ .

### 6.3 Centres and Dehn's examples

**Lemma 6.3.1.** Suppose  $1/p + 1/q + 1/r < 1$ . If  $g \in \Gamma(p, q, r)$ , and the order of  $g$  is finite, then  $g$  is in the conjugate of one of  $\langle a \rangle, \langle b \rangle, \langle c \rangle$ .

*Proof.* We saw that finite order elements of  $\mathbb{H}^2$  fix a point in  $\mathbb{H}^2$ . If  $g \neq 1$ , then the fixed point  $z$  must be in the orbit of one of  $u, v, w$ . Say (without loss of generality)  $z = hu$ . So  $ghu = hu$ , and so  $h^{-1}gh \in \text{Stab}(u) = \langle a \rangle$ .  $\square$

**Proposition 6.3.2.** If  $\Gamma$  is a non-elementary Fuchsian group, then  $Z(\Gamma) = 1$ .

*Proof.* Suppose  $\gamma \in Z(\Gamma) \setminus 1$ . Consider  $\text{Fix}(\gamma) \subseteq \overline{\mathbb{H}^2}$ . Note that for  $g \in \Gamma, x \in \text{Fix}(\gamma), gx = g\gamma x = \gamma gx$ , and so  $gx \in \text{Fix}(\gamma)$ .

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Now we need to do some case analysis:

- if  $\gamma$  is elliptic, then  $\text{Fix}(\gamma) = \{x\} \subseteq \mathbb{H}^2$ . Without loss of generality,  $x = 0$  in the disc model  $\mathbb{D} \subseteq \mathbb{C}$ . From this,

$$\text{Stab}_{\text{Isom}^+(\mathbb{H}^2)}(0) = \{z \mapsto e^{i\theta}z\}$$

By proper discontinuity,  $\Gamma$  is a subgroup of the above, and so it is a finite cyclic group.

- if  $\gamma$  is parabolic, then without loss of generality  $\text{Fix}(\gamma) = \{\infty\}$  in the upper half plane model. A direct computation shows that

$$\text{Stab}_{\text{Isom}^+(\mathbb{H}^2)}(\infty) = \{z \mapsto az + b\}$$

For  $\gamma$  to be the only fixed point, necessarily  $a = 1$ , and so  $\gamma(z) = z + c$  for some  $c \in \mathbb{R}$  non-zero. But  $g$  commutes with  $\gamma$  only if  $a = 1$ , and so

$$\Gamma \leq \{z \mapsto z + b \mid b \in \mathbb{R}\}$$

Any discrete subgroup of  $\mathbb{R}$  is isomorphic to  $\mathbb{Z}$ .

- if  $\gamma$  is hyperbolic, without loss of generality  $\text{Fix}(\gamma) = \{0, \infty\}$  in the upper half plane model. So  $\Gamma$  acts by isometries  $\text{Axis}(\gamma) = \ell^+$ , and so  $\Gamma \cong \mathbb{Z}$  or  $D_\infty$  by proper discontinuity.

$\square$

We can now analyse Dehn's examples. Recall

$$G_n = \langle x, y, z \mid x^2 = y^3 = z, (xy)^{6n+5} = z^{5n+4} \rangle$$

<sup>4</sup>i.e. locally it is a geodesic.

for  $n \geq 0$ . Note that  $z \in Z(G_n)$ . Let  $\Gamma_n = \frac{G_n}{\langle z \rangle} = \langle x, y \mid x^2, y^3, (xy)^{6n+5} \rangle = \Gamma(2, 3, 6n + 5)$ . Therefore,  $\Gamma_n$  is a Fuchsian triangle group if  $n \geq 1$ , and so  $Z(\Gamma_n) = 1$ . Hence  $Z(G_n) = \langle z \rangle$ . Therefore, if  $\phi : G_m \rightarrow G_n$  is an isomorphism, then  $\phi(Z(G_m)) = Z(G_n)$ , and so

$$\Gamma_m = \frac{G_m}{Z(G_m)} \cong \frac{G_n}{Z(G_n)} = \Gamma_n$$

But the order of torsion elements in  $\Gamma_n$  are the divisors of  $2, 3, 6n + 5$ . Hence if  $\Gamma_m \cong \Gamma_n$ , we must have that  $m = n$ . We have proven:

**Theorem 6.3.3 (Dehn).** There are infinitely many non-homeomorphic 3-dimensional homology spheres.

## 7 Hyperbolic groups

The goal is to define a notion of coarse hyperbolic geometry. This is something which looks like hyperbolic geometry that is invariant under quasi-isometry.

### 7.1 Hyperbolic metric spaces

Let  $X$  be a geodesic metric space. A *geodesic triangle* is a triple of geodesics

$$\Delta = [x, y] \cup [y, z] \cup [z, x]$$

For  $A \subseteq X$ , let

$$N_\delta(A) = \{y \in X \mid \exists x \in A, d(x, y) \leq \delta\} = \bigcup_{x \in A} B_\delta(x)$$

be its (closed)  $\delta$ -neighbourhood.

#### Definition 7.1.1

Let  $\delta \geq 0$ . A geodesic triangle  $\Delta$  is  $\delta$ -*slim* if the  $\delta$ -neighbourhood of any two sides cover the third side. So

$$[x, y] \subseteq N_\delta([x, z] \cup [y, z])$$

and so on.

#### Definition 7.1.2

$X$  is called  $\delta$ -*hyperbolic* if every geodesic triangle  $\Delta \subseteq X$  is  $\delta$ -slim. We also say  $X$  is *Gromov-hyperbolic*, or *hyperbolic*.

#### Example 7.1.3

If  $\text{diam}(X) = \delta$ , then  $X$  is  $\delta$ -hyperbolic.

#### Example 7.1.4

If  $X$  is a tree, then  $X$  is 0-hyperbolic.

#### Example 7.1.5 (non-example)

Euclidean space is not Gromov-hyperbolic.



### Example 7.1.6

$\mathbb{H}^2$  is hyperbolic. To see this,  $\Delta$  is  $\delta$ -slim, where  $\delta$  is the radius of the largest semicircle which we can inscribe in  $\Delta$ .

Let  $A(r)$  be the area of a circle of radius  $r$  in  $\mathbb{H}^2$ . But now

$$\frac{1}{2}A(\delta) \leq \text{Area}(\Delta) < \pi$$

Since  $A(\delta) \rightarrow \infty$  as  $\delta \rightarrow \infty$ , we see that  $\mathbb{H}^2$  is  $\delta$ -hyperbolic for sufficiently large  $\delta$ .

## 7.2 The Mostow–Morse lemma

The goal is to prove that Gromov-hyperbolicity is a quasi-isometry invariant.

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### Definition 7.2.1 (quasigeodesic)

A path  $\gamma : [a, b] \rightarrow X$  is a  $(\lambda, \varepsilon)$ -quasigeodesic if  $\gamma$  is a  $(\lambda, \varepsilon)$ -quasi isometric embedding. That is,

$$\frac{1}{\lambda}|s - t| - \varepsilon \leq d(\gamma(s), \gamma(t)) \leq \lambda|s - t| + \varepsilon$$

### Definition 7.2.2 (Hausdorff distance)

Let  $A, B \subseteq X$  be nonempty subsets of a metric space  $X$ . Let

$$N_c(A) = \bigcup_{a \in A} B_c(a) = \{x \in X \mid \exists a \in A, d(x, a) \leq c\}$$

The Hausdorff distance is

$$d_{\text{Haus}}(A, B) = \inf\{c > 0 \mid A \subseteq N_c(B) \text{ and } B \subseteq N_c(A)\}$$

### Definition 7.2.3 (length)

Let  $\gamma : [a, b] \rightarrow X$  be a path. The length of  $\gamma$  is

$$\ell(\gamma) = \sup_{\mathcal{D}} \sum_{i=1}^n d(\gamma(t_{i-1}), \gamma(t_i))$$

where  $\mathcal{D}$  ranges over all dissections

$$a = t_0 < t_1 < \dots < t_n = b$$

**Lemma 7.2.4.** For any  $\lambda \geq 1, \varepsilon \geq 0$ , there are  $\lambda' \geq 1, \varepsilon' \geq 1$ , such that for any geodesic metric space  $X$ , and any  $(\lambda, \varepsilon)$ -quasigeodesic  $\alpha : [a, b] \rightarrow X$ , there exists a continuous  $(\lambda', \varepsilon')$ -quasigeodesic  $\alpha' : [a, b] \rightarrow X$ , such that

- (i)  $\alpha'(a) = \alpha(a), \alpha'(b) = \alpha(b)$ ,
- (ii)  $d_{\text{Haus}}(\text{im}(\alpha), \text{im}(\alpha')) \leq \lambda + \varepsilon$ ,
- (iii)  $\ell(\alpha'|_{[s,t]}) \leq \lambda' d(\alpha'(s), \alpha'(t)) + \varepsilon$ , for all  $a \leq s \leq t \leq b$ .

*Proof.* Let  $I = \{a, b\} \cup (a, b) \cap \mathbb{Z}$ . Define  $\alpha'$  by setting  $\alpha'(t) = \alpha(t)$  for all  $t \in I$ , and then interpolating using a (reparametrised) geodesic between points of  $I$ .

Continuity is clear, and so is (i). (ii) is easy. The fact that  $\alpha'$  is a quasi-geodesic and (iii) follow from easy, but tedious calculations.  $\square$

**Lemma 7.2.5.** Let  $X$  be a  $\delta$ -hyperbolic metric space. Suppose  $\beta : [a, b] \rightarrow X$  is a geodesic,  $\alpha : [a, b] \rightarrow X$  is a continuous path, with  $\alpha(a) = \beta(a)$ ,  $\alpha(b) = \beta(b)$ . Then

$$d(\beta(t), \text{im}(\alpha)) \leq \delta \lfloor \log_2(\ell(\alpha)) \rfloor + 1$$

*Proof.* Let

$$N = \lfloor \log_2(\ell(\alpha)) \rfloor$$

The proof proceeds by induction on  $N$ . If  $N \leq 0$  then  $\ell(\alpha) \leq 1$  and we are done.

Consider the geodesic triangle with vertices

$$\alpha(a), \alpha(b), \alpha\left(\frac{a+b}{2}\right)$$

Since  $X$  is  $\delta$ -hyperbolic,  $\beta(t)$  has distance at most  $\delta$  from one of the other edges of the triangle. Call the corresponding half of  $\alpha$   $\alpha'$ , and the geodesic  $\beta'$ . Now

$$\ell(\alpha') = \frac{\ell(\alpha)}{2} \implies \lfloor \log_2(\ell(\alpha')) \rfloor = N - 1$$

and we have a point  $\beta'(t')$  such that  $d(\beta(t), \beta'(t')) \leq \delta$ . By inductive hypothesis,

$$\begin{aligned} d(\beta(t), \text{im}(\alpha)) &\leq d(\beta(t), \text{im}(\alpha')) \\ &\leq d(\beta(t), \beta'(t')) + d(\beta'(t'), \text{im}(\alpha')) \\ &\leq \delta + \delta(N - 1) + 1 \\ &= \delta N + 1 \end{aligned}$$

as required.  $\square$

We are now ready for the main result of this section:

**Theorem 7.2.6 (Mostow–Morse lemma).** Let  $X$  be a (geodesic)  $\delta$ -hyperbolic space. Let  $\alpha : [a', b'] \rightarrow X$  be a  $(\lambda, \varepsilon)$ -quasi-geodesic, and  $\beta : [a, b] \rightarrow X$  a geodesic, with

$$\beta(a) = \alpha(a') \quad \text{and} \quad \beta(b) = \alpha(b')$$

Then there exists a constant  $C = C(\lambda, \varepsilon, \delta)$ , such that

$$d_{\text{Haus}}(\text{im}(\alpha), \text{im}(\beta)) \leq C$$

*Proof.* We may replace  $\alpha$  by the result of lemma 7.2.4. In particular,  $\alpha$  is continuous, and

$$\ell(\alpha|_{[s,t]}) \leq \lambda|s - t| + \varepsilon$$

for  $a \leq s \leq t \leq b$ . We need to bound

$$C_1 = \inf\{C \mid \text{im}(\beta) \subseteq N_C(\text{im}(\alpha))\} \quad \text{and} \quad C_2 = \inf\{C \mid \text{im}(\alpha) \subseteq N_C(\text{im}(\beta))\}$$

We'll first bound  $C_1$ . For this, we'll need to bound

$$d(\beta(t), \text{im}(\alpha)) = \inf_{t' \in [a', b']} d(\beta(t), \alpha(t'))$$

Let  $C = \sup_{t \in [a, b]} d(\beta(t), \text{im}(\alpha))$ . Since  $[a', b']$  is compact, it is realised at some  $\beta(t)$ . Let

$$r = \max\{a, t - 2C\} \quad \text{and} \quad s = \min\{b, t + 2C\}$$

Define the path  $\gamma$  by going from  $\beta(r)$  to the closest point  $\alpha(r')$  on  $\alpha$ , following  $\alpha$  until the closest point  $\alpha(s')$  to  $\beta(s)$ , and then going to  $\beta(s)$ . Then

$$\begin{aligned} \ell(\gamma) &\leq 2C + \ell(\alpha|_{[r',s']}) \\ &\leq 2C + \lambda d(\alpha(r'), \alpha(s')) + \varepsilon \\ &\leq 6\lambda C + 2C + \varepsilon \end{aligned}$$

On the other hand, the lemma above shows that

$$C \leq \delta \lceil \log_2(\ell(\gamma)) \rceil + 1$$

Thus,

$$C \leq \delta \lceil \log_2(6\lambda C + 2C + \varepsilon) \rceil + 1$$

Since the left hand side is linear, and the right hand side is logarithmic in  $C$ , there is an upper bound on  $C$ , which only depends on  $\delta, \lambda$  and  $\varepsilon$ .

Next, we need to bound  $C_2$ , i.e. we need to bound  $d(\alpha(t), \text{im}(\beta))$ . Let  $[s', r'] \subseteq [a', b']$  be maximal such that  $\alpha|_{[s',r']}$  lies outside of  $N_C(\text{im}(\beta))$ . Here,  $C$  is the constant from above. By continuity, there exists  $t \in [a, b]$ , and  $s \in [a', s'], r \in [r', b']$  such that

$$d(\beta(t), \alpha(s)), d(\beta(t), \alpha(r)) \leq C$$

as the interval is connected. Thus,  $d(\alpha(r), \alpha(s)) \leq 2C$ . Hence

$$\ell(\alpha|_{[s',r]}) \leq \ell(\alpha|_{[s,r]}) \leq \lambda d(\alpha(s), \alpha(r)) + \varepsilon \leq 2\lambda C + \varepsilon$$

Hence every point on  $\alpha$  is at most  $2C\lambda + C + \varepsilon$  from  $\text{im}(\beta)$ . □

**Corollary 7.2.7.** Let  $X, Y$  be geodesic metric spaces. If  $X$  is  $\delta$ -hyperbolic, and  $X$  is quasi-isometric to  $Y$ , then  $Y$  is  $\delta'$ -hyperbolic for some  $\delta'$ .

*Proof.* Let  $f : X \rightarrow Y, g : Y \rightarrow X$  be  $(\lambda, \varepsilon)$ -quasi-isometries, such that

$$d(f(g(y)), y) \leq \varepsilon \quad \text{and} \quad d(g(f(x)), x) \leq \varepsilon$$

Consider a geodesic triangle

$$[y_1, y_2] \cup [y_2, y_3] \cup [y_3, y_1] \subseteq Y$$

Consider  $y \in [y_1, y_2]$ . By the Mostow-Morse lemma, there exists  $x \in [g(y_1), g(y_2)]$  such that

$$d(x, g(y)) \leq C$$

Since  $X$  is  $\delta$ -hyperbolic, there exists (without loss of generality)  $x' \in [g(y_2), g(y_3)]$  such that  $d(x, x') \leq \delta$ . By the Mostow-Morse lemma again, there exists  $y' \in [y_2, y_3]$  such that

$$d(x', g(y')) \leq C$$

In summary,

$$d(g(y), g(y')) \leq 2C + \delta$$

and so

$$d(f(g(y)), f(g(y'))) \leq \lambda(2C + \delta) + \varepsilon$$

and thus

$$d(y, y') \leq \lambda(2C + \delta) + 3\varepsilon$$

The right hand side is a function of  $\delta, \lambda$  and  $\varepsilon$  only. □

**Example 7.2.8**

Let  $G = \pi_1(\Sigma_2)$ . This has presentation

$$\langle a_1, b_1, a_2, b_2 \mid [a_1, b_1][a_2, b_2] \rangle$$

By the Schwarz-Milnor lemma,  $\text{Cay}(G) \stackrel{\text{qi}}{\sim} \mathbb{H}^2$ , which is Gromov hyperbolic, and so  $\text{Cay}(G)$  is Gromov

hyperbolic.

### 7.3 Hyperbolic groups

Using the previous corollary, the following properties of a group  $G$  are all equivalent.

1.  $G$  has a finite generating set  $S$ , such that  $\text{Cay}(G, S)$  is Gromov hyperbolic.
2.  $G$  is finitely generated, and for any finite generating set  $S$ ,  $\text{Cay}(G, S)$  is Gromov hyperbolic.
3.  $G$  acts properly discontinuously and cocompactly by isometries on some proper geodesic Gromov hyperbolic metric space  $X$ .
4. Every proper geodesic metric space  $X$  on which  $G$  acts properly discontinuously and compactly is Gromov hyperbolic.

#### Definition 7.3.1

$G$  is (word) hyperbolic if any of the above hold.

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#### Example 7.3.2

If  $G$  is finite, then  $\text{Cay}_S(G)$  is bounded, and so hyperbolic.

#### Example 7.3.3

If  $G = F_m$ , then the standard generating set gives  $\text{Cay}_S(G)$  which is a tree. Recall that trees are 0-hyperbolic.

#### Example 7.3.4

If  $\mathbb{Z}^2$  was hyperbolic, then  $\mathbb{R}^2$  would be Gromov hyperbolic, which it is not.

#### Example 7.3.5

For  $g \geq 2$ , let  $\Sigma_g$  be the closed oriented surface of genus  $g$ . Let  $G = \pi_1(\Sigma_g)$ . Then  $G$  acts on  $\mathbb{H}^2$  properly discontinuously, cocompactly by isometries. Thus,  $G$  is hyperbolic.

**Remark 7.3.6.** Sometimes authors say a group acts on a space *geometrically* if it acts properly discontinuously and cocompactly by isometries.

#### Example 7.3.7

$\pi_1(M)$  is hyperbolic if  $M$  is any closed Riemannian manifold with negative sectional curvature.

#### Example 7.3.8

$\text{SL}_2(\mathbb{Z}) \cong \mathbb{Z}/4 *_{\mathbb{Z}/2} \mathbb{Z}/6$ . The Bass-Serre tree is an infinite 3-valent tree  $T$ , and  $\text{SL}_2(\mathbb{Z})$  acts geometrically on  $T$ , so  $\text{SL}_2(\mathbb{Z})$  is hyperbolic.

**Example 7.3.9** (random finitely presented groups)

If

$$G = \langle a_1, \dots, a_m \mid r_1, \dots, r_n \rangle$$

is “chosen at random”, then  $G$  is infinite and hyperbolic.

## 7.4 Local geodesics

Our goal is to solve the word problem in hyperbolic groups. The key ingredient is a “local to global” statement, about geodesics in hyperbolic metric spaces.

**Definition 7.4.1**

A path  $\gamma$  in a metric space  $X$  is a  $c$ -local geodesic if  $d(\gamma(s), \gamma(t)) = |s - t|$  whenever  $|s - t| \leq c$ .

**Lemma 7.4.2.** Let  $X$  be a  $\delta$ -hyperbolic metric space. If  $\alpha : [a, b] \rightarrow X$  is a  $10\delta$ -local geodesic, then

$$\text{im}(\alpha) \subseteq N_{2\delta}([\alpha(a), \alpha(b)])$$

for any geodesic  $[\alpha(a), \alpha(b)]$ .

*Proof.* Let

$$C = \sup_{t \in [a, b]} d(\alpha(t), [\alpha(a), \alpha(b)])$$

Say it is realised at  $t_0 \in [a, b]$ . Let  $r = \max\{a, t_0 - 5\delta\}$ ,  $s = \min\{b, t_0 + 5\delta\}$ .

Let  $x, y, z \in [\alpha(a), \alpha(b)]$  be the closest points to  $\alpha(r), \alpha(s), \alpha(t_0)$  respectively. Then  $d(x, \alpha(r)), d(y, \alpha(s)) \leq C$ , and  $d(\alpha(t_0), z) = C$ . Consider the quadrilateral with vertices  $\alpha(r), \alpha(s), x, y$ .

Note we can subdivide it into two triangles, and so any point  $p$  on  $\alpha([r, s])$  is within distance  $2\delta$  of one of the other three sides. Apply this to  $p = \alpha(t_0)$ . Suppose there is a point  $w \in [\alpha(r), x]$ , such that  $d(\alpha(t_0), w) \leq 2\delta$ . But then

$$d(\alpha(r), w) \geq d(\alpha(r), \alpha(t_0)) - d(\alpha(t_0), w) \geq 5\delta - 2\delta = 3\delta$$

In this case,

$$\begin{aligned} d(\alpha(t_0), x) &\leq 2\delta + d(w, x) \\ &< 3\delta + d(w, x) \\ &\leq d(\alpha(r), x) \\ &\leq C \end{aligned}$$

But this contradicts  $d(\alpha(t_0), [\alpha(a), \alpha(b)]) = C$ . Therefore,  $\alpha(t_0)$  is not within  $2\delta$  of  $[\alpha(r), x]$ . By symmetry, it is not within  $2\delta$  of  $[\alpha(s), y]$ . Thus, it is within  $2\delta$  of  $[x, y]$ . With this,  $C \leq 2\delta$ .  $\square$

**Remark 7.4.3.** This is a coarse analogue of the fact that local geodesics in trees are global geodesics.

A consequence of this is key to solving the word problem in hyperbolic groups.

**Lemma 7.4.4** (shortcuts in hyperbolic spaces). Let  $X$  be  $\delta$ -hyperbolic. Any loop  $\alpha : [a, b] \rightarrow X$  such that  $\ell(\alpha) > 4\delta$  contains  $a \leq s < t \leq b$ , such that

$$d(\alpha(s), \alpha(t)) < \ell(\alpha|_{[s, t]}) \leq 10\delta \tag{*}$$

*Proof.* Unless (\*) is satisfied, then  $\alpha$  is a  $10\delta$ -local geodesic. By the previous lemma,

$$\text{im}(\alpha) \subseteq N_{2\delta}([\alpha(a), \alpha(b)]) = B_{2\delta}(\alpha(a))$$

Since  $\alpha$  is a  $10\delta$  local geodesic, and  $\text{diam}(B_{2\delta}(\alpha(a))) \leq 4\delta$ , it follows that  $\ell(\alpha) \leq 4\delta$ .  $\square$

## 7.5 Dehn's algorithm

We will solve the word problem for all hyperbolic groups, using an algorithm that Dehn exhibited for hyperbolic surface groups, in 1912.

**Theorem 7.5.1 (relations in hyperbolic groups).** Let  $G$  be a hyperbolic group, and  $S$  a finite generating set. For every non-trivial edge loop  $\alpha$  in  $\text{Cay}_S(G)$ , there is an edge loop  $\gamma$  of length at most  $20\delta$ , such that

$$\ell(\alpha\beta\gamma\beta^{-1}) < \ell(\alpha)$$

for some choice of path  $\beta$  from 1 to a point on  $\gamma$ .

*Proof.* If  $\ell(\alpha) \leq 20\delta$ , then we can take  $\gamma = \alpha^{-1}$ . Then  $\alpha\gamma$  is homotopic to the constant loop, and so  $\ell(\alpha\gamma) = 0 < \ell(\alpha)$ .

Otherwise, from the previous lemma, let

$$\begin{aligned}\beta &= (\alpha|_{[t,b]})^{-1} \\ \gamma &= (\alpha|_{[s,t]})^{-1} \cdot [\alpha(s), \alpha(t)]\end{aligned}$$

Then  $\ell(\gamma) < 20\delta$ , and  $\alpha\beta\gamma\beta^{-1}$  is homotopic to

$$\alpha|_{[a,s]} \cdot [\alpha(s), \alpha(t)] \cdot \alpha|_{[t,b]}$$

which has length less than  $\ell(\alpha)$ . □

**Corollary 7.5.2 (Gromov).** Hyperbolic groups are finitely presented.

*Proof.* Let  $S$  be a finite generating set for a hyperbolic group  $G$ . Consider  $\text{Cay}_S(G)$ . This is  $\delta$ -hyperbolic for some  $\delta$ . Let

$$R = \{\text{edge loops in } \text{Cay}_S(G) \text{ based at } 1 \text{ with length at most } 20\delta\}$$

This is a finite set, with size at most  $(2|S|)^{20\delta}$  say. We claim that  $\langle S \mid R \rangle$  is a presentation for  $G$ . To see this, by the theorem, and induction on length, every relation is a product of conjugates of elements of  $R$ . □

**Corollary 7.5.3 (Dehn, Gromov).** Let  $G$  be a hyperbolic group. The word problem in  $G$  is solvable.

*Proof.* Consider the presentation  $G = \langle S \mid R \rangle$ , constructed in the previous corollary. Let  $w \in F(S)$ . The theorem tells us that if  $w$  represents the trivial element in  $G$ , then there is a cyclic conjugate  $w'$  of  $w$ , and  $r \in R$ , such that  $\ell(w'r) < \ell(w)$ . To see this, let  $\alpha = w$  and let  $w' = \beta^{-1}\alpha\beta$ ,  $r = \gamma$ . Since  $w$  has finitely many cyclic conjugates, and  $R$  is finite, we have finitely many combinations of  $(w', r)$  to check. If we find one such combination, then we replace  $w$  with  $w'r$  and repeat.

On the other hand, if we cannot find  $(w', r)$ , then it must be the case that  $w$  did not represent a loop.

Since  $\ell(w'r) < \ell(w)$ , this process has to terminate, either showing that  $w$  is not a loop, or when  $w'r$  is the trivial element. □

**Remark 7.5.4.** A presentation in the corollary is called a *Dehn presentation*. That is, a presentation  $\langle S \mid R \rangle$ , such that for any non-trivial word  $w$ , with  $w = 1$  in  $G$ , there exists  $h \in G, r^{\pm 1} \in R$  such that

$$\ell(whrh^{-1}) < \ell(w)$$

It turns out a group  $G$  has a Dehn presentation if and only if  $G$  is hyperbolic.

## 8 \*Outlook, further topics, open problems\*

### Random groups

Fix a generating set  $S = \{a_1, \dots, a_m\}$ . Fix  $n \geq 1$ , choose a subset

$$\{r_1, \dots, r_n\} \subseteq F(S)$$

uniformly at random such that  $\ell(r_i) = \ell$  for all  $i$ . Consider the resulting group

$$G = \langle a_1, \dots, a_m \mid r_1, \dots, r_n \rangle$$

For any property  $P$  of groups, we can look at

$$\mathbb{P}(G \in P)$$

which depends on  $m, n, \ell$ . We say that a *random group has property  $P$*  if

$$\mathbb{P}(G \in P) \rightarrow 1$$

as  $\ell \rightarrow \infty$ .

**Theorem 8.0.1** (Gromov, Ol'shanski). For  $m \geq 2$ , a random group is infinite and hyperbolic.

### Subgroups

One of the most important open problems concern subgroups of hyperbolic groups.

**Conjecture 8.0.2** (surface subgroup). Unless  $G$  is virtually<sup>a</sup> free, if  $G$  is word hyperbolic, then there exists a surface  $\Sigma_g$  of genus  $g \geq 2$ , such that  $\pi_1(\Sigma_g) \leq G$ .

<sup>a</sup>has a finite index subgroup which is

This has been proven in a special case by Kahn-Markovich, when  $G = \pi_1(M^3)$  for  $M$  a compact 3-manifold.

### Representations and residual finiteness

A group  $G$  is *linear* if it is a subgroup of  $GL(n, \mathbb{C})$  for some  $n$ . That is, it has a faithful representation over  $\mathbb{C}$ .

**Theorem 8.0.3** (M. Kapovich). There is a hyperbolic group which is not linear.

But a weaker property is also important.

#### Definition 8.0.4

A group  $G$  is *residually finite* if for any  $g \in G$  non-trivial, there exists a homomorphism  $f : G \rightarrow Q$  finite, such that  $f(g) \neq 1$ .

All finitely generated linear groups are residually finite. Then it is an open question whether every hyperbolic group is residually finite. Recent progress includes

**Theorem 8.0.5** (Olivier-Wise, Agol). Random groups are residually finite. In fact, they are linear.

### Boundaries

Recall that  $\partial\mathbb{H}^2 = S^1$ .

### Definition 8.0.6

Let  $X$  be a proper hyperbolic metric space. A *geodesic ray* is an isometric embedding  $\gamma : [0, \infty) \rightarrow X$ . We say that  $\gamma_1 \sim \gamma_2$  if there exists  $C \geq 0$  such that

$$d(\gamma_1(t), \gamma_2(t)) \leq C$$

for all  $t$ .

The *Gromov boundary* of  $X$  is defined to be

$$\partial_\infty X = \frac{\{\text{geodesic rays in } X\}}{\sim}$$

**Remark 8.0.7.**  $\partial_\infty X$  admits a natural boundary, so that  $\partial_\infty X$  and  $X \cup \partial_\infty X$  are compact.

A quasi-isometry  $f : X \rightarrow Y$  induces a homeomorphism  $\partial_\infty X \rightarrow \partial_\infty Y$ . Thus, for a hyperbolic group  $G$ , we may define

$$\partial_\infty G = \partial_\infty \text{Cay}_S(G)$$

### Example 8.0.8

If  $G$  is a cocompact Fuchsian group (e.g.  $\pi_1(\Sigma_g)$  and triangle groups), then  $G$  is quasi-isometric to  $\mathbb{H}^2$ , and so  $\partial_\infty G = \partial_\infty \mathbb{H}^2 = S^1$ .

**Theorem 8.0.9.** If  $G$  is hyperbolic and  $\partial_\infty G \cong S^1$ , then  $G$  is virtually Fuchsian.

**Conjecture 8.0.10** (Cannon). If  $G$  is hyperbolic, and  $\partial_\infty G \cong S^2$ , then  $G$  is virtually  $\pi_1(M)$  for  $M$  a 3-manifold.

## Non-positive curvature

### Definition 8.0.11

Suppose  $X$  is a geodesic metric space. Each geodesic triangle in  $X$  has a well defined (up to isometry) *comparison triangle*  $\bar{\Delta} \subseteq \mathbb{R}^n$ . That is, it is a triangle with the same side lengths as  $\Delta$ . Let  $f : \bar{\Delta} \rightarrow \Delta$  be the natural map.

$X$  is *CAT(0)* if  $d(x, y) \geq d(f(x), f(y))$  for all  $x, y \in \bar{\Delta}$ .

One question: Does every hyperbolic group act geometrically on a CAT(0) space?